

1 **VERTICAL FLUX OF TRACER IN THE GULF OF MEXICO: THE IMPACT OF SMALL**  
2 **SCALE DYNAMICS AND STORMS**

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10 **Key Points:**

- 11 • High resolution simulations of the Gulf of Mexico have been developed
- 12 • Eddy activity on scales shorter than the mesoscale reduces the exchanges between the  
13 surface and the thermocline
- 14 • Restratifying action of the submesoscale turbulence limits the deepening of the mixed-  
15 layer

16 **Abstract**

17 In a series of regional simulations (with horizontal resolution at  $\frac{1}{4}^\circ$ ,  $1/12^\circ$  and  $1/36^\circ$ ), configured  
18 to investigate the role played by the meso- and submesoscale turbulence on the dispersion of  
19 passive tracers in the upper layers of the Gulf of Mexico, we show that eddy activity on scales  
20 shorter than the mesoscale reduces the exchanges between the surface and the thermocline. This  
21 is in contrast to previous studies which suggest that enhanced submesoscale activity in the winter  
22 mixed layer may actually lead to increased exchanges with the permanent thermocline. This  
23 reduction is explained by the restratifying action of the submesoscale turbulence that effectively  
24 limits the deepening of the mixed-layer in response to atmospheric winter synoptic events.

25 **1. Introduction**

26 Submesoscale processes, including eddies and filaments at scale of O (10) km and below, have  
27 received increasing attention in recent years, stimulated by their potential key role in the energy  
28 dissipation of the balanced flows (Capet et al. 2008, Capet et al. 2016), their impact on the large  
29 scale circulation (Lévy et al. 2010) or their consequences on the biogeochemistry (Mahadevan and  
30 Archer 2000, Lévy et al. 2001, Lévy et al. 2012). Submesoscale oceanic currents have multiple

31 origins, the most predominant being mixed-layer instability, strain induced frontogenesis or  
32 topographic wake (see the review by McWilliams 2016).

33 Despite a growing understanding of the processes involved in their generation and their recognized  
34 importance on the ocean functioning, the overall impact of the submesoscale dynamics on the  
35 vertical tracer fluxes remains ambiguous. It involves competing effects whose balance is likely to  
36 be region dependent. On the one hand, the prevailing paradigm is that, at submesoscale, the  
37 breakdown of the geostrophic balance leads to large vertical velocities that increase the vertical  
38 exchanges between the mixed-layer and the ocean below (e.g. Klein and Lapeyre, 2009, Zhong  
39 and Bracco 2013, Rosso et al. 2014). On the other hand, the submesoscale eddy tracer fluxes also  
40 act to vertically restratify the upper ocean, limiting the deepening of the mixed-layer under surface  
41 cooling conditions and speeding up the restratification (Fox-Kemper et al. 2008, Capet et al. 2008,  
42 Couvelard et al. 2015). Such control on the mixed-layer depth may in turn limit the vertical tracer  
43 exchanges between the subsurface and the mixed-layer. Observations cannot settle this issue but  
44 some studies have recently downplayed the role of submesoscale vertical tracer fluxes between the  
45 interior ocean and the near-surface (e.g., Ascani et al. 2013).

46 The ubiquity and seasonal variability of small-scale eddies and filaments in the Gulf of Mexico  
47 (GoM) is illustrated by the winter and summer surface vorticity snapshots displayed in Figure 1.  
48 Despite its potential importance in driving tracers or pollutant dispersion, air-sea exchanges and  
49 the biogeochemistry of the region, the interest in submesoscale eddy activity in the GoM is quite  
50 recent and mainly focused on the northern part of the basin (Zhong and Bracco 2013, Luo et al.  
51 2016, Bracco et al. 2016). Comparing two simulations of the GoM at 5 and 1 km horizontal  
52 resolution, Zhong and Bracco (2013) found that vertical dispersion of neutrally buoyant water  
53 parcels increases when increasing the resolution. More recently, Luo et al. (2016) evidenced from  
54 a high resolution model (1.6 km horizontal resolution) two seasonal peaks of submesoscale activity  
55 in the northern GoM: a winter peak associated with deep mixed-layers and large available potential  
56 energy and a summer peak due to the intense lateral density gradients created by the Mississippi  
57 plume (see also Hetland 2017).

58 The main focus of this study is to clarify the role played by the mesoscale and submesoscale  
59 turbulence in controlling the exchanges between the surface and the subsurface of the GoM. Three  
60 regional simulations, including a passive tracer sub-model, were performed over a range of  
61 horizontal resolution ( $1/4^\circ$ ,  $1/12^\circ$ ,  $1/36^\circ$ ) covering eddy present to submesoscale-rich regimes for  
62 a period of 20 years. The model experiments are described in Section 2. The mean and seasonal  
63 distribution of the meso- to submesoscale activity in the GoM are described from along-track  
64 altimetry and our highest resolution simulation ( $1/36^\circ$ ) in Section 3. The impact of the  
65 submesoscale activity on the vertical fluxes of a passive tracer initialized with a vertical profile  
66 typical of winter nutrient distribution is presented and discussed in Section 4. The results are  
67 summarized and discussed in Section 5.

## 68 2. Model and simulations description

69 The numerical code is the oceanic component of the Nucleus for European Modeling of the Ocean  
70 program (NEMO3.6, Madec, 2016). It solves the three dimensional primitive equations in  
71 spherical coordinates discretized on a C-grid and fixed vertical levels (z-coordinate). Temperature  
72 and salinity are advected using a Total Variance Dissipation scheme (TVD) with nearly horizontal  
73 diffusion parameterized as a Laplacian isopycnal diffusion. The horizontal diffusion of momentum  
74 is implicit by using the third order upstream advection scheme UP3. The vertical diffusion  
75 coefficients are given by a Generic Length Scale (GLS) scheme with a  $k-\epsilon$  turbulent closure  
76 (Umlauf and Burchard 2003, Reffray et al. 2015). Bottom friction is quadratic with a bottom drag  
77 coefficient of  $10^{-3}$  and free slip boundary conditions are applied at the lateral boundaries. The  
78 temporal integration is carried out with a modified Leap Frog Asselin Filter (Leclair and Madec  
79 2009), with a coefficient of 0.1. The free-surface is solved using a time-splitting technique with  
80 the barotropic part of the dynamical equations integrated explicitly with 60 sub time-steps of the  
81 baroclinic time step.

82 The model is forced at its lateral boundaries with daily outputs from the MERCATOR global  
83 reanalysis GLORYS2V3. The open boundary conditions radiate perturbations out of the domain  
84 and relax the model variables to 1-day averages of the reanalysis data. Details of the method are  
85 given in Madec (2016). At the surface, the atmospheric fluxes of momentum, heat and freshwater  
86 are computed by bulk formulae (Large and Yeager, 2009). The model is forced with DFS5.2  
87 product (Dussin et al. 2016) which is based on ERA-Interim reanalysis and consists of 3-hour  
88 fields of wind, atmospheric temperature and humidity, and daily fields of long, short wave  
89 radiation and precipitation. The shortwave radiation forcing is modulated by a theoretical diurnal  
90 cycle. A monthly climatological runoff based on the data set of Dai and Trenberth (2002) is  
91 prescribed near the river mouths as a surface freshwater flux with increased vertical mixing in the  
92 upper 10 meters.

93 The regional model configuration consists of a domain encompassing the GoM and the Cayman  
94 Sea (from  $98^{\circ}\text{W}$  to  $78^{\circ}\text{W}$  and from  $14^{\circ}\text{N}$  to  $31^{\circ}\text{N}$ ), with 75 levels in the vertical (with 12 levels in  
95 the upper 20 meters and 24 levels in the upper 100 meters). Three numerical experiments at  $1/4^{\circ}$ ,  
96  $1/12^{\circ}$  and  $1/36^{\circ}$  horizontal resolution have been conducted. Their main characteristics and  
97 differences are summarized in Table 1. The three simulations have been integrated from 1 January  
98 1993 to 31 December 2012. They have been initialized using geostrophic balance and the  
99 temperature and salinity fields obtained from  $1/4^{\circ}$  outputs of MERCATOR GLORYS2V3  
100 reanalysis at day 1 January 1993. The  $1/36^{\circ}$  configuration has been used in Jouanno et al. (2016a)  
101 to study the formation of short-period Loop Current Frontal Eddies (LCFEs). We refer the reader  
102 to this publication for a validation of the background state (currents and eddy kinetic energy; EKE)  
103 and a detailed comparison of the model LCFEs with in-situ and satellite observations.

104 In order to quantify the impact of the high frequency winds on the vertical exchanges of tracers,  
105 three parallel simulations at  $1/4^{\circ}$ ,  $1/12^{\circ}$  and  $1/36^{\circ}$  were carried out with monthly wind stress. In

106 this set of simulations (which will be referred as MONTHLY) the surface wind stress is computed  
107 using monthly averages of the 3-hourly DFS5.2 winds used in the reference simulations. Note that  
108 in order to identify the role of the mechanical contribution of the high frequency winds (and not  
109 their direct impact on the air-sea fluxes), the air-sea heat and freshwater fluxes (specifically the  
110 latent and sensible heat fluxes and the evaporation) in MONTHLY simulations are computed using  
111 the high-frequency DFS5.2 winds just like in the reference simulations.

112 The simulations also include a passive tracer  $TR_{\text{nutrient}}$  freely transported by the flow and entrained  
113 by vertical mixing. The passive tracers are advected and diffused with the same scheme employed  
114 with the active tracers (see above). Following the strategy of Levy et al. (2012), the tracer is  
115 initially uniformly distributed in the horizontal and, as real nutrients do, increases with depth from  
116 0 at the surface to 1 at 200 m depth and beyond (i.e. below the euphotic depth) in a hyperbolic  
117 tangent manner. The tracer is initialized at days Jan 1, Mar 15, May 27, Aug 8, and Oct 20 of each  
118 year and integrated each time for a period of 73 days. This sums to a total of 100 realizations for  
119 the period 1993-2012. The connection between the dynamics of this passive tracer and that of  
120 nutrients in the real ocean is discussed in the conclusions.

### 121 **3. Meso- and submesoscale surface energy distribution in the Gulf of Mexico: comparison** 122 **between model and along track altimetry**

#### 123 **3.1 Along track sea level data**

124 In order to assess the realism of the meso and submesoscale field resolved by the high resolution  
125 GOLFO36 model, we compared surface properties with two different along-track altimeters:  
126 Jason2 (for the period 2009-2012) and Saral/Altika (period 2013-2015). We choose these  
127 altimeters because of their complete coverage of the area of interest, their performance and their  
128 low noise level in the submesoscale range (e.g. Dufau et al. 2016). As in Le Hénaff et al. (2014)  
129 we use 1Hz delayed time level-3 sea surface height data not filtered, nor sub-sampled. Jason2 has  
130 a repeat period of 10 days for each track, while Altika has a repeat period of 35 days. We computed  
131 spectra of both altimetric datasets as follows. Tracks with gaps larger than 18km were removed.  
132 The spatial coverage is optimized by segmenting the along track signal into slices of ~600 km (as  
133 in Dufau et al. 2016) with 50% of authorized overlap between slices. One consequence is that the  
134 offshore areas have more weight in the averaged spectra than coastal areas. The model data are  
135 interpolated along the tracks with repeat periods similar to the observations.

136 The wavenumber sea level spectra from Jason2 and Altika exhibit spectral slopes close to  $k^{-4}$   
137 (Figure 2a). This slope is consistent with global analysis of Xu and Fu (2012) who found a slope  
138 close to  $k^{-4}$  in the subtropical areas. At wavelengths below 100 km, the model spectral slope is  
139 steeper than the altimetry slope. In this range, uncertainties are large and there are many possible



140 sources of discrepancy between model and altimeter spectra: i) instrumental noise that increase the  
 141 level of energy seen in altimetry at short scales (particularly so for Jason2, which shows  
 142 significantly more energy than AltiKA there), ii) the sea surface signature of internal tides that are  
 143 not represented in our model configuration and presumably have a significant effect on sea level  
 144 fine-scale variability (Shriver et al. 2012), iii) the use of model daily averages which smooth the  
 145 sea level gradients, and iv) limited effective resolution of our  $1/36^\circ$  grid. At wavelengths between  
 146 600 km and 100 km, the model sea level spectral slope is in very good agreement with the satellite  
 147 observations, suggesting that the model adequately represents KE transfers across scale in this  
 148 wavelength range.

### 149 **3.2 Kinetic energy**

150 Along-track kinetic energy has been computed using i) geostrophic velocities obtained from  
 151 altimetry sea level (Figures 3a,b) ii) geostrophic velocities obtained from model (GOLFO36) sea  
 152 level (Figures 3c,d), and iii) model (GOLFO36) surface velocities normal to the track (Figures  
 153 3e,f). The sea level obtained from the altimeters has been low-pass filtered before applying  
 154 geostrophy in order to remove the white noise at short wavelength (cutoff wavelength of 40 km).  
 155 In each case (model and observations), the velocities were high-pass filtered prior to compute the  
 156 kinetic energy in order to retain only wavelengths below 200 km. Hereinafter, the resulting kinetic  
 157 energy, representative of the energy below the mesoscale, will be referred as  $KE_{\lambda < 200\text{km}}$ .

158 As expected from previous studies (e.g. Verron et al. 2015), along track  $KE_{\lambda < 200\text{km}}$  in the central  
 159 GoM illustrates that the noise level is lower in Altika (Figure 3b) compared to Jason2 (Figure 3a).  
 160 The levels of geostrophic  $KE_{\lambda < 200\text{km}}$  in the model compare well with Altika. Comparison between  
 161 model based geostrophic  $KE_{\lambda < 200\text{km}}$  (Figure 3c,d) and  $KE_{\lambda < 200\text{km}}$  inferred directly from the model  
 162 velocity normal to the track (Figures 3e,f) suggests that the geostrophic approximation allows to  
 163 catch most of the spatial variability of the small scale features resolved by the model. High levels  
 164 of  $KE_{\lambda < 200\text{km}}$  are observed in the Loop Current area, as expected by the ubiquitous presence of  
 165 Loop Current Frontal Eddies (e.g. Jouanno et al. 2016a). The maximum of  $KE_{\lambda < 200\text{km}}$  in the  
 166 northwestern GoM (near the shelf between  $27^\circ\text{N}$ - $28^\circ\text{N}$  and  $94^\circ\text{W}$ - $88^\circ\text{W}$ ) described in Luo et al.  
 167 (2016) using a model at 1-km resolution is also reproduced in our  $1/36^\circ$  simulation (Figure 3). It  
 168 is worth mentioning that the altimetry data does not provide evidences of this maximum (Figure  
 169 3a,b). The scales involved (O (50) km) may be below the effective resolution of the altimeter and  
 170 further observations may be required to confirm the presence of enhanced submesoscale activity  
 171 near the northern GoM shelf.

172 Several regions with increased short-scale turbulence activity are identified from the temporal  
 173 average of model  $KE_{\lambda < 200\text{km}}$  (computed using model surface velocity; Figure 4a). The seasonal  
 174 variability of  $KE_{\lambda < 200\text{km}}$  present different regimes of variability. In the northwestern GoM, the  
 175 GOLFO36 model shows a semi-annual cycle of  $KE_{\lambda < 200\text{km}}$  (Figure 4b). As mentioned in the  
 176 introduction, such seasonal evolution has been described in Luo et al. (2016) with a higher

177 resolution model ( $\sim 1.6\text{km}$ ). It consists of a dominant winter peak associated with the thermal front  
178 at the shelf break and a secondary late summer peak displaced  $\sim 100\text{ km}$  northward on the shelf  
179 and associated with the haline front of the Mississippi plume (not shown). In the open ocean, both  
180 in the western GoM (Figure 4c) and in the Loop Current area (Figure 4d),  $KE_{\lambda < 200\text{km}}$  follows an  
181 annual cycle with a peak of energy in winter that follows the mixed-layer depth seasonal cycle  
182 with one-month lag (not shown). Such behavior is in agreement with increased small scale  
183 turbulence in the deep winter mixed-layer (Sasaki et al. 2014, Callies et al. 2015). The 1-month  
184 lag can be interpreted as a consequence of meso/submesoscale energy build-up through available  
185 potential energy to eddy kinetic energy conversion by mixed-layer instabilities (which is directly  
186 proportional to mixed layer depth; Fox-Kemper et al 2008, Capet et al 2008). In the Loop Current  
187 area, the larger density gradients created during winter between the warm waters advected from  
188 the Caribbean Sea and the colder waters of the GoM, may also favor higher submesoscale activity  
189 in winter. In contrast with the above mentioned regions, the eastern shelf of the Campeche Bank  
190 shows a very weak semi-annual cycle, with more energy during winter and summer and a large  
191 standard deviation. This may be partly explained by the seasonal cycle of LCFEs dynamics along  
192 the Campeche Bank highlighted in Jouanno et al. (2016a) and associated with the seasonality of  
193 the cold surge events over the GoM.

#### 194 4. Vertical tracer exchanges

195 With a horizontal grid spacing of  $\sim 3\text{ km}$ , the  $1/36^\circ$  simulation may only marginally be  
196 representative of the whole range of submesoscale dynamics in the GoM (Soufflet et al., 2016);  
197 nevertheless, we expect to be able to capture a significant fraction of its signature and effects. At  
198 lower resolution ( $1/12^\circ$  and  $1/4^\circ$ ), the kinetic energy at  $\lambda < 200\text{km}$  is well below the energy levels  
199 obtained in the  $1/36^\circ$ , at all scales and in all seasons (Figures 2b and 4). In this section, we will  
200 show that this has profound consequences on the vertical exchanges of the tracer.

201 Average surface tracer concentrations for the three model resolutions are shown after 30 days of  
202 integration starting from January 1 of each year from 1993 to 2012 (Figures 5j-l). We first focus  
203 on the winter period since this is the season with maximum short-scale turbulence activity (see  
204 Section 3.3; Figure 4) and as we will see below this is also the season with most sensitivity of  
205 mixed-layer depth and vertical tracer exchanges to model resolution. The strongest differences are  
206 in the northwestern part of the GoM, with larger tracer concentrations with decreasing resolution  
207 (Figures 5j-l), the difference being much larger between the  $1/4^\circ$  and  $1/12^\circ$  simulations than  
208 between the  $1/12^\circ$  and  $1/36^\circ$  simulations (Figure 5 and 6a). These differences in surface tracer  
209 concentrations appear linked with mixed-layer sensitivity to model resolution: the averaged mixed-  
210 layer depth in the northwestern part of the GoM is  $\sim 15\text{ m}$  larger in the lower resolution run  
211 compared to the higher resolution run.

212 This sensitivity of the mixed-layer depth is related to the presence of small-scale processes that  
 213 actively contribute to the restratification of the upper ocean in the higher resolution simulations.  
 214 As mentioned before, the largest impact of increasing resolution is seen in the northwestern part  
 215 of the GoM, where the deepest mixed layers are found (see Figure 5).

216 The vertical tracer injection in the upper mixed layer seen after 30 days of model integration in the  
 217 northwestern GoM (area between 24°N-28°N and 96°W-90°W) has a marked seasonal cycle  
 218 (Figure 6), with more intense tracer exchange during winter when the mixed-layer is deep (O (100)  
 219 m) and vanishing tracer exchange in summer when the mixed-layer is shallow (O (10) m). The  
 220 sensitivity to model resolution is also greater in winter: increasing model resolution shallows the  
 221 mixed-layer depth by about 30 meters and limits the tracer exchanges (Figure 6a). The monthly  
 222 evolution of  $KE_{\lambda < 200\text{km}}$  and mixed-layer depth in the northwestern GoM is given in Figure 7a,b.  
 223 From January to March,  $KE_{\lambda < 200\text{km}}$  shows an annual peak which follows with one-month lag the  
 224 annual peak of mixed-layer depth. The vertical buoyancy flux averaged in the upper 200 meters (  
 225  $\langle \overline{w'b'} \rangle$  with  $w'$  and  $b'$  the vertical velocity and buoyancy anomalies with respect to horizontally  
 226 averaged daily values over the area 24°N-28°N and 96°W-90°W,  $\langle \cdot \rangle$  the spatial averaging over  
 227 this area, and  $\overline{\cdot}$  the temporal averaging; Figure 7a) is also maximum from January to March. The  
 228 eddy driven upward transport of buoyancy turns the upper layers more buoyant and acts to stratify  
 229 the upper ocean (e.g., see Fox-Kemper et al. 2008). The vertical buoyancy flux is larger in the  
 230 1/36° simulation, so eddy-driven restratification is particularly active in this simulation, which  
 231 reduces the mixed-layer depth and limits tracer exchanges.

232 It is important to note that the r.m.s. of the vertical velocity is much larger in the 1/36° simulation  
 233 throughout the year (including in winter; Figure 7c) so we might have expected larger tracer  
 234 exchanges between the mixed-layer and the subsurface in the high resolution run. The comparison  
 235 of the different simulations contradicts this scenario and rather suggests that, to first order, the  
 236 main effect of fine-scale dynamics on tracer distribution is through eddy-driven restratification.

237 In order to investigate how restratification acts during winter, GOLFO36 high-frequency outputs  
 238 for winter 2011 are analyzed during a period of mixed-layer deepening (Figure 8). In January 2011,  
 239 the GoM was under the influence of repeated northerly wind events (the Central American cold  
 240 surges or “Nortes”; e.g. Schultz et al., 1998) that typically last 2 to 4 days and occur every 6-10  
 241 days. During these events, the air-sea fluxes act to cool down the ocean (Figure 8b), with a heat  
 242 loss that reaches  $800 \text{ W m}^{-2}$  during night time (e.g. day 12 in Figure 8b). For each of these events,  
 243 the mixed-layer deepened (Figure 8c) and the surface concentration of the tracer nutrient were  
 244 increased (Figure 8d). This confirms that the vertical flux of tracer is strongly intermittent and, in  
 245 the specific case of the GoM, it is primarily associated with intense atmospheric synoptic events.

246 The intermittent and chaotic shedding of Loop Current eddies travelling westward is expected to  
 247 also modulate the evolution of mixed-layer and thermocline depths in the western GoM, so the  
 248 direct comparison of the high frequency response in January 2011 in the different simulations may

249 not be meaningful. To partially overcome this issue, we identified a total of 96 surface cooling  
 250 events on the period 1993-2012, each reaching daily-averaged net air-sea heat flux larger than 400  
 251  $\text{W m}^{-2}$ . The composite time evolution of mixed-layer depth and vertical buoyancy flux is shown  
 252 in Figure 9. The averaged mixed-layer deepening (Figures 9a,b) in response to the cooling events  
 253 ( $500 \text{ W m}^{-2}$  in average; Figure 9d) is of order 15 meters. The mixed-layer anomalies (referenced  
 254 to a mixed-layer depth averaged between days 3 to 5 prior to the peak of the wind event) illustrate  
 255 that the differences observed between the simulations form in the two days following the wind  
 256 event (Figure 9b). We link these differences with the eddy buoyancy flux which is particularly  
 257 active during the few days encompassing the cooling event (Figure 9c). Eddy buoyancy fluxes are  
 258 largest at the highest resolution as expected. This contributes to the reduction of the mixed layer  
 259 deepening between the  $1/12^\circ$  and  $1/36^\circ$  solutions.  $\langle \overline{w'b'} \rangle$  values at the higher resolution are in  
 260 line with previous estimates (Boccaletti et al. 2007, Brannigan et al. 2015, Capet et al. 2016) and  
 261 are expected to further increase with increasing resolution.

## 262 5. Sensitivity under low-frequency wind-stress forcing

263 The high-frequency fluctuations of the wind stress during the passage of atmospheric fronts in the  
 264 Gulf of Mexico force surface-intensified near-inertial waves (NIW; e.g. see Chen et al., 1996 or  
 265 Jarosz et al. 2007 for observations in the Gulf of Mexico). A large fraction of the NIW energy is  
 266 thought to dissipate close beneath the mixed-layer base, increasing vertical shear at the base of the  
 267 mixed-layer and therefore causing entrainment (e.g., Price 1981; Cuypers et al. 2013; Jouanno et  
 268 al, 2016b). In Section 4, we have shown that high-frequency winds shape the mixed-layer depth  
 269 and contribute to the upward flux of tracer. One question that arises is how much of the mixed-  
 270 layer deepening is simply due to mixed-layer convection in response to large surface cooling (due  
 271 to air-sea heat fluxes) and how much is due to the mixing induced by the dissipation of the NIW  
 272 at the mixed layer base. The presence of small scale eddy vorticity structures is known to  
 273 accelerates the downward propagation of the wind-forced near-inertial waves (Danioux et al.  
 274 2011), so we expect some sensitivity of the NIW induced mixing to the model horizontal  
 275 resolution.

276 This is investigated with the second set of simulations, forced with monthly wind stress, to remove  
 277 inputs by the winds at the near-inertial scale (referred to as MONTHLY - see Section 2 for details).  
 278 In these simulations there is no more wind driven input of near-inertial energy. As shown in Figure  
 279 10a,b, the winter mixed-layer depths are slightly reduced when removing the high-frequency  
 280 winds (reduction of MLD of 5% at  $1/4^\circ$ , 13% at  $1/12^\circ$ , and 12% at  $1/36^\circ$ ). The r.m.s. of the vertical  
 281 velocity (Figures 10c,d) and the vertical flux of buoyancy (Figures 10e,f) in the upper 100 meters  
 282 are also reduced at all the resolutions with larger differences found, again, for the  $1/36^\circ$   
 283 simulations. These results are consistent with those of Jouanno et al (2016b): for near-inertial  
 284 processes to influence the ocean subsurface it is necessary to, at least, properly resolve the

285 mesoscale activity, which in turn permits the downward propagation of near-inertial motions. Once  
286 mesoscale activity is resolved, near-inertial dissipation in the upper thermocline can have a  
287 significant impact. We note for example that there is more passive tracer depletion in the  
288 subsurface at  $1/36^\circ$  and high frequency forcings than at  $1/12^\circ$  with monthly winds. Likewise, we  
289 also note that the reduction of tracer fluxes exchanges between  $1/4^\circ$  and  $1/36^\circ$  resolution is  
290 significantly less with high frequency forcings. These comparisons illustrate the complexity of  
291 submesoscale impacts on vertical fluxes of properties, with antagonistic processes that respond  
292 differently to resolution increase and background conditions (e.g., during summer, removing the  
293 high frequency wind stress has almost no impact on the mixed-layer depth or on the upward flux  
294 of tracer, not shown).

## 295 **6. Conclusions**

296 This work investigates the role played by the meso- and submesoscale turbulence on the exchanges  
297 of passive tracers in the upper layers of the GoM using a hierarchy of regional simulations with  
298 horizontal resolution of  $1/4^\circ$ ,  $1/12^\circ$  and  $1/36^\circ$  covering eddy present to submesoscale-rich regimes.

299 A careful evaluation of the model mesoscale activity in the GoM has been carried out using along-  
300 track data from recent altimeters. At scales larger than 100km, comparison of our higher resolution  
301 run with along-track altimetry shows that the model adequately represents the mesoscale field and  
302 spectral slope. But such exercise comes up against the high noise levels contained in altimetry  
303 products at scales below 50km, which did not allow us to evaluate the realism of the submesoscale  
304 field reproduced by the higher resolution simulation.

305 In agreement with the present understanding of submesoscale dynamics, vertical velocity variance  
306 in the northwestern GoM increases strongly for increasing horizontal resolution (e.g. Zhong and  
307 Braco, 2013). On the other hand, contrary to many previous studies demonstrating that  
308 submesoscales enhance surface-subsurface exchanges (through frontogenetic circulations; Klein  
309 and Lapeyre 2009, Lévy et al. 2001, Spall and Richards 2000, Mahadevan and Archer 2000) we  
310 find that explicitly resolving submesoscale processes may actually limit the exchanges between  
311 the surface and the subsurface. Precisely, as shown in our study, submesoscale impacts vertical  
312 exchanges of properties in several antagonistic ways. At  $dx=1\text{km}$ , fluxes of buoyancy are enhanced  
313 in the upper ocean (compared to lower resolution simulations), which yields a stronger upper ocean  
314 stratification (Couvelard et al, 2015), hence a reduction of vertical fluxes of passive or  
315 biogeochemical tracers. On the other hand, enhanced resolution favors the penetration of near-  
316 inertial motions below the mixed layer which tends to strengthen mixing and vertical exchanges.  
317 The outcome of these two competing effects depends on the particular distribution of the  
318 considered tracer, on the amount of near-inertial energy deposited in the mixed layer, on the Brunt-  
319 Vaisala profile, etc. With numerical resolution around a few kilometers our passive tracer  
320 experiments suggest that both effects are of comparable magnitude.

321 This seems to go against the current view that submesoscales would increase nutrient fluxes into  
322 the euphotic layer (Lévy et al. 2001, Spall and Richards 2000, Mahadevan and Archer 2000).  
323 However, interpretation of our results in terms of real biogeochemical tracers (typically nutrients)  
324 should be done with caution. In an equilibrated simulation with explicit representation of  
325 biogeochemical sources and sinks the sensitivity to resolution may be felt somewhat differently  
326 than in our simulations. Over time, the distribution of nutrients may indeed adjust to stratification,  
327 and thus, at high resolution, develop larger values in the depth range where fluxes are reduced  
328 compared to lower resolution. On the other hand, we expect the winter-time vertical distribution  
329 of nutrients in the GoM to be also controlled by external processes, *e.g.*, subsurface replenishment  
330 through lateral transport by the mean flow and mesoscale turbulence in summer and fall. In such  
331 conditions, our numerical protocol where a unique initial tracer distribution is prescribed with no  
332 dependence to resolution might thus provide useful insight into submesoscale impact on nutrient  
333 fluxes. Analyses of coupled physics-biogeochemistry simulations will be needed to confirm that  
334 GoM winter submesoscales are detrimental to the injection of subsurface nutrients.

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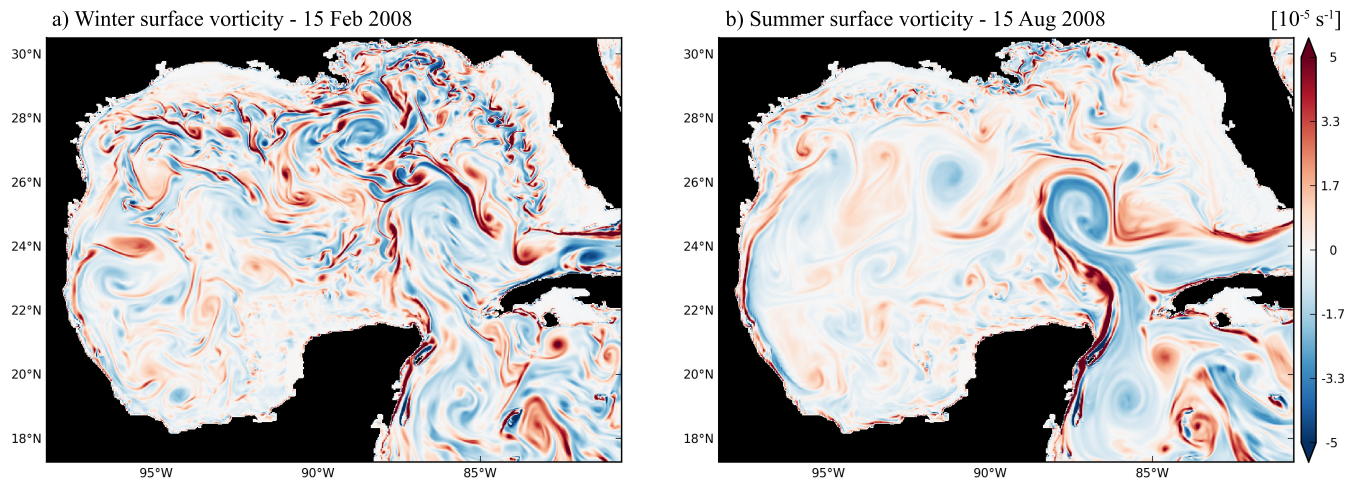
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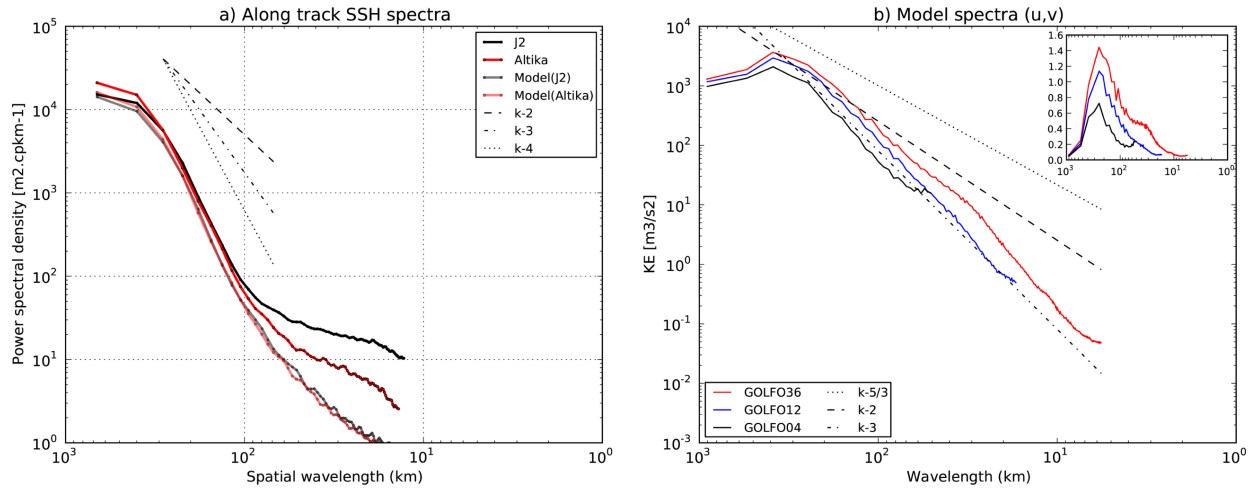
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| Name    | Horizontal resolution | $\Delta x, \Delta y$ | $\Delta t$ | Laplacian diffusivity coefficient |
|---------|-----------------------|----------------------|------------|-----------------------------------|
| GOLFO36 | $1/36^\circ$          | $\sim 2.8$ km        | 150s       | $45 \text{ m}^2 \text{ s}^{-1}$   |
| GOLFO12 | $1/12^\circ$          | $\sim 8.4$ km        | 600s       | $135 \text{ m}^2 \text{ s}^{-1}$  |
| GOLFO04 | $1/4^\circ$           | $\sim 25.2$ km       | 1800s      | $405 \text{ m}^2 \text{ s}^{-1}$  |

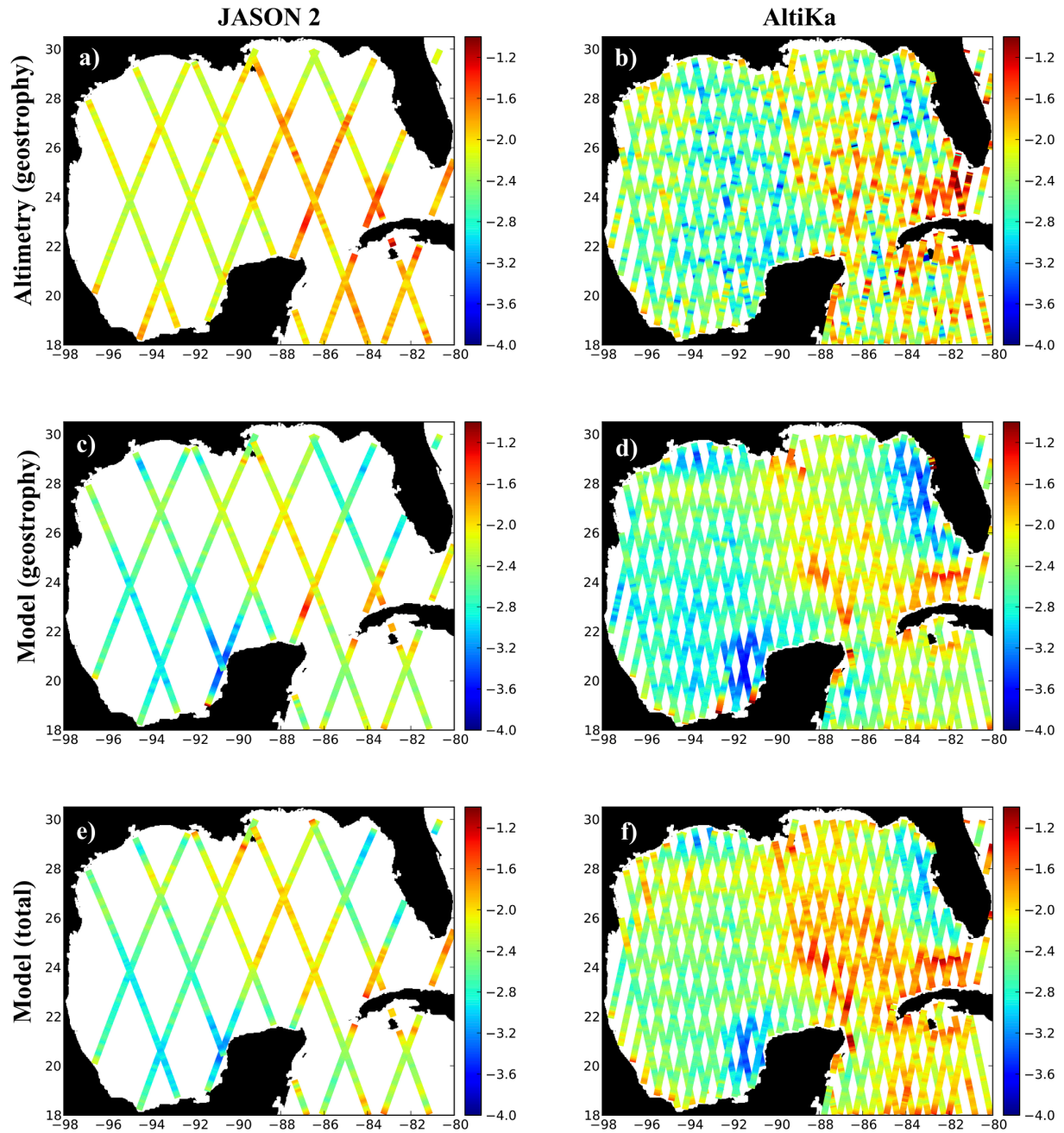
443 **Table 1:** Description of the three reference simulations used in this study.



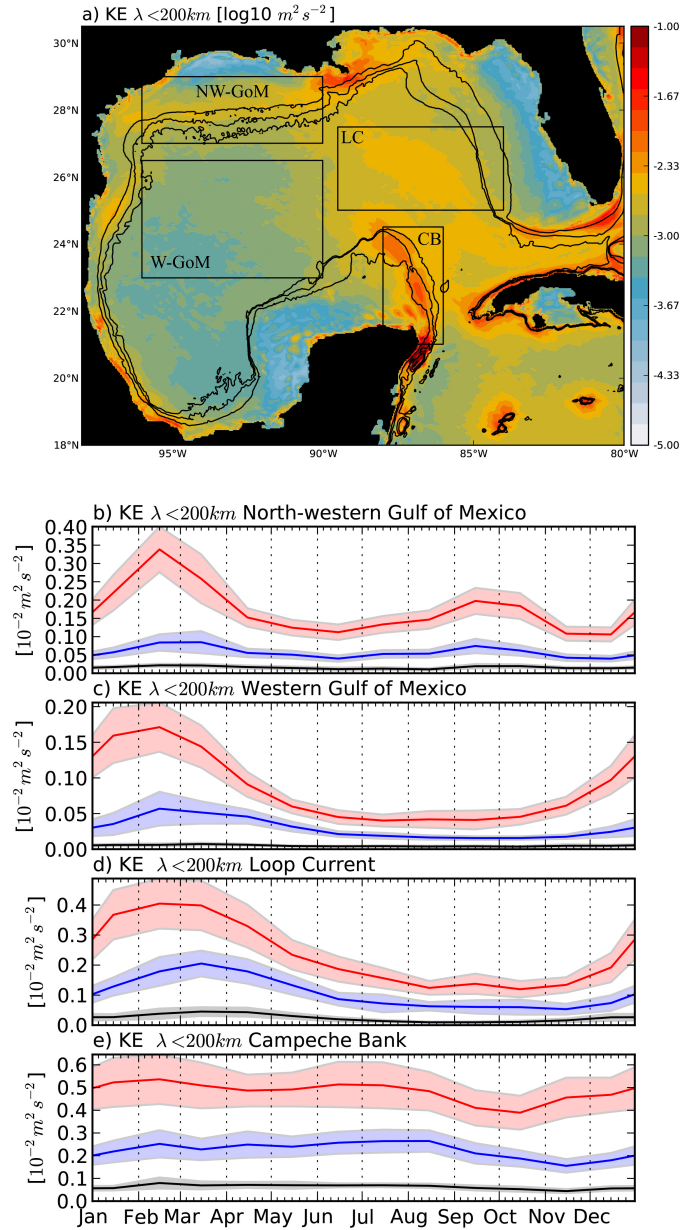
444 **Figure 1.** Snapshots of surface vorticity simulated by GOLFO36 at days 15 February 2008 (a) and  
445 15 August 2008 (b). We identify mesoscale structures (the Loop Current, anticyclones close that  
446 have been shed by the Loop Current), and submesoscale structures including filaments.



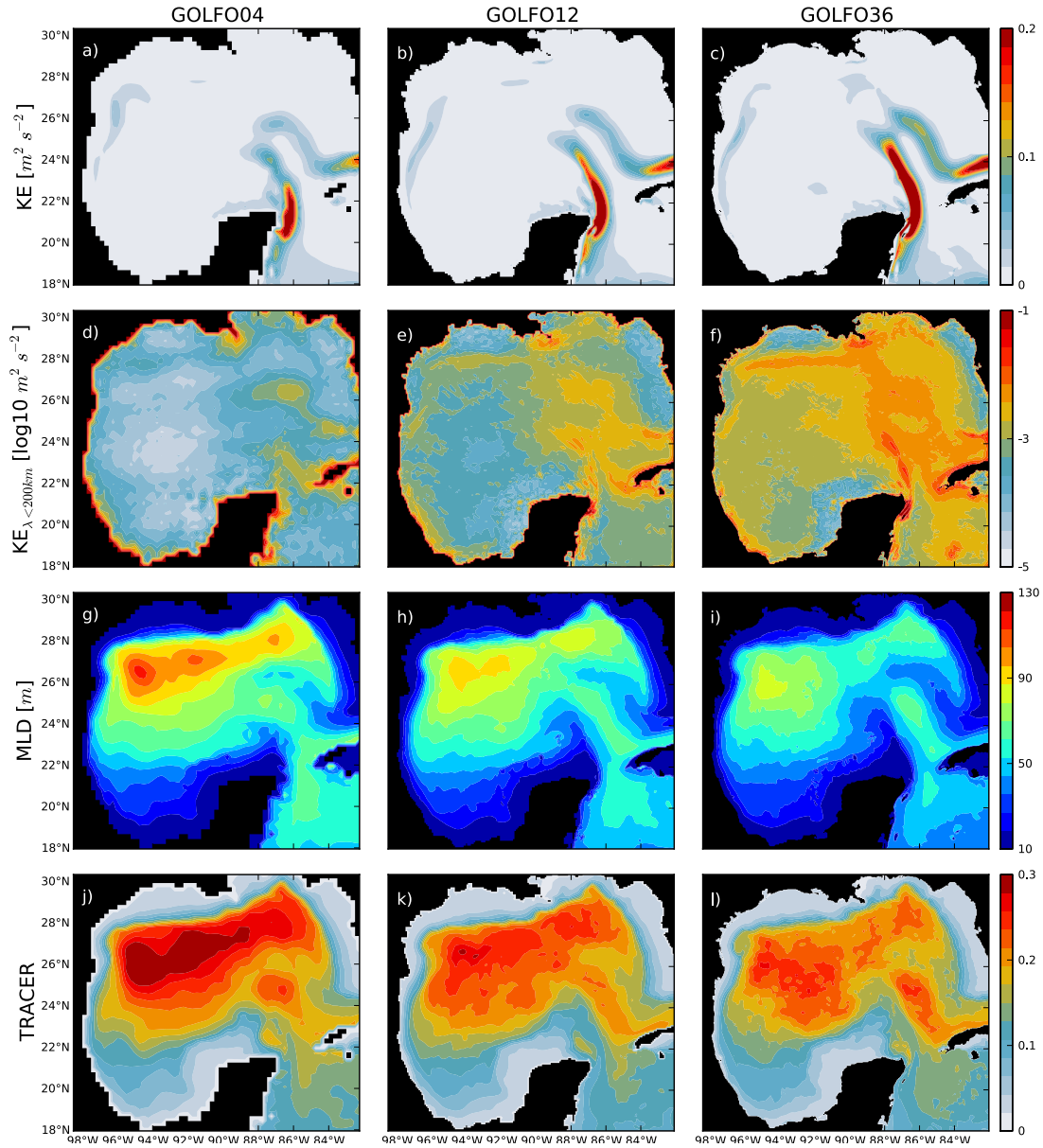
447 **Figure 2.** a) Along track wavenumber spectra from altimetry SSH (Jason2 in black, Altika in red)  
 448 and GOLFO36 model SSH (along Jason2 tracks in light black and along Altika tracks in light red).  
 449 A multitaper method with taper of 600km has been used. The model data have been interpolated  
 450 along the satellite tracks. b) KE spectra for the horizontal velocity  $\mathbf{u}_h$  at 10-m depth for the three  
 451 simulations at  $1/36^\circ$ ,  $1/12^\circ$  and  $1/4^\circ$  plotted as a function of horizontal wavenumber magnitude,  $k =$   
 452  $|\mathbf{k}_h|$ ; so the 2D spectrum is azimuthally integrated in  $k$  shells following the method described in  
 453 Capet al. (2008c). The corresponding variance conserving spectra are shown in the inset (units  $10^{-6}$   
 454  $\text{m}^3 \text{s}^{-2}$ ). Daily averages model data from 98W to 82W and 18N to 30N and from 2008 to 2012  
 455 have been used and the spectra have been temporally averaged. The  $k^{-2}$ ,  $k^{-3}$  and  $k^{-5/3}$  spectral slope  
 456 are also shown.



458 **Figure 3.** KE [ $\log_{10} \text{m}^2\text{s}^{-2}$ ] in the 40-200km band obtained from along track altimetry SSH (top),  
 459 GOLFO36 model SSH (middle), and surface velocity (bottom). The altimetry SSH has been  
 460 filtered with a 40km low pass filter before applying geostrophy. The left column is for Jason2 and  
 461 the right column is for AltiKa data.

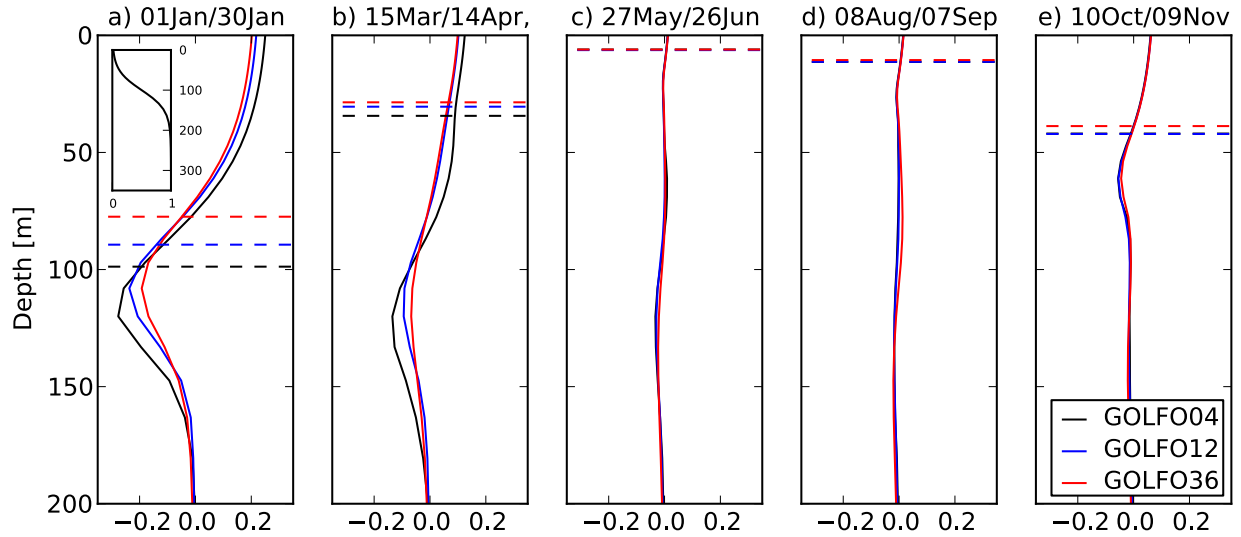


462 **Figure 4.** KE ( $\text{m}^2 \text{ s}^{-2}$ ) computed from high-pass filtered ( $\lambda < 200\text{ km}$ ) surface velocity anomalies :  
 463 mean distribution in GOLFO36 (a) and seasonal cycle of  $\text{KE}_{\lambda < 200\text{ km}}$  spatially averaged over four  
 464 different regions (b-e), for the three simulations (GOLFO36 in red, GOLFO12 in blue and  
 465 GOLFO04 in black). Daily data from 2003 to 2012 have been used and the envelopes indicate  
 466 the monthly s.t.d. of the daily values of KE.



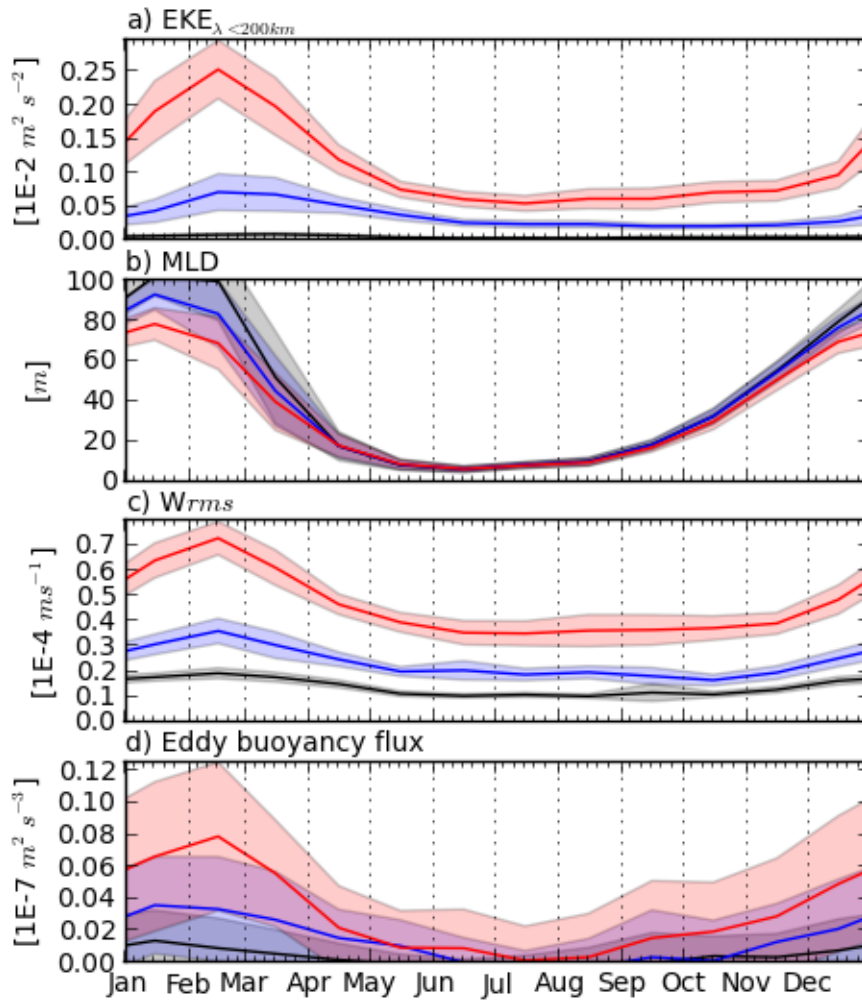
467 **Figure 5.** Winter (a) mean surface kinetic energy ( $\text{m}^2 \text{s}^{-2}$ ), (b) mean  $\text{KE}_{\lambda < 200\text{km}}$  ( $\log_{10} \text{m}^2 \text{s}^{-2}$ )  
 468 computed from high-pass filtered ( $\lambda < 200\text{km}$ ) surface velocity, (c) mean mixed-layer depth  
 469 (MLD; unit m), and (d) 5-day mean averaged surface distribution of passive tracers  
 470 “NUTRIENT” after 30 days of transport in the  $1/4^\circ$  (left),  $1/12^\circ$  (center) and  $1/36^\circ$  (right)  
 471 simulations. The MLD criterion used in this study corresponds to the depth at which density is  
 472  $0.03 \text{ kg m}^{-3}$  larger than the density at 10 m depth. Data from 1 January to 14 March of each year  
 473 from 1993 to 2012 are considered and the tracers were initialized at five different dates  
 474 (regularly spaced; see text) for each year from 1993 to 2012.



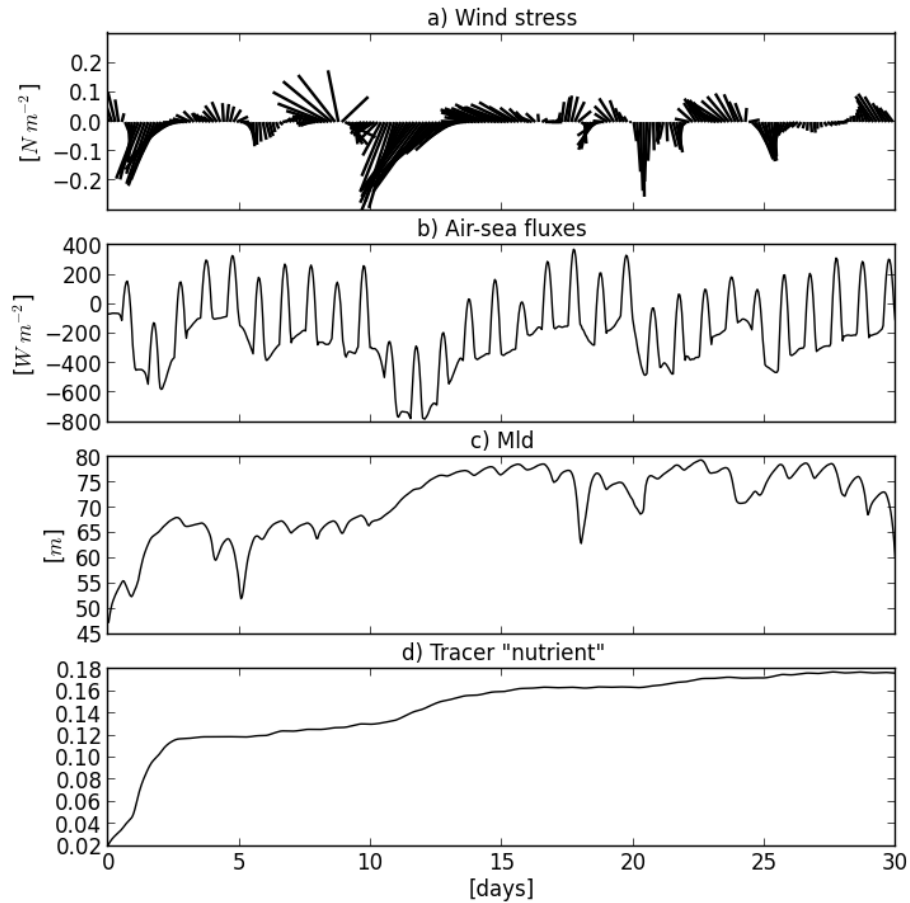


475 **Figure 6.** Results of on-line transport experiments of the passive tracer “NUTRIENT” performed  
 476 for the  $1/4^\circ$ ,  $1/12^\circ$  and  $1/36^\circ$  simulations and averaged over the northwestern GoM ( $96^\circ\text{W}$ - $90^\circ\text{W}$ ,  
 477  $24^\circ\text{N}$ - $28^\circ\text{N}$ ). The tracer was initialized at the five same dates of each year (a-e) from 1993 to 2012.  
 478 The initial state is uniform in the horizontal, with a vertical gradient on the vertical, increasing  
 479 from 0 at the surface to 1 at 200 m and below. Vertical profiles of the tracer anomaly (i.e. the  
 480 difference between the final profile and the initial profile) are shown after 30 days of transport.  
 481 The horizontal dashed lines indicate the averaged mixed-layer depth for each 30-day period.

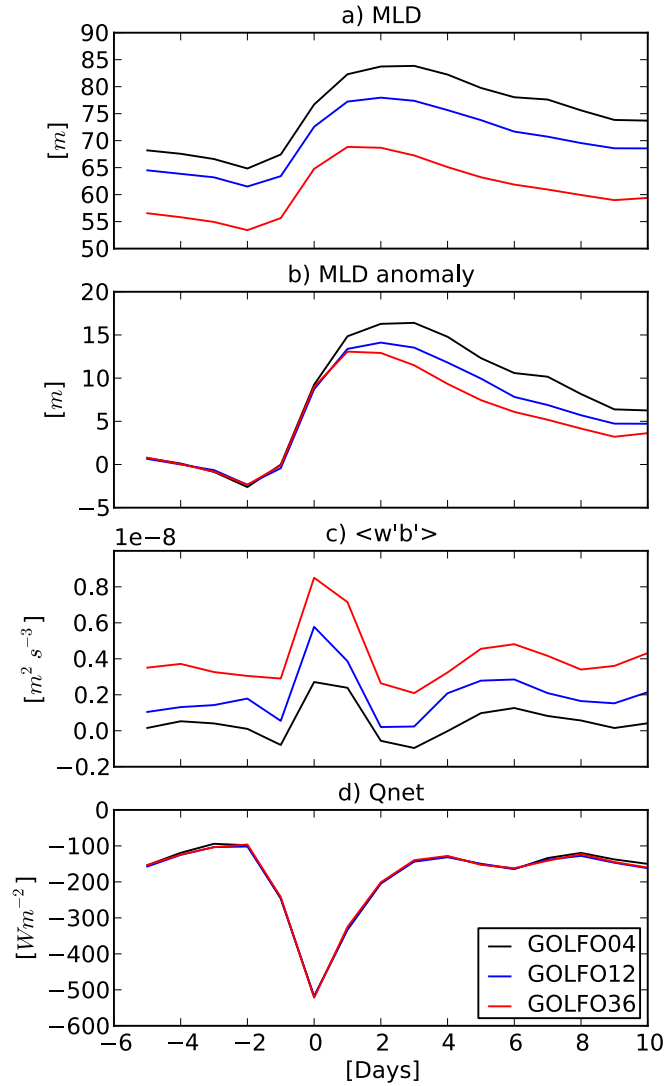




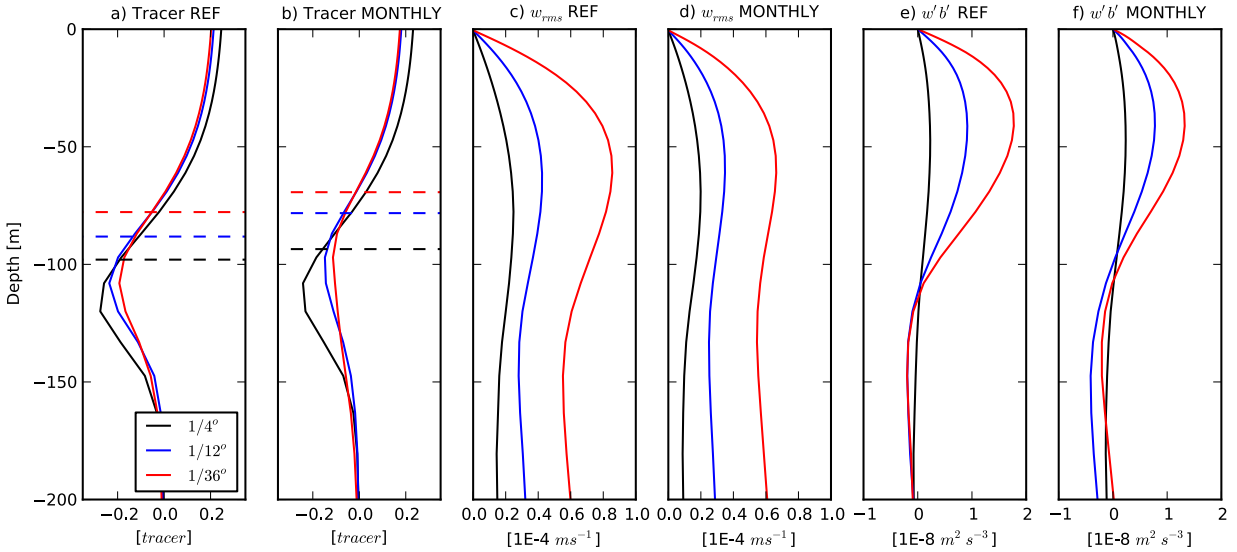
482 **Figure 7.** Seasonal cycle of (a) surface  $EKE_{\lambda < 200km}$  ( $N m^{-2}$ ) computed from high pass filtered  
 483 ( $\lambda < 200km$ ) horizontal velocity anomalies, (b) mixed-layer depth (m), (c) the r.m.s. of the vertical  
 484 velocity averaged in the upper 200 meters ( $m s^{-1}$ ) and (d) the eddy buoyancy flux  
 485  $\langle \overline{w'b'} \rangle$  averaged in the upper 200 meters ( $m^2 s^{-3}$ ). The seasonal cycles are built using daily data  
 486 of the three different simulations (GOLFO36 in red, GOLFO12 in blue and GOLFO04 in black)  
 487 from 1993 to 2012, and were averaged in the northwestern GoM ( $96^\circ W$ - $90^\circ W$ ,  $24^\circ N$ - $28^\circ N$ ). The  
 488 monthly standard deviation is displayed in light colors.



489 **Figure 8.** Hourly time series of  $1/36^\circ$  model fields averaged in the northwestern GoM ( $96^\circ\text{W}$ -  
 490  $90^\circ\text{W}$ ,  $24^\circ\text{N}$ - $28^\circ\text{N}$ ) from days 1 to 31 January 2011 : (a) wind stress ( $\text{N m}^{-2}$ ), (b) net air-sea  
 491 fluxes ( $\text{W m}^{-2}$ ), (c) mixed-layer depth (m) and (d) surface concentration of tracer “Nutrient”  
 492 initialized at day 0 in GOLFO36.



493 **Figure 9.** Lagged composites based on 96 events identified with upward daily averaged net –air-  
 494 sea heat flux larger than  $400 \text{ W m}^{-2}$ , obtained for the reference set of simulations: a) mixed-layer  
 495 depth (m), b) mixed-layer depth anomaly (m) referenced to the mixed-layer depth averaged  
 496 between lags -5 to -3 days, c) vertical buoyancy flux  $\langle \overline{w'b'} \rangle$  averaged between 0 and 200  
 497 meters ( $\text{m}^2 \text{ s}^{-3}$ ) and d) net air-sea heat flux ( $\text{W m}^{-2}$ ; negative means upward). Daily data from  
 498 1994 to 2012 have been used.



499 **Figure 10.** Comparison between the reference set of simulations forced with 3-hours wind stress  
 500 (REF) and the simulations forced with monthly wind stress (MONTHLY) during winter: a,b)  
 501 passive tracer anomaly after 30 days of transport during the winter period (as in Figure 6a), c,d)  
 502 r.m.s. of the vertical velocity ( $\text{m s}^{-1}$ ) and e,f) vertical buoyancy flux  $\overline{w'b'}$  ( $\text{m}^2 \text{ s}^{-3}$ ). All the  
 503 diagnostics have been made using data from January 1 to March 14 for year from 1994 to 2012  
 504 and were averaged in the northwestern GoM ( $96^\circ\text{W}$ - $90^\circ\text{W}$ ,  $24^\circ\text{N}$ - $28^\circ\text{N}$ ).