

1 *Oceanography Magazine Submission*

2 **An overview to modeling, characterizing, and predicting the effects of internal gravity**
3 **waves on acoustic propagation at basin to global scales**

4 Martha C. Schönau^{1*}, Luna Hiron², John Ragland³, Keshav J. Raja², Joseph Skitka⁴, Miguel S.
5 Solano⁵, Xiaobiao Xu², Brian K. Arbic⁴, Maarten C. Buijsman⁵, Eric P. Chassignet², Emanuel
6 Coelho¹, Robert W. Helber⁶, Jay F. Shriver⁶, Jason E. Summers³, Kathryn L. Verlinden¹, Alan J.
7 Wallcraft²

8 ¹Applied Ocean Sciences (AOS), LLC

9 ²Center for Ocean-Atmospheric Prediction Studies, Florida State University

10 ³Applied Research in Acoustics LLC (ARiA)

11 ⁴Department of Earth and Environmental Sciences, University of Michigan

12 ⁵School of Ocean Science and Engineering, The University of Southern Mississippi

13 ⁶Naval Research Laboratory, Ocean Dynamics and Prediction

14 *Corresponding author: martha.schonau@appliedoceansciences.com.

15
16 **Abstract:**

17 Underwater acoustic propagation depends on ocean temperature, salinity, pressure, and
18 topography. The realistic representation of the ocean state and its underlying dynamics within
19 ocean models is essential to achieve accurate underwater acoustic propagation modeling and
20 prediction. Stratified, high-resolution global ocean models that include tidal forcing have only
21 been developed in the last two decades. Tidal forcing introduces internal tides and generates
22 higher frequency (supertidal) internal waves. The solutions in such simulations include both
23 higher and lower internal-wave vertical modes, where higher modes have more vertical structure.
24 This project used global, basin-scale, and idealized HYbrid Coordinate Ocean Model (HYCOM)
25 simulations as well as regional Massachusetts Institute of Technology general circulation model
26 (MITgcm) simulations to examine the impacts of tidal inclusion on sea surface height variability,
27 the propagation and dissipation of internal-wave energy, and the sensitivity of internal wave
28 modeling to vertical and horizontal grid spacing. Sound speed, acoustic parameters, and acoustic
29 propagation were compared between tidally-forced simulations and simulations without tidal
30 forcing. Tidal forcing causes variability in acoustic properties at semidiurnal timescales. Deep
31 learning algorithms successfully replicated some of the acoustic variability at test locations

32 through generation of a “tidal” simulation output from global HYCOM without tidal forcing. The
33 cross-disciplinary effort between ocean modeling and acoustic assessment elucidated the impacts
34 of certain ocean modeling choices (e.g., vertical and horizontal grid spacing, the hydrostatic
35 assumption, and others) on underwater acoustics propagation.

36

37

38 **1. Introduction**

39 Sound is a pressure wave, and its speed and path are dictated by the medium through
40 which it travels. Underwater acoustic propagation—the movement of sound below the ocean
41 surface—depends on seawater compressibility and density which are determined by the
42 temperature, salinity, and pressure. There are a variety of processes that impact the thermohaline
43 structure of the upper ocean. These processes include energy input from wind, salinity evolution
44 from evaporation and precipitation, temperature evolution from ocean-atmosphere heat
45 exchange, spatial and temporal variability of large-scale ocean currents, advection of mesoscale
46 ocean eddies, and mixing arising from various processes including breaking internal tides and
47 internal waves (Siedler et al., 2013). Near-inertial internal gravity waves (IGWs) induced by
48 higher frequencies in the wind forcing, and internal tides induced by tidal flow over topographic
49 features, are thought to break and dissipate at vertical scales of order 1 m. IGW variability is not
50 well-captured in many global ocean simulations, which may have incomplete forcing (e.g., a lack
51 of tidal forcing) or may parameterize, rather than resolve, processes that happen at finer scales
52 due to insufficient horizontal and/or vertical discretizations. The goals of the Task Force Ocean
53 (TFO) Office of Naval Research (ONR) funded project to model, characterize, and predict the
54 effects of internal gravity waves on acoustic propagation on basin to global scales, abbreviated
55 “TFO-HYCOM” because of the primary usage of HYbrid Coordinate Ocean Model (HYCOM)
56 simulations, were to improve the modeling of internal tides and waves in global ocean models,
57 characterize the impact of IGWs and internal tides on acoustic propagation, and use machine
58 learning and deep learning (ML/DL) to optimize the predictions of these impacts.

59 The first part of this integrated research project built on and expanded efforts to improve
60 the modeling of energy transfer and dissipation in the ocean by including tidal motions in global
61 ocean models (Arbic et al., 2012, 2018; Arbic, 2022). Barotropic tidal models in a constant-
62 density ocean have been available since the 1970s, but only in the last two decades has the
63 increase in computational power allowed accurate modeling of tides in a stratified ocean. In a

64 stratified ocean, barotropic tidal flow over topographic features generates vertical motions at the
65 interfaces between different density layers, yielding internal tides, also known as baroclinic tides.
66 Nonlinear interactions between near-inertial motions and internal tides create a continuum
67 spectrum (Garrett and Munk 1979) of higher-frequency (supertidal) IGWs. Low-vertical-mode
68 near-inertial waves, internal tides, and IGW continuum motions propagate throughout the ocean
69 and dissipate when further nonlinear interactions yield short vertical-scale motions that overturn
70 and break. Global internal wave simulations have evolved from uniform two-layer (Arbic et al.,
71 2004) or multilayer stratification (Simmons et al., 2004) in the horizontal direction to the
72 inclusion of realistic horizontally varying stratification by embedding tidal forcing in ocean
73 general circulation simulations. The global distribution of internal tides and IGWs is not uniform
74 (see for example Figure 1), with greater energy near topography and ocean boundaries (Garrett
75 and Kunze, 2007). IGWs can also propagate long distances, impacting the ocean state away from
76 their generation site.

77 A main goal of the TFO-HYCOM project was to understand the energy transfer between
78 IGW modes, and to evaluate the ability of models at various vertical and horizontal grid spacings
79 to capture this transfer. A primary tool for this project has been global simulations of HYCOM.
80 HYCOM is a primitive equation ocean general circulation model (Bleck, 2002; Chassignet et al.,
81 2003) and HYCOM serves as the dynamical core of the operational global ocean forecast model
82 of the United States Navy (Metzger et al., 2014). In recent years, data assimilation of mesoscale
83 eddies has improved the mesoscale ocean state predictability of HYCOM (Cummings et al.,
84 2013) and the use of techniques from the data-assimilation community has improved the
85 accuracy of barotropic tides (Ngodock et al., 2016). Some of the research for this project also
86 used very-high-resolution simulations of the Massachusetts Institute of Technology general
87 circulation model (MITgcm; Marshall et al., 1997). In one aspect of our research aimed at
88 understanding internal wave energy cascades and dissipation (see latter half of Section 2.2), we
89 used a high-resolution hydrostatic regional simulation of MITgcm with tidal forcing included,
90 and in another aspect, aimed at understanding the impacts of nonhydrostatic assumption on
91 acoustics (see Section 3.2), we used a high-resolution nonhydrostatic simulation.

92 The TFO-HYCOM team that focused on improving IGW modeling is composed of
93 researchers from the Naval Research Laboratory (NRL), Florida State University (FSU), The
94 University of Southern Mississippi (USM), and University of Michigan (U-M), each with a
95 complementary research focus for the project. The NRL team provided global HYCOM

96 simulations at $1/25^\circ$ horizontal grid spacing. FSU researchers performed higher horizontal grid
97 spacing ($1/50^\circ$) North Atlantic basin simulations and idealized simulations with finer horizontal
98 and vertical grid spacing (1 km and up to 128 vertical layers) to study the impact of vertical,
99 horizontal, and bathymetry grid spacing, tidal forcing, and data assimilation on IGWs in
100 HYCOM. USM researchers examined kinetic energy content and transfer between different IGW
101 modes, while U-M researchers further examined the theory of IGW nonlinear energy transfer and
102 dissipation in very-high-resolution regional simulations of MITgcm. In Section 2, we provide a
103 brief description of the HYCOM simulations and summarize the goals and findings of each team
104 to improve IGW modeling.

105 As advances are made to include tidal forcing in global models, the impact of these
106 processes on underwater acoustic propagation is of interest for a range of applications, such as
107 sonar performance prediction, source localization for bioacoustics, acoustic tomography, and
108 underwater acoustic communications. IGW energy cascades to larger (subtidal) and smaller
109 (supertidal) scales, which leads to mesoscale differences between the simulations at length scales
110 of 100s of kilometers, and temperature and salinity variance at shorter length scales. These in
111 turn impact acoustic propagation. For the TFO-HYCOM project researchers from NRL and
112 Applied Ocean Sciences (AOS) assessed acoustic prediction parameters and acoustic
113 transmission loss using global HYCOM model results with and without tidal forcing. They
114 quantified the impact of the inclusion of tidal forcing on acoustic propagation and discussed
115 these impacts in the context of IGW processes. They compared these results to observations and
116 investigated their sensitivity to horizontal and vertical resolutions.

117 Finally, TFO-HYCOM project researchers from Applied Research in Acoustics (ARiA)
118 used deep learning (DL) algorithms to derive a tidal ocean state from HYCOM model results
119 without tidal forcing. High-resolution ocean models that include tidal forcing are
120 computationally expensive. DL can be used to parameterize, optimize, and predict the impacts of
121 internal waves on ocean acoustics without high-resolution modeling, and thus may be used in the
122 future to predict the impact of IGWs and tidal forcing on acoustics where ocean modeling may
123 be limited.

124

125 **2. Improvements to internal wave modeling**

126 HYCOM is the backbone of the operational forecasting system of the U.S. Navy
127 (Chassignet et al., 2009; Metzger et al., 2014). The Navy HYCOM simulations use a hybrid

128 vertical-coordinate system that is isopycnal in the deep ocean and employs depth coordinates (z-
129 coordinates) to resolve the surface mixed layer. The simulations transition to terrain following
130 coordinates in shallow water. The simulations have realistic atmospheric forcing from the Navy
131 Global Environmental Model (NAVGEM) (Hogan et al., 2014) with 60 atmospheric levels over
132 a height of 19 km, 0.17° horizontal grid spacing, and 3-hourly wind forcing. HYCOM
133 simulations analyzed in this paper have been run with or without data assimilation and with or
134 without tidal forcing. To account for numerical errors in the tidal solution caused by imperfect
135 topography and damping terms, an Augmented State Ensemble Kalman Filter (ASEnKF) can be
136 used to perturb the tidal forcing (Ngodock et al., 2016), yielding a globally averaged RMS sea
137 surface height error of 2.6 cm for HYCOM measured against the TPXO satellite-altimetry-
138 constrained barotropic tide model (Egbert et al., 1994).

139 Several studies have been published on the energetics of IGWs in HYCOM (e.g.,
140 Buijsman et al., 2020; Luecke et al., 2020; Raja et al., 2022; Arbic et al., 2022; Arbic 2022).
141 These have focused on solutions without data assimilation. Data-assimilative simulations create
142 spurious waves that overlap at tidal and inertial band frequencies and propagate as low-mode
143 near-inertial waves. Further investigations have revealed that as the model adjusts to the insertion
144 of data-derived increments it generates spurious near-inertial waves (Raja et al., 2023). The
145 interaction of these spurious IGWs with other motions and their eventual dissipation alters the
146 ocean energetics. Hence, for much of the TFO-HYCOM project, we used non-assimilative
147 HYCOM simulations to study the energetics of internal tides (Buijsman et al., 2020) and near-
148 inertial waves (Raja et al., 2022), and investigate their acoustic impacts.

149

150 **2.1 Internal wave modes**

151 The method of vertical modes is often used to describe the dynamics of IGWs in the
152 ocean (Gill 1982) and it forms an important component of our analysis. To summarize this
153 method, IGWs in the ocean can be approximated as a linear superposition of standing waves in
154 the vertical direction and propagating waves in the horizontal direction. Each wave mode has a
155 characteristic length and phase speed (eigenvalue) and vertical structure (eigenfunction)
156 dependent on the frequency of the IGW and vertical stratification (i.e., vertical density gradient
157 or buoyancy frequency). The method of vertical modes then consists of linearizing the
158 momentum equations and solving the resulting eigenvalue problem based on a time-averaged
159 density profile, yielding the eigenvalues and eigenfunctions.

160 For the modal analyses presented in this study in sections 2.2 and 2.3.1, we used a 30-day
161 global HYCOM simulation with a $1/25^\circ$ horizontal resolution and 41 layers that was forced by
162 wind and tides but did not include data assimilation (also referred to as Experiment 19.0). We
163 average the density over the simulation period, compute the buoyancy frequency, and solve the
164 linearized eigenvalue problem. We then project the eigenfunctions on the 3D fields every hour to
165 compute the modal amplitude time series for baroclinic velocity and perturbation pressure. These
166 time series are used to compute the energy and energy fluxes of the IGWs for selected frequency
167 bands.

168

169 **2.2 The impacts of horizontal and vertical grid spacing on IGWs**

170 The effect of horizontal and vertical grid spacing on the representation of internal tides and
171 internal waves has been documented in recent studies (Nelson et al., 2020; Buijsman et al., 2020;
172 Kelly et al., 2021; Thakur et al., 2022). It has been shown in Buijsman et al (2020) that the
173 horizontal resolution of global HYCOM simulations affects the magnitude of the semidiurnal
174 internal wave energy. Decreasing the horizontal grid size from 8 to 4 km increases the internal
175 wave generation and energy density by about 50%. To a large extent, this increase is attributed to
176 an increase in the number of resolved modes, roughly from the first 2 in the 8-km to the first 4
177 modes in the 4-km simulations. In this section we expand on this analysis by also considering the
178 impact of the vertical resolution on the resolved modes in a 4-km global HYCOM simulation
179 (Buijsman et al., *in prep*) and in idealized simulations of tidal flow over a Gaussian ridge.

180 We apply existing and newly developed criteria to determine what diurnal, semidiurnal,
181 and supertidal vertical wave modes could be resolved depending on the horizontal and vertical
182 resolution of a 4-km global HYCOM simulation with 41 layers. For the horizontal resolution we
183 used the criterion that a vertical mode is resolved if there were at least 6-8 cells per wavelength
184 (Steward et al., 2017). A similar criterion was applied for the vertical resolution, this is called the
185 first vertical criterion (CZA). However, this criterion is designed for z -coordinate models,
186 whereas HYCOM is isopycnal below the mixed layer. Therefore, we apply an additional
187 criterion called CZB. For CZB a vertical mode was considered unresolved if either two
188 horizontal or two vertical velocity eigenfunction zero crossings occurred in the same layer.

189 The zonal mean of the number of the resolved modes for seafloor depths >2000 m and
190 averaged over 10° latitude bins is presented in Figure 2. Internal wave modes with lower
191 frequencies have longer wavelengths, and hence, they are better resolved by the horizontal

192 resolution. For the lunisolar diurnal internal tide, K_1 , eight modes are resolved on average at the
193 equator and the number increases towards 20 modes near the K_1 turning latitude due to the
194 increase in wavelength. The shorter wavelength principal lunar semidiurnal internal tide, M_2 , has
195 fewer modes resolved, with a minimum number of about 4 modes resolved at the equator. Of the
196 supertidal frequencies, a shallow water harmonic, M_4 has the most energy. On average, only up
197 to two M_4 modes are resolved.

198 In contrast to the horizontal resolution, the number of resolved modes is more sensitive to
199 the vertical resolution criteria. If CZA is applied to the hybrid vertical coordinates, mode 1 is
200 barely resolved at the low latitudes and not at all at higher latitudes (Figure 2). In contrast, CZB
201 allows for the resolution of more modes with a maximum of 12 modes resolved at the equator.

202 Next, we compare these “predictions” (Figure 2) to the simulated variance and energetics
203 of the 4-km HYCOM simulation (Buijsman et al., 2023, *in prep*). The horizontal resolution
204 criterion for tidal and supertidal internal waves is quite accurate. For instance, the first two
205 supertidal (M_4) modes capture most of the variance in the 4-km simulation as predicted. CZA is
206 not a good predictor of the number of resolved modes in an isopycnal coordinate model because
207 the HYCOM simulation has variance at many more vertical modes. Although the accuracy of
208 CZB is harder to determine, it predicts the correct trends. For example, the horizontal resolution
209 limits the semidiurnal variance to about four resolved modes at low latitudes, whereas the
210 vertical resolution limits the variance at higher latitudes. This agrees with a recent study by
211 Geoffroy et al. (2022), in which the M_2 internal tide variance in a 4-km HYCOM simulation is
212 compared to the variance observed with Argo floats. They find that in the southern oceans
213 HYCOM under-resolves the internal tide modes, which can be attributed to the thicker deep
214 isopycnal layers.

215 To further investigate the impact of vertical resolution on IGWs in HYCOM, Hiron et al.
216 (2023; *in prep*) performed a set of HYCOM simulations with an idealized configuration, fine
217 horizontal grid spacing (1 km), forced only by the M_2 tidal frequency, and varying vertical grid
218 spacing from 8 to 128 vertical isopycnal layers. The vertical discretization was done by finding
219 the zero-crossings of the u-eigenfunctions for different vertical modes. For example, the interface
220 depths of the 32-layer simulation are the zero-crossings of the u-eigenfunction of the 32nd vertical
221 mode. The simulations were initialized with a density profile from climatology averaged over the
222 Cape Verde area to be representative of the density of the tropics. To generate internal tides, a
223 steep ridge with a Gaussian shape was added in the center of the domain. The criticality of the

224 slope, which is a measure of the ridge steepness normalized by the ray slope of the internal waves,
225 is larger than one, allowing for the generation of nonlinear waves and wave beams (Garrett and
226 Kunze, 2007; Buijsman et al., 2010). Figure 3a shows a snapshot of the vertical velocity for the
227 128-layer simulation highlighting the region near the ridge where the wave beams are strongest.
228 Figure 3b shows the depth-integrated, vertical kinetic energy ($\frac{1}{2} \int w^2 dz$), where w is the vertical
229 velocity, for different vertical discretizations (8-, 16-, 32-, 48-, 64-, 96-, and 128-layer) and
230 averaged over one week. The 8- and 16-layer simulations differ from the others in amplitude and
231 phase. The 32-layer simulation has a similar magnitude as the finer grid-spaced simulations but a
232 different phase. From the 48- to the 128-layer simulations, magnitude and phase are similar across
233 simulations. Strong vertical velocities lead to greater vertical displacement of the isopycnals which
234 can then affect sound speed and, potentially, the acoustic propagation (See Section 3.2). When
235 integrated over the whole domain (0 to 2000 km from the ridge), the tidal barotropic-to-baroclinic
236 energy conversion, the vertical kinetic energy, and the turbulent dissipation were all greatest in the
237 128-layer simulation and decrease with coarser vertical grid spacing. The results start to converge
238 for the simulations with more than 48 layers, however, this may differ with a change in horizontal
239 grid spacing.

240

241 **2.3 Energetics of Internal Gravity Waves (IGWs)**

242 **2.3.1 Nonlinear internal tides**

243 In the open ocean, low-mode internal tides may decay via wave-wave interactions
244 cascading energy to higher frequencies and wavenumbers (Lamb 2004; Eden et al., 2020). One
245 type of wave-wave interaction that affects the low-mode semidiurnal internal tides, Parametric
246 *Subharmonic* Instability (PSI), has been extensively evaluated in global ocean model simulations
247 (e.g., Ansong et al. 2018). In contrast, the decay of the low-mode internal tide due to
248 *superharmonic* wave-wave interactions (also referred to as nonlinear steepening) has not been
249 thoroughly studied in global simulations. Here we discuss results from Solano et al. (2023,
250 submitted), in which we evaluate the tidal and supertidal energetics, the energy transfers between
251 the tidal and supertidal bands, and interactions between low-mode waves.

252 The kinetic energy band-passed at semidiurnal and supertidal frequencies are shown in
253 Figure 1a and 1b respectively, and Figure 1c shows the percent of supertidal energy compared to
254 total (tidal+supertidal) energy. The energy at the primary frequencies dominates most of the
255 internal tide spectrum, except along the path of large amplitude internal tides near the equator.

256 Supertidal KE is more important at lower latitudes ($\pm 25^\circ$), as seen by the relatively higher energy
257 in the Tropics (Figure 1b), where it accounts for about 5% of the total (tidal+supertidal) energy
258 based on globally averaged values. However, locally in regions such as the Bay of Bengal, the
259 Amazon Shelf, and the Mascarene Ridge, 25-50% of the IGW kinetic energy is found at
260 supertidal frequencies.

261 We estimate the nonlinear energy transfer from (sub)tidal to supertidal frequencies based
262 on the time-mean and depth-integrated coarse-grained kinetic energy. The nonlinear IGW energy
263 transfer from primary to supertidal frequencies reveals a banding pattern (Figure 4a-c) that
264 agrees well with the horizontal divergence of the supertidal energy flux (Figure 4d-f). In the 3
265 regions where supertidal energy is high, a regularly spaced banding pattern emerges where
266 energy is transferred from primary to higher-harmonic frequencies at a rate of about 10-50 mW
267 m^{-2} locally. Globally and at low latitudes, internal tides transfer energy to higher harmonics at a
268 rate of about 45 GW, compared to the 500 GW of energy available from barotropic tides (i.e.,
269 barotropic to baroclinic conversion).

270 The banding pattern in Figure 4 suggests a common mechanism for the nonlinear energy
271 scale transfer, which is further investigated by considering the decomposition of vertical modes.
272 The decomposed kinetic energy in Figures 4g-i shows a similar banding pattern that appears only
273 for the superposition of the lowest modes (1+2) but is not seen in the individual modes. This
274 surface intensified pattern suggests that these regions of enhanced transfer to higher-harmonics
275 can be explained by the interactions between mode-1 and mode-2 internal tides, which interfere
276 constructively at the locations of the patches (e.g., their velocities are in phase, increasing the
277 tidal amplitude and steepening the internal tide). The location of these patches is modulated by
278 the slowly varying subtidal current, which affects the vertical stratification and therefore the
279 characteristic speeds of the modes. The amplitudes of these patches are also modulated in time
280 by the spring-neap cycle; the higher amplitude spring tides result in larger energy transfers to
281 higher-harmonics.

282

283 **2.3.2 Spatial variability in spectral slopes**

284 Sea-surface height (SSH) variability is a useful proxy for mesoscale ocean variability.
285 Comparisons of satellite altimetry to ocean simulation results can be used to quantify the realism
286 of the ocean simulations as well as their sensitivity to the horizontal resolution, and vertical
287 resolutions, and physical parameterizations employed in the simulations. The mesoscale sea

288 surface height (SSH) wavenumber spectral slopes computed from satellite observations exhibit
289 large spatial variability (e.g., Figure 5a, Xu and Fu, 2012; Zhou et al., 2015; Dufau et al., 2016),
290 whereas previously published high-resolution model results lack a comparable spatial variability
291 (e.g., Figure 5e-f; Chassignet and Xu, 2017). The spectral slope represents the relative strength
292 of oceanic flows as a function of length -scales. Motivated by this apparent discrepancy, Xu et al.
293 (2022) investigated the impacts of internal tides, high-resolution bathymetry, and high-frequency
294 atmospheric forcing on the SSH wavenumber spectra in the Atlantic Ocean using a series of
295 $1/50^\circ$ North and equatorial Atlantic simulations with a realistic representation of mesoscale-to-
296 submesoscale variability and barotropic/baroclinic tides. Their results highlight that the inclusion
297 of internal tides increases high-frequency SSH variability with clear peaks near the tidal
298 characteristic wavelength for the first and second vertical modes, and flattens the spectral slope
299 in the mesoscale range, improving the agreement with observations (Figures 5a-d). The surface
300 signature of internal tides is the most significant in the equatorial Atlantic where there are strong
301 barotropic tides and a strong stratification in the upper layer of the water column and is also seen
302 in the subtropical regions of the eastern North Atlantic. The internal tides are the primary cause
303 of the observed large spatial variability of the spectral slope in the Atlantic. High-resolution
304 bathymetry and high-frequency wind variability have a comparably minor impact on the
305 modeled large-scale SSH variability and SSH wavenumber spectra. On a local scale, however,
306 high-resolution bathymetry details, especially the slope near the shelf break, play an important
307 role in the generation of internal tides.

308 **2.3.3 Spectral fluxes**

309 The IGW spectrum not only involves the transfer of energy between internal wave
310 modes; it also mediates the transfer of oceanic kinetic energy from its injection at large scales in
311 eddies, near-inertial-waves, and tides to the smallest resolved scales. As such, properties of the
312 IGW spectrum depend on the ocean state, including the slowly varying background circulation
313 and surface forcing that may affect vertical stratification. An empirically determined form of the
314 global IGW spectrum has been determined by Garrett and Munk (1979) (the GM spectrum
315 hereafter) but has free parameters to account for regional and seasonal variation. Ongoing
316 research focuses on what determines these parameters and any deviation from the GM form;
317 nonlinear interactions involving IGWs are thought to be of particular importance.

318 Previous theoretical and idealized work on IGW-IGW interactions has identified some
319 important processes that move energy downscale within the supertidal IGW spectral continuum

320 (McComas and Bretherton, 1977; Dematteis et al., 2022). These studies did not attempt to
321 analyze eddy-IGW interactions in the same manner as IGW-IGW interactions and imagine the
322 GM spectrum as being shaped primarily by the latter processes. One mechanism, “induced
323 diffusion,” is thought to be particularly important and involves near-inertial and tidal IGWs
324 inducing downscale KE transfer in the supertidal continuum. Skitka et al. (2023, *in review*) used
325 a unique framework of asymmetric spectral kinetic-energy fluxes to diagnose these IGW-IGW
326 interactions along with analogous eddy-IGW interactions from a regional MITgcm ocean
327 simulation in the North Pacific (Nelson et al., 2020; Pan et al., 2020, Thakur et al., 2022).

328 Among other things, Skitka et al. (2023, *in review*) showed that IGW-eddy interactions
329 induce downscale KE flux within the supertidal IGW continuum in a manner analogous to
330 induced diffusion. This “eddy-induced diffusion” is the dominant mechanism of energy
331 exchange within the IGW supertidal continuum at 2-km horizontal grid spacing that are typical
332 for high-resolution global models, while it is comparable to canonical wave-induced diffusion at
333 the higher 250-m horizontal grid spacing that are achievable in regional models (Figure 6). Finer
334 grid spacing in the vertical and horizontal directions therefore make a large difference in the
335 details of the IGW cascade, including the rate of spectral flux, the dominant mechanisms that
336 contribute to the spectral flux, and the mechanisms and patterns of dissipation. All of these have
337 implications for global models. Further research is needed to fully resolve these mechanisms and
338 understand the sensitivity of oceanic parameters that are relevant to resolving them. For
339 instance, the relative magnitude of eddy-induced diffusion in Figure 6 suggests eddy fields are
340 important (particularly in resolution-limited models) in shaping the IGW spectrum and, in turn,
341 any wave-induced dissipation or mixing that may affect environmental acoustic parameters.
342 Eddy-induced diffusion also may be a key factor in both developing closures for partially
343 resolved IGW continua in global models such as HYCOM, as well as explaining substantial
344 regional variation that has been observed in the IGW spectrum (Polzin and Lvov, 2011).

345

346 **3. The impact of tidal forcing on acoustic propagation**

347 As light bends in different media, sound refracts whenever environmental factors induce
348 a change in sound speed. Sound speed is impacted by changes in pressure and gradients in
349 temperature and salinity structure that impact density, such as fronts, eddies, currents, vertical
350 stratification, and mixing. Internal tides and gravity waves undulate the water column and mix
351 the column when they break. A tidally-forced ocean model thus will have a sound-speed

352 structure that may be very different from that of a non-tidally-forced ocean model. The question
353 is how acoustic propagation will differ between these two models, and how important the
354 model's horizontal and vertical grid spacing are in capturing these processes?
355

356 **3.1 Acoustic parameters and propagation in Global HYCOM**

357 The Naval Research Laboratory made available the output from four simulations of the
358 Global HYCOM model, with and without tidal forcing and with and without data assimilation
359 (DA): tidal forcing and no DA (Exp. 19.0), no tidal forcing and no DA (Exp. 19.2), tidal forcing
360 and DA (Exp. 21.6), no tidal forcing and no DA (Exp. 19.1). Global HYCOM was run on 41-
361 density layers at roughly 2-km resolution in latitude and 2-km to 4-km resolution by longitude.
362 Temperature and salinity were interpolated to 101-depth layers to then compute the sound-speed
363 profiles. Hourly output was available for one month from May to June 2019. The four
364 simulations were compared to Spray glider observations in the California Current in the North
365 Pacific (Figure 7). The mean and standard deviation of the sound speed were computed from
366 each simulation at 3-hourly intervals over the region which the glider was profiling. (The glider
367 profiles from the surface to 500 m depth roughly every three hours.) Although this is not a region
368 of large tidal energy, the tidal forcing increased the sound speed variability. The simulation with
369 both tidal forcing and data assimilation compared most closely to observations. However, even
370 without data assimilation, the HYCOM simulation with tidal inclusion performed better than the
371 simulation without tidal forcing.

372 The sound speed variability at a given depth can be a sign of the sound speed surfaces
373 moving vertically. Global HYCOM can be used to visualize these waves propagating over great
374 distances by following depth changes of the single sound speed surface with time to using a
375 density surface. Figure 7c compares the depth of the 1510 m s^{-1} sound speed surface along a
376 5000 km transect in the North Pacific across the Luzon Strait, a location of strong internal tide
377 generation owing to a steep topographic ridge (e.g., Alford et al., 2015). At the ridge, located at
378 1000 km along the transect, internal tides propagate in both directions as seen by the radiating
379 lines in Exp. 19.0 which includes tidal forcing. Sharp topography in the North Pacific causes
380 additional internal tide propagation and interference (e.g., at 4800 km). These undulations are
381 absent in Exp 19.2 which did not include tidal forcing. We do not show the cases that include
382 data assimilation. Although the data-assimilative simulations were closer to observations (Figure

383 7a), spurious jumps can occur in sound-speed structure during an assimilation window, as
384 discussed in Section 2.

385 To further examine the impacts of tidal forcing, we compared acoustic transmission loss
386 and acoustic parameters between Global HYCOM simulations in the Amazon Basin. Acoustic
387 parameters are a set of tools that are used to examine the potential impact of physical ocean
388 characteristics on acoustic propagation (Naval Meteorology and Oceanography Command,
389 1986), a bellwether of the types of propagation that might occur given only the oceanography.
390 When examining the properties of potential surface duct propagation, two acoustic parameters of
391 interest are the sonic layer depth (SLD) and below-layer gradient (BLG). The SLD is defined as
392 the depth of the subsurface sound-speed maximum (e.g., Helber et al., 2008). Above this depth,
393 if the acoustic source is within the layer and the frequency is supported by the layer, sound can
394 potentially be trapped between the surface and the SLD. The BLG, defined as the gradient in
395 sound speed below the SLD, can influence the potential of the layer to retain trapped energy.

396 In addition to acoustic parameters, running an acoustic propagation model provides direct
397 information on how sound at a given frequency and depth may propagate. Propagation behaviors
398 can be quantified in terms of both transmission loss and acoustic arrival times. For the acoustic
399 model, we used a 1500 Hz, mid-frequency source and a three-dimensional ray-tracing model,
400 Bellhop 3D, available from the Ocean Acoustics Laboratory (Porter, 2011). The acoustic TL
401 provides a relative estimate of acoustic pressure and an estimate of whether the source may be
402 detectable at a certain range.

403 In the Amazon shelf region, there is propagation of low to high mode internal waves and
404 evidence of a strong semidiurnal tide. The semidiurnal tide causes internal tides to propagate in a
405 northeast direction away from the coast; this can be seen along the line in Figure 4b where
406 energy is transferred to higher harmonics. A virtual acoustic source was placed into the
407 simulations at 20 m depth at 4.1°N and 44.8°W, and acoustic TL and acoustic parameters from
408 the sound speed profile were examined along the 60° radial (Figure 8a) in the direction of tidal
409 propagation (Figure 4b). The semidiurnal internal tide creates fluctuations in the SLD and BLG
410 that are absent in the case without tidal forcing, where the depth of the layer is persistently
411 deeper. The SLD is shallower, and the BLG is more gradual in the tidal case, and each shows
412 semidiurnal variability. In the nontidal case there is a deeper SLD.

413 The ocean sound-speed structure differences between the two models which are hinted at
414 by the SLD and BLG impact the TL in the surface layer. In the tidal case, there is greater TL

415 (Figure 8a) likely owing to a shallower SLD, particularly from 20 to 23 May. Near the sources,
416 there is sensitivity to this depth, with transmission occurring on a semidiurnal timescale with the
417 SLD undulation. Additionally, the tidal case exhibits higher loss due to sound speed profiles with
418 more structure, that is, gradient changes in the sound speed due to the tidal variations can create
419 less convergent energy. In the nontidal case, there are no periodic fluctuations in TL, only
420 irregular fluctuations associated with submesoscale to mesoscale variability.

421 The mesoscale differences between simulations with and without tidal forcing make a
422 direct comparison between them problematic. Some of this variability is owing to how the tides
423 interact with the mesoscale field and atmospheric forcing. Correlation coefficients between wind
424 and mixed layer depths in the Amazon region were similar between the tides and no-tides
425 simulations, but with differences near the coast where currents and tidal variability were strong.
426 This will be an avenue of future research. The SLD was shallower and semidiurnal fluctuations
427 were present in the tidal case. Snapshots along the 60° radial provide insight into how the
428 differences in sound speed impacted acoustic TL (Figure 8b). The internal tides undulate the
429 pycnocline, causing changes to the vertical sound speed gradient. The effect of the SLD on the
430 TL is relatively straight forward, with greater TL if the source is not in the duct as the duct
431 shoals; but it is less clear how TL is impacted by changes in the BLG (Figure 7c) and by
432 horizontal gradients of sound speed that tidal forcing introduces. The structure of the sound
433 speed profiles (horizontally and vertically) guide the paths of the sound, a smooth profile will
434 result in a greater concentration of energy (less loss) and a profile with many small gradient
435 variations will result in more refracted energy (more loss) throughout the entirety of the
436 waveguide.

437

438 **3.2 Acoustic impacts of horizontal and vertical grid spacing**

439 Horizontal and vertical grid spacing play a significant role in the ability of models to
440 capture energy transfer in the ocean, and the grid spacing will impact acoustic propagation as
441 well. The acoustic impacts of model horizontal grid spacing were examined along the Mascarene
442 Ridge, where two tidally forced models are compared; the impacts of vertical grid spacing on
443 sound speed was explored using the idealized model described in Section 2.2.

444 The Mascarene Ridge is a location of nonlinear wave interactions, where solitons are
445 generated and propagate away from the Ridge (Figure 4c, f, i). We employ two-dimensional
446 nonhydrostatic simulations of the MITgcm, with a horizontal grid spacing of 100 m. By

447 comparison, the global HYCOM model (Exp. 19.0; with tides and no DA), is hydrostatic and has
448 a horizontal grid spacing forty-times smaller than that of the MITgcm. Although a direct
449 comparison of the sound-speed structure was not possible, as the HYCOM simulations were
450 initialized with an offset in temperature, the relative difference in acoustic parameters is
451 insightful (Figure 9). Looking at the SLD and BLG, the HYCOM model has a clear semidiurnal
452 signal which fluctuates the SLD up and down twice a day (Figure 9a). The MITgcm simulation
453 has a periodic signal as well, but it is disorganized, with propagation pathways appearing to
454 overlap (Figure 9b). The higher grid spacing of the MITgcm simulation allows nonlinear
455 interactions to occur, and these impact the sound speed structure.

456 The vertical grid spacing of simulations can also impact internal-wave energy transfer
457 and propagation when tidal forcing is included. To isolate this impact on acoustics, without the
458 confounding challenges of differences in mesoscale eddy fields or initialization states, we used
459 an idealized model (described in Section 2.2). The idealized model was initialized with the same
460 temperature profile, taken from the tropical Atlantic with constant salinity structure. For the
461 sound speed comparison, we used the simulations run on 8-, 16-, 32-, 48-, and 96-isopycnal
462 layers, and then interpolated to constant-depth coordinates. Taking the mean and standard
463 deviation of sound speed over 72-hour timesteps, there are clear differences between the
464 simulations (Figure 9c). The lower vertical grid simulations have a noticeably different mean
465 sound speed and deeper SLD. At lower resolution, the sound speed variability does not resolve
466 the variability near the surface around the thermocline depth or near 1200 m where a deep sound
467 channel can form. These can both impact the strength and distance of acoustic propagation. As
468 the number of layers increases, the sound-speed profiles and their variability converge with very
469 little difference between the 48- and 96-layer simulations. Future investigations into the impacts
470 of horizontal and vertical model grid spacing on acoustics will take place in using realistic
471 regional models.

472

473 **4. Developing awareness and creating solutions using Deep Learning (DL)**

474 Tidally forced, global ocean models are computationally expensive. In the following
475 section, DL is used to investigate the statistical differences between HYCOM simulations with
476 and without tidal forcing. The goal is to see if one can be generated from the other without
477 numerically solving the physical forcing equations, thus reducing the computational cost. In
478 theory this would allow someone to use an ocean simulation without tidal forcing to generate an

479 ocean state similar to that of a tidal forced ocean simulation, allowing them to better predict
480 acoustic propagation. To do this, we explore if DL can be used to translate the global HYCOM
481 without tides simulation (Exp. 19.0) to a global HYCOM simulation with tides (Exp. 19.2). A
482 Generative Adversarial Network (GAN) (Goodfellow et al. 2014; Creswell et al. 2018) is
483 proposed as a potential solution to this problem.

484 One of the challenges in this approach is that the ocean has chaotic, turbulent flow; very
485 small perturbations in the environment can lead to large differences in mesoscale eddy structure.
486 These large mesoscale differences between nontidal and tidal model results make it difficult to
487 make direct comparisons between the simulations. This effect is particularly evident in areas in
488 the ocean with large turbulence, such as the Gulf Stream. To address this issue, we consider the
489 HYCOM simulations with and without tidal forcing to be unpaired. This means that we do not
490 compare a specific point in space and time between the two simulations. Instead, we investigate
491 the differences in the statistical distributions of the two simulations for a large region of the
492 globe and see if it is possible to translate from one distribution to another.

493

494 **4.1 Statistical separation between non-tidal and tidal data**

495 Before developing a DL algorithm that can translate between nontidal and tidal results,
496 the spatial and time dimensions that cause the greatest statistical separation are investigated. To
497 investigate which dimensions have the greatest statistical separation, model results with and
498 without tidal forcing were combined into a single dataset and decomposed into empirical
499 orthogonal functions (EOFs).

500 For different pairs of dimensions, the orthogonal components of nontidal and tidal model
501 output were computed for HYCOM output between a set range of latitudes and longitudes. The
502 distributions of the coefficients were then visually investigated to search for any separation
503 between nontidal and tidal results. It was found that horizontal slices in latitude and longitude
504 have identical distributions of coefficients for the orthogonal components. This means that for a
505 horizontal slice of the ocean there is no difference between HYCOM simulations with and
506 without tidal forcing.

507 However, the coefficients for the EOFs that were computed for the time and depth
508 dimensions show notable statistical separation. Figure 10 shows several selected orthogonal
509 components for depth and time for a region off the Amazon shelf. The first three columns of
510 Figure 10 are selected components that do not have statistical separation. These components are

511 responsible for constructing the general structure of water temperature as a function of depth and
 512 time that both tidal and nontidal results exhibit. The last three columns represent components
 513 that have large differences in the coefficient distributions between the two simulations. Since the
 514 coefficients for these components are generally larger for tidal model results, they provide
 515 insight into the structures of water temperature as a function of depth and time that characterize
 516 tidally-forced model results in this region. This increased coefficient is another statistical way to
 517 quantify the increase in upper-ocean variability that occurs when tidal forcing is included in the
 518 ocean model, similar to the increase in sound-speed variance that was observed when looking at
 519 ocean-acoustic properties.

520

521 **4.2 Using DL to translate from a non-tidal ocean to a tidal ocean state**

522 General Adversarial Networks (GANs) are a DL technique that tries to learn a
 523 transformation from one statistical distribution to another, instead of trying to directly learn a
 524 specific distribution. Additionally, the DL model that performs that transformation is trained
 525 alongside an adversarial discriminator that is trying to differentiate between actual data and
 526 generated data (Goodfellow et al. 2014, Goodfellow et al. 2016). Given the way that GANs are
 527 formulated, they are well suited for the problem of translating nontidal results (NT) to tidal (T)
 528 results for vice versa.

529 Since we are considering the HYCOM results with and without tidal forcing to be
 530 unpaired data, we need a way to train the networks in a way that does direct comparison between
 531 simulations for a specific region with and without tidal forcing. This can be done with cycle-
 532 consistency loss. For this method, we train two separate generators and discriminators. One
 533 generator, $G_{NT \rightarrow T}(\cdot)$, translates from the non-tidal to the tidal domain, and the other generator,
 534 $G_{T \rightarrow NT}(\cdot)$, translates from the tidal to non-tidal domain. The two discriminators try to separate
 535 real tidal results from nontidal results that have been translated tidal domain and vice versa. For
 536 training, data is translated to the opposite domain, and then translated back to the original
 537 domain, $x^{\wedge}_{NT} = G_{T \rightarrow NT} G_{NT \rightarrow T}(x_{NT})$. The cycle-consistency loss is then defined as the L1
 538 distance between the original data sample and the double translated data as shown in the below
 539 equation (Zhu et al., 2017):

$$540 \quad \mathcal{L}_{cycle} = \|x_{\hat{NT}} - x_{NT}\|_1 + \|x_{\hat{T}} - x_T\|_1$$

541 The cycle-consistency loss is used in combination with the traditional GAN losses to train the
542 networks. Land values are set to exactly zero, passed through the generator and then turned back
543 into land values. The loss function thus ignores the land values since they have a loss of zero.
544 This technique is like that of Mejjati (2018) but without having to learn an attention mask as the
545 region is known a priori.

546 **4.3 Training the GAN model and results**

547 HYCOM output in the Atlantic Ocean was split into 90% training data and 10%
548 validation data. Validation was chosen in contiguous rectangles with a width of 32 grid spacing
549 in each latitude and longitude. This was done to minimize the degree to which validation samples
550 have multiple adjacent training samples, which would contribute to data leakage and overfitting.
551 Figure 11 shows the original HYCOM model results (i.e., Exp 19.0 and Exp. 19.2) and GAN
552 outputs for selected samples in the validation set. The nine subfigures represent several important
553 features that are present in the HYCOM results with and without tidal forcing and represent how
554 the model performs in different oceanographic conditions. As mentioned previously, the tidal
555 and nontidal HYCOM simulations cannot be considered paired data because of their mesoscale
556 differences.

557 One notable feature of the model output is that the general structure of the temperature
558 and salinity profiles is retained in the GAN translation. For example, in Figure 11a, a location off
559 New England near the Gulf Stream, the tidally forced observations show a fresher, cooler region
560 extending to 150 m depth that dissipates after approximately 90 hours. This is likely a mesoscale
561 intrusion and is not present in the nontidal results. Thus, it is poorly represented when comparing
562 the Global HYCOM (Exp. 19.0) to the GAN generated tidal fields.

563 The GAN model captures the periodic structure consistent with tidally forced
564 simulations. This result is reminiscent of the orthogonal components demonstrated in Figure
565 10d-f. Interestingly, the amount of variability in the HYCOM simulations changes depending on
566 the sample location. The DL model handles these differences consistently. For instance, Figure
567 11a has relatively strong periodic signatures in temperature and salinity and Figure 11b, located
568 just to the south, has a weaker periodic structure. In both of these cases, the periodicity of the
569 outputs of $G_{NT \rightarrow T}(\cdot)$ seem to match nicely with those from HYCOM Exp. 19.0 (tides). In
570 contrast, in a location in the mid-North Atlantic further from the shelf (Figure 11i), there is weak
571 periodic structure in tidal and non-tidal HYCOM, but the DL model artificially increases the
572 periodic structure (most visible in water velocity). This location, located in the Gulf Stream

573 extension away from shallow topography, likely has a small tidal signal and the periodic
574 structure is more consistent with mesoscale eddy variability. This is an instance where the DL
575 model imposes periodicity to make the sample like other tidally forced results, even though the
576 actual HYCOM sample does not contain this structure.

577 As the data we are utilizing is unpaired, it is difficult to evaluate the performance of the
578 model unless one visually inspects the outputs. In future work, a discriminator could be
579 developed that could be trained to differentiate between these results. Since the model output
580 used to train the DL models are sampled from a region of the globe during the same time of year,
581 no two samples can be completely independent. This introduces the risk of overfitting. In further
582 exploration of this topic, special care must be taken to ensure that data leakage is not occurring.
583 Using unpaired data makes the model more robust to overfitting since the output has not been
584 used in training but does not remove the risk entirely. Additionally, examination of the acoustic
585 structure shows that there is a persistent offset in the sound-speed profiles between the GAN
586 generated results and the original HYCOM (Exp. 19.0 and 19.2) simulations. Thus, although this
587 work provides a good starting point, further work can be done to improve this DL approach.

588

589 **5. Conclusions**

590 The TFO-HYCOM project was a cross-disciplinary investigation into the modeling of
591 internal tides and high-frequency IGWs, their sensitivity to model horizontal and vertical grid
592 spacing, the energy transfer and dissipation of IGWs, the impacts of these structures on acoustics
593 and the ability to model IGWs using DL techniques. During this project we examined the
594 sensitivity of modeled IGWs to bathymetry and damping schemes and compared them to
595 observations. The inclusion of internal tides in the models increases the high frequency SSH
596 variability, and high-resolution bathymetry in models generates a stronger internal tide signal
597 compared to low resolution models. We also examined the vertical mode decomposition, which
598 demonstrated kinetic energy fluxes to higher harmonic internal waves and supertidal nonlinear
599 internal waves. Internal wave dissipation was studied using spectral energy fluxes, which were in
600 good agreement with observations. Spectral flux analysis of internal wave energy demonstrated
601 the importance of internal wave and eddy interactions, which can also impact acoustics.
602 Simulations run with and without tides showed clear differences in mean sound-speed structure
603 and sound-speed variance, which was evident both in mesoscale features and at tidal frequencies.
604 Simulations that included tidal forcing had greater sound-speed variance and were more

605 consistent with observations. Tidal forcing directly impacted both acoustic parameters and
606 acoustic propagation which were also sensitive to model vertical and horizontal resolution. To
607 reduce computational cost, DL was used to parameterize tidal forcing and could reasonably
608 introduce the periodicity of internal tides at a given location.

609 The inclusion of tidal forcing in ocean models improves the representation of the ocean
610 state and its dynamics to better resemble observations. This has a direct impact on acoustic
611 propagation by changing the sound speed at scales from 2 km to 100s of km, and time scales of
612 hours to months. As global operational models begin to include tidal forcing it is important to
613 understand how the model's horizontal and vertical grid spacing impact their representation of
614 these physical processes, and how energy cascades through the internal wave spectrum. As
615 running these models at high resolution are computationally expensive, parameterizing them
616 using DL and other machine learning techniques may provide ways to better predict the impact
617 of these processes on acoustic propagation using a coarser grid spacing or simulations without
618 tidal forcing.

619
620

621 **Acknowledgements:**

622 This TFO-HYCOM project was funded by related Office of Naval Research (ONR) grants sent
623 to the different institutions involved – N00014-19-1-2712 to University of Michigan, N00014-
624 19-1-2717 to Florida State University, N00014-19-1-2704 to University of Southern Mississippi,
625 N00014-20-C-2018 to ARiA and Applied Ocean Sciences, LLC, and contract number N00014-
626 22WX00941 to the Naval Research Laboratory. We gratefully acknowledge ONR for their
627 support of our research.

628

629 **Author information:**

630 Martha C. Schönau is a Senior Scientist at Applied Ocean Sciences, LLC.

631

632 Luna Hiron is a postdoc at the Center for Ocean-Atmospheric Prediction Studies at Florida State
633 University.

634 John Ragland is a PhD student in the Department of Electrical and Computer Engineering at the
635 University of Washington.

636 Keshav J. Raja is an Assistant Research Scientist at the Center for Ocean-Atmospheric
637 Prediction Studies at Florida State University.

638 Joseph Skitka is a postdoc at the Department of Earth and Environmental Sciences at the

639 University of Michigan.
640 Miguel S. Solano is a postdoc at the School of Ocean Science and Engineering at the University
641 of Southern Mississippi.
642 Xiaobiao Xu is a Senior Research Scientist at the Center for Ocean-Atmospheric Prediction
643 Studies at Florida State University.
644 Brian K. Arbic is a professor in the Department of Earth and Environmental Sciences at the
645 University of Michigan.
646
647 Maarten C. Buijsman is an associate professor at the School of Ocean Science and Engineering
648 at the University of Southern Mississippi.
649 Eric P. Chassignet is a professor and director of the Center for Ocean-Atmospheric Prediction
650 Studies at Florida State University
651 Emanuel Coelho is Chief Scientist at Applied Ocean Sciences, LLC.
652 Robert W. Helber is an oceanographer for the Naval Research Laboratory at the Stennis Space
653 Center in Mississippi.
654
655 Jay F. Shriver is an oceanographer for the Naval Research Laboratory at the Stennis Space
656 Center in Mississippi.
657 Jason Summers is the Chief Scientist at Applied Research in Acoustics, LLC (ARiA).
658 Kathryn L. Verlinden is a Senior Scientist at Applied Ocean Sciences, LLC (AOS).
659 Alan J. Wallcraft is a Research Scientist at the Center for Ocean-Atmospheric Prediction Studies
660 at Florida State University.

661

662 **Author Contributions**

663 This manuscript highlights the research efforts by postdocs and early career researchers on the
664 TFO-HYCOM project. Martha Schönau was the lead writer of this manuscript, project lead at
665 her institution, and performed the research contributing to Figures 8 and 9a,b. Maarten Buijsman
666 (Figure 2) Luna Hiron (Figure 3 and Figure 9c), John Ragland (Figures 10 and 11), Keshav J.
667 Raja (part of Section 2), Joseph Skitka (Figure 6), Miguel S. Solano (Figures 1 and 4), Xiaobiao
668 Xu (Figure 5), Jay Shriver and Bob Helber (Figure 6) performed research described in this
669 document, and have submitted or will submit separate lead author papers that describe their
670 research in more detail. Brian K. Arbic, Maarten C. Buijsman, Eric P. Chassignet, Emanuel
671 Coelho, Robert W. Helber, Jay F. Shriver, and Jason E. Summers served as co-PIs of this TFO-
672 HYCOM project at their respective institutions and oversaw the research efforts. Arbic

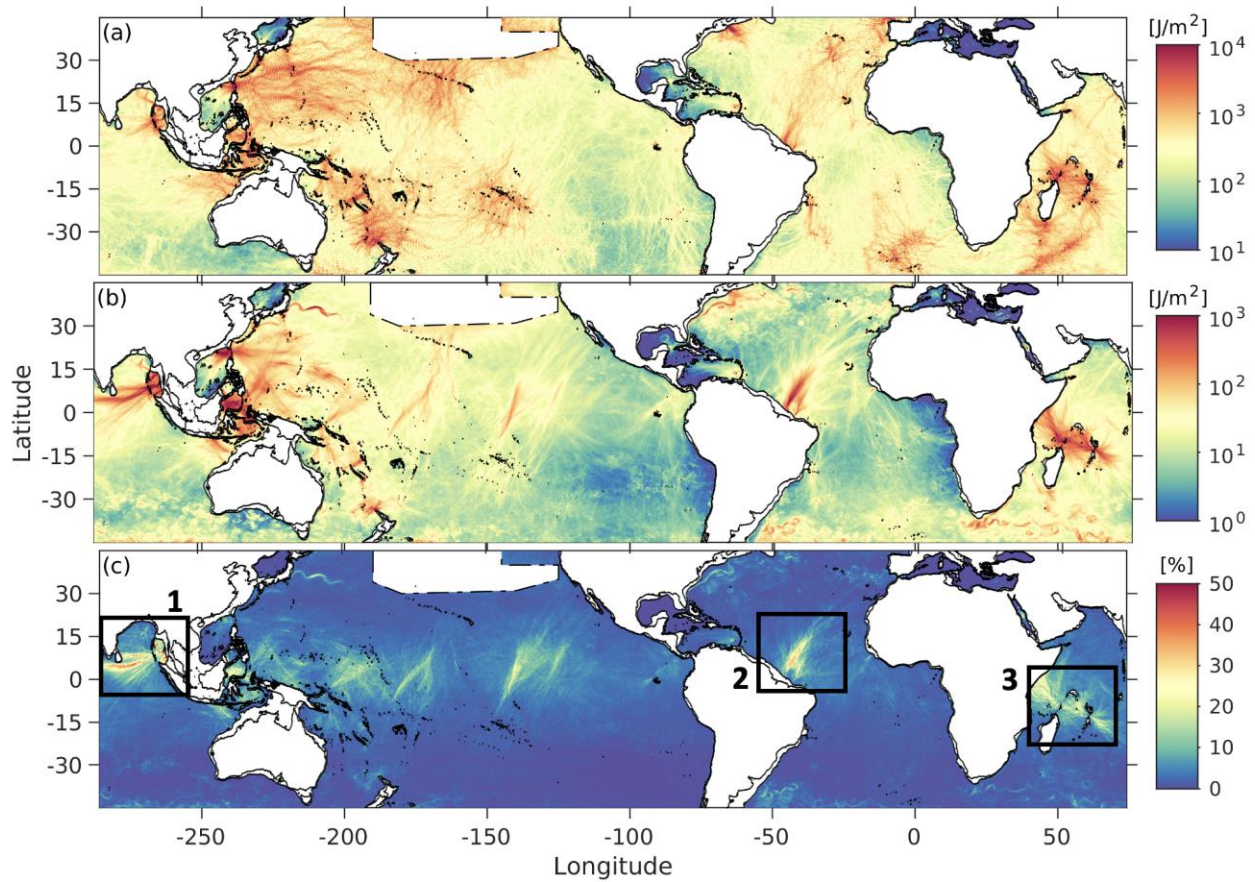
673 conceived the idea of a project on internal wave impacts on acoustics, co-led the proposal
674 writing, and organized regular online group meetings. Summers co-led the proposal writing for
675 the project and served as lead principal investigator. Kathryn L. Verlinden provided ocean-
676 atmospheric analysis in the Amazon region. Alan J. Wallcraft helped guide and run the Florida
677 State University basin-wide Atlantic HYCOM simulations.

678

679

680

681 **Figures:**



682

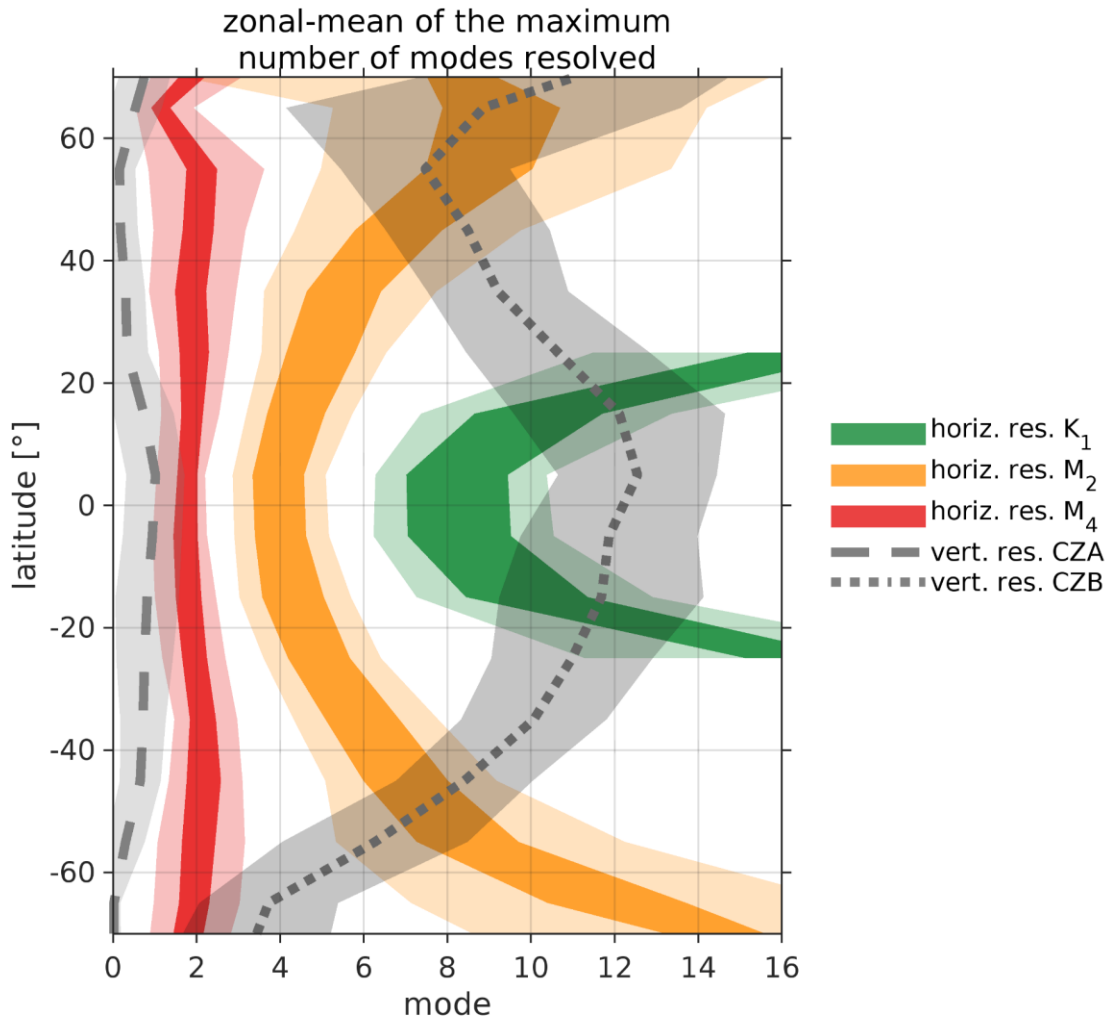
683 **Figure 1:** Time-mean and depth-integrated internal wave kinetic energy (J m^{-2}) band-passed at
684 (a) semidiurnal and (b) supertidal frequency bands, as well as (c) the ratio of supertidal (KE_{HH})
685 to total (KE_{TOT}) energy as a percentage. Regions with relatively high supertidal energy (>20%)
686 are indicated by the black rectangles: (1) the Bay of Bengal, (2) Amazon Shelf and (3)
687 Mascarene Ridge.

688

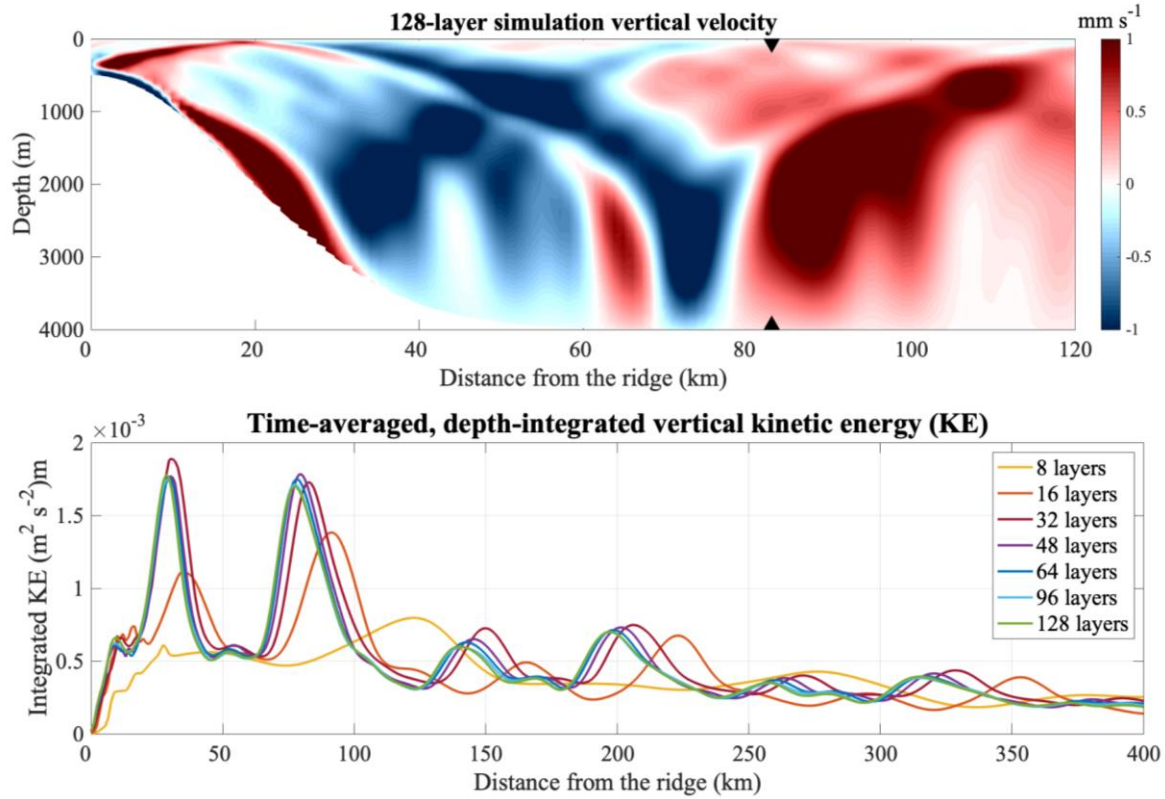
689

690

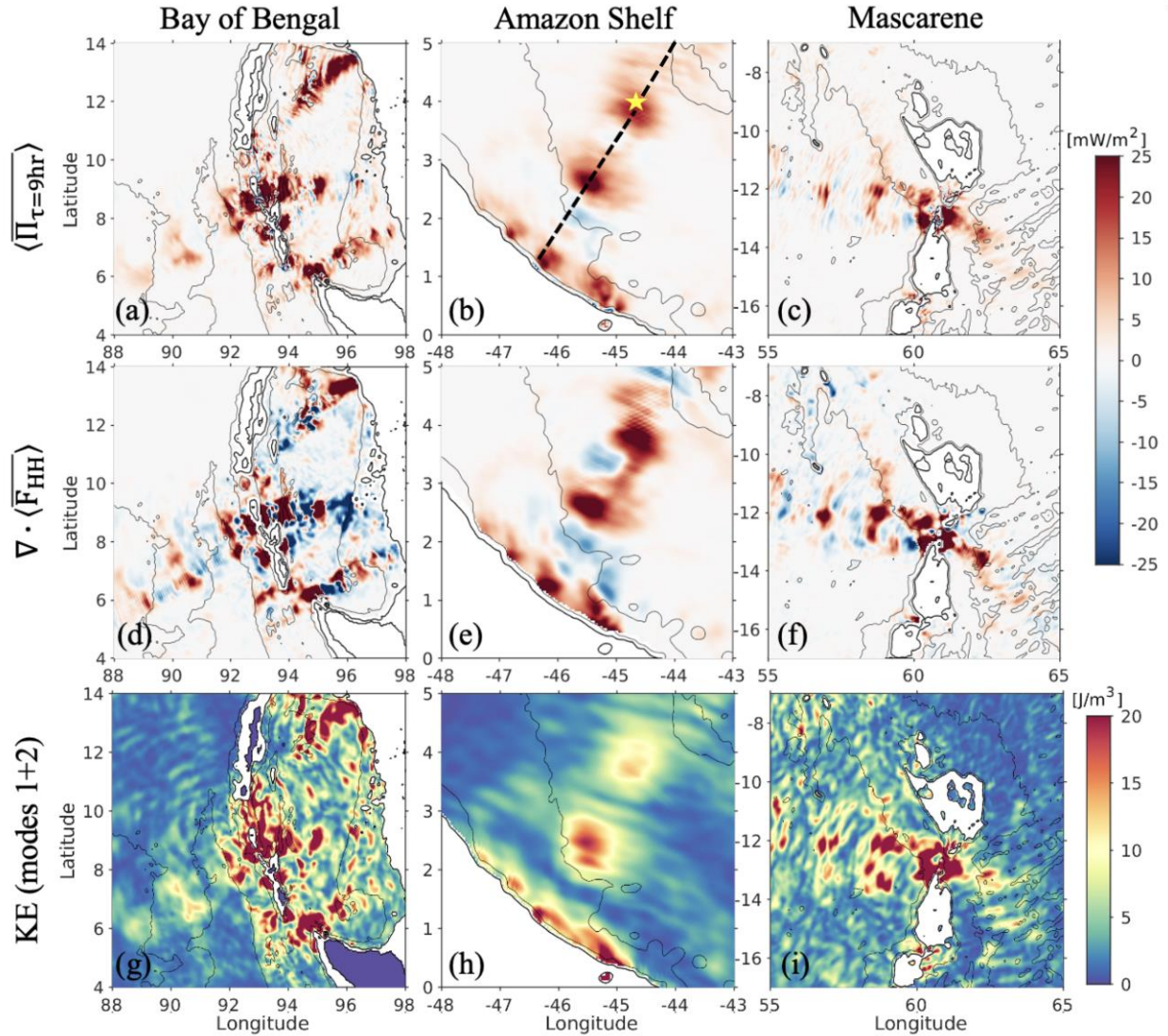
691



692
 693 **Figure 2.** The predicted number of modes resolved for the horizontal resolution for various
 694 internal tide frequencies (colored polygons) and the vertical resolution (gray shaded polygons)
 695 area-averaged over longitude and 10° latitude bins. K_1 , M_2 , M_4 represent the dominant diurnal,
 696 semidiurnal, and supertidal constituents of internal tides with decreasing wavelengths,
 697 respectively. For the horizontal resolution, the dark-colored polygons mark the range of the
 698 number of resolved modes bounded by 6 and 8 cells/wavelength and the light-colored polygons
 699 mark the extent of the zonal-mean ± 1 standard deviation. For the vertical resolution, the dashed
 700 lines mark the zonal-means and the gray-shaded polygons mark the extent of the zonal-mean ± 1
 701 standard deviation.
 702



703
 704 **Figure 3.** (Upper) Snapshot of the vertical velocity for the 128-layer simulation, zooming in
 705 closer to the ridge. The black triangles indicate the location where the sound speed profiles,
 706 shown in Fig. 9c, were computed. (Lower) Time-averaged, depth-integrated vertical kinetic
 707 energy ($\frac{1}{2} \int w^2 dz$) for different vertical discretizations: 8-, 16-, 32-, 48-, 64-, 96-, and 128-
 708 layers.
 709



710

711 **Figure 4:** Time-mean and depth-integrated coarse-grained kinetic energy transfer ($\langle \Pi_{\tau=9hr} \rangle$)

712 at (a) the Bay of Bengal and Andaman Sea, (b) Amazon Shelf and (c) Mascarene Ridge. Time-

713 mean, depth-integrated divergence of supertidal energy flux ($\nabla \cdot \langle F_{HH} \rangle$) (d) the Bay of Bengal

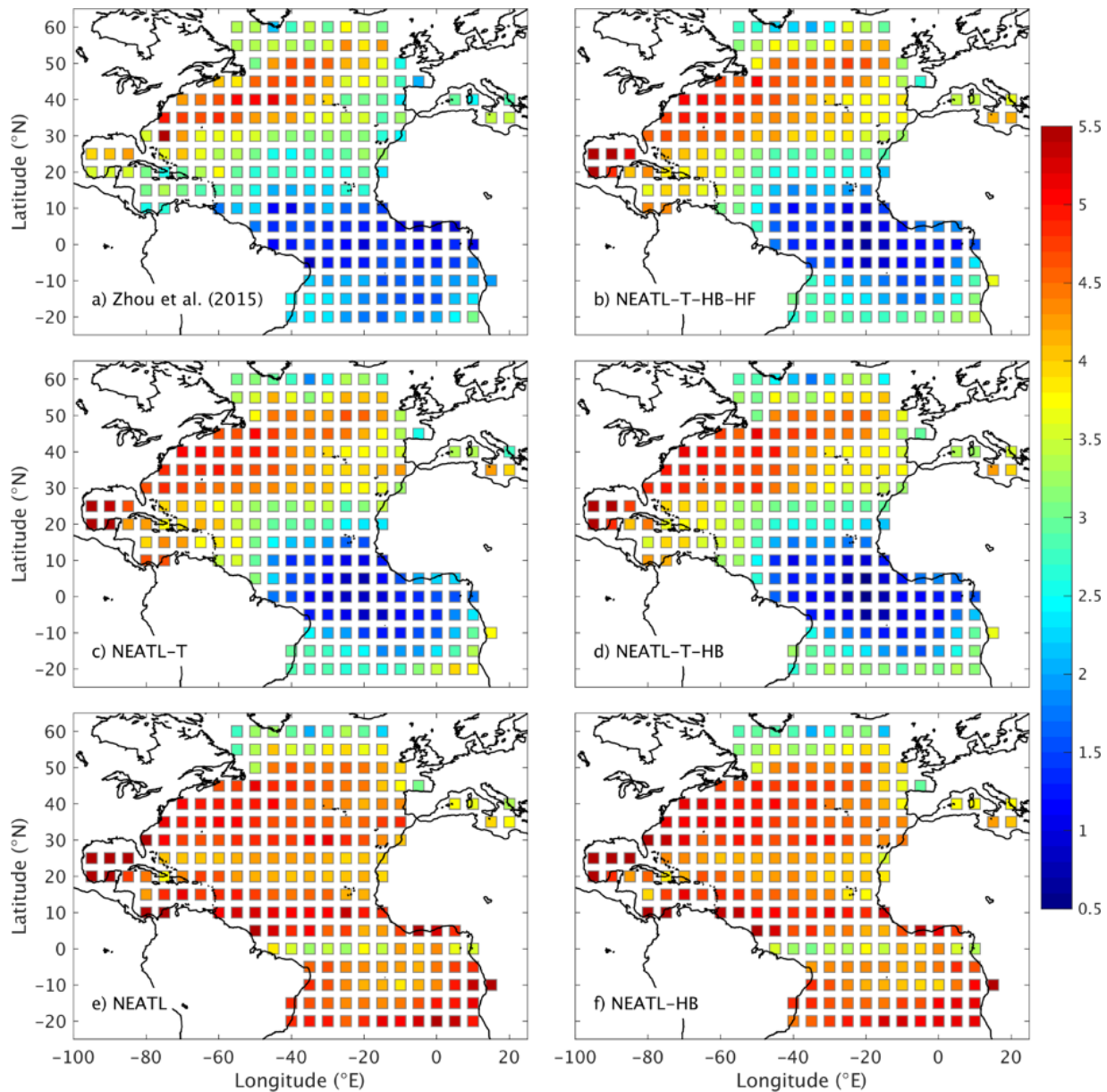
714 and Andaman Sea, (e) Amazon Shelf and (f) Mascarene Ridge. Time-mean surface kinetic

715 energy for the superposition of modes 1+2 at the surface at (g) the Bay of Bengal and Andaman

716 Sea, (h) Amazon Shelf and (i) Mascarene Ridge. Taken from Solano et al. (2023, submitted).

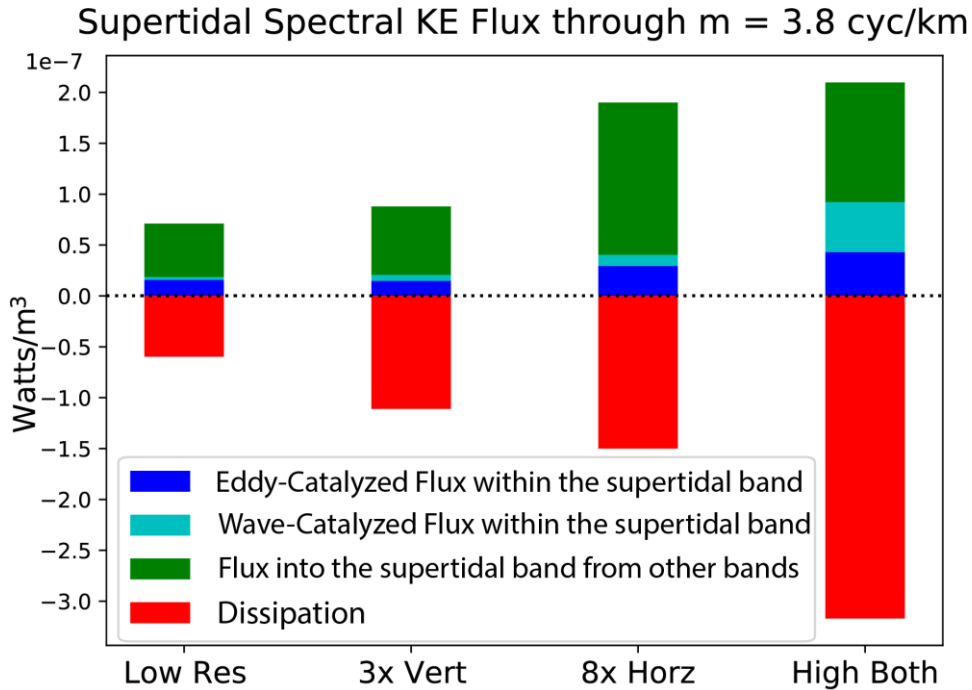
717

718



719

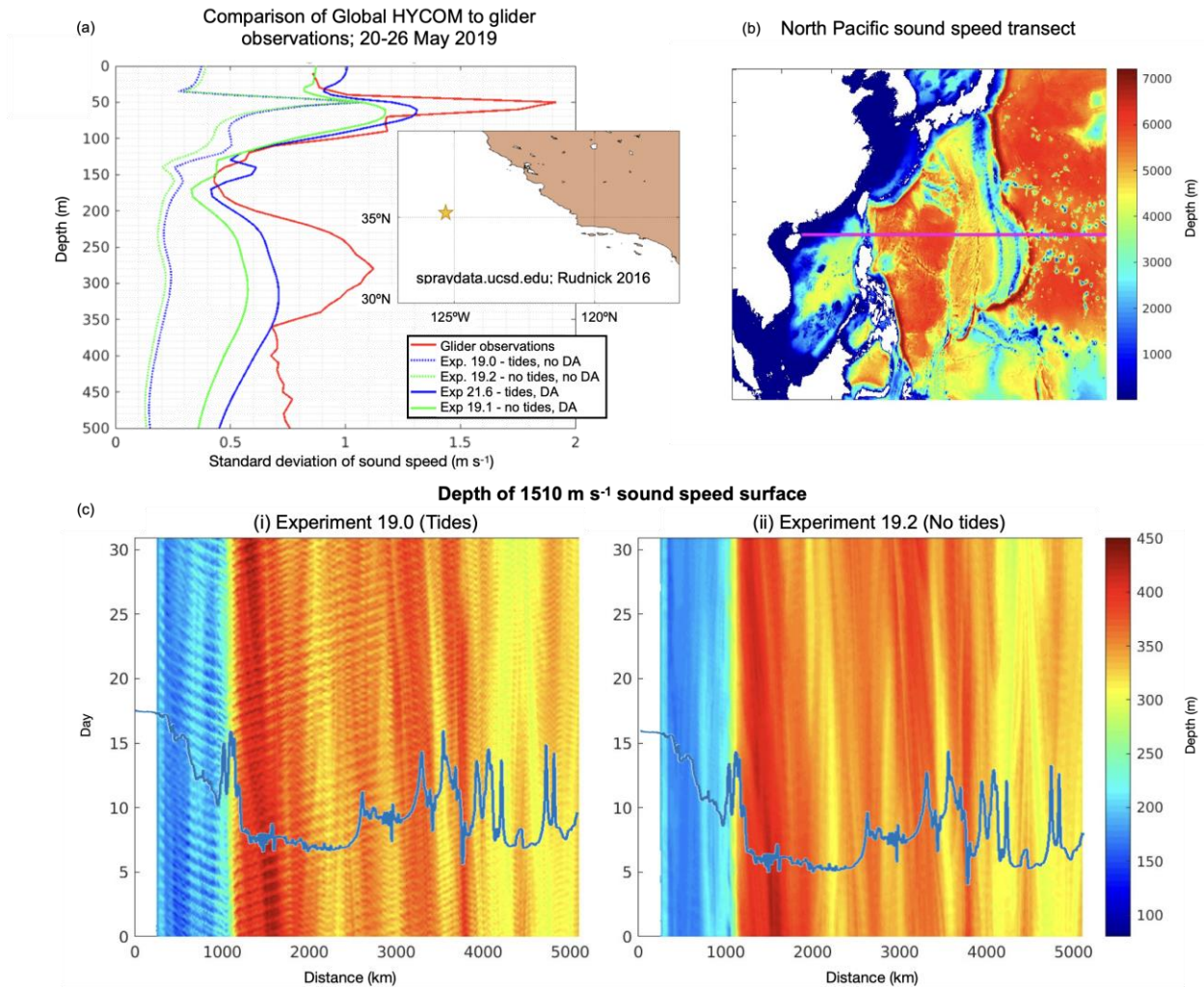
720 **Figure 5.** Mesoscale sea surface height (SSH) wavenumber spectral slope in the Atlantic Ocean
 721 based on a) satellite observations and b-f) a series of $1/50^\circ$ numerical simulations (see Xu et al.,
 722 2022 for more detail). NEATL-T-HB-HF (with tides, high-resolution bathymetry, and high-
 723 frequency atmospheric forcing); NEATL-T (with tides); NEATL-T-HB (with tides, high-
 724 resolution bathymetry); NEATL (no tides); NEATL-HB (no tides, with high-resolution
 725 bathymetry). The result highlights that the large-scale spatial variability of the spectral slope is
 726 primarily due to the internal tides, whereas the impact of high-resolution bathymetry (difference
 727 between c & d) and high-frequency atmospheric forcing (difference between b & d) is relatively
 728 small. Taken from Xu et al. 2022, Figure 7. **Permission requested.**



730

731 **Figure 6:** Vertical supertidal spectral kinetic-energy flux and integrated dissipation through a
 732 vertical wavenumber $m = 3.8$ cycles/km from a week of model output of a regional MITgcm
 733 ocean simulation in the North Pacific at different resolutions. Here, ω is the frequency of
 734 spectral modes in the flow and f_0 is the Coriolis frequency. A positive value of vertical supertidal
 735 spectral flux indicates downscale energy transport (based on the vertical length scale). The blue
 736 and cyan bars are the only two representing spectral flux within the supertidal continuum. The
 737 dissipation corresponds to an integrated spectral budget contribution of all sources of dissipation
 738 above $m = 3.8$ cycles/km and acts to remove energy flowing to small scales and should be in
 739 approximate balance to the positive fluxes. The “low-resolution” case has a horizontal grid
 740 spacing of 2 km and 88 vertical levels and other resolutions are given as relative to this base
 741 resolution. Adapted from Skitka et al. (2023, in review).

742



743

744

745

746

747

748

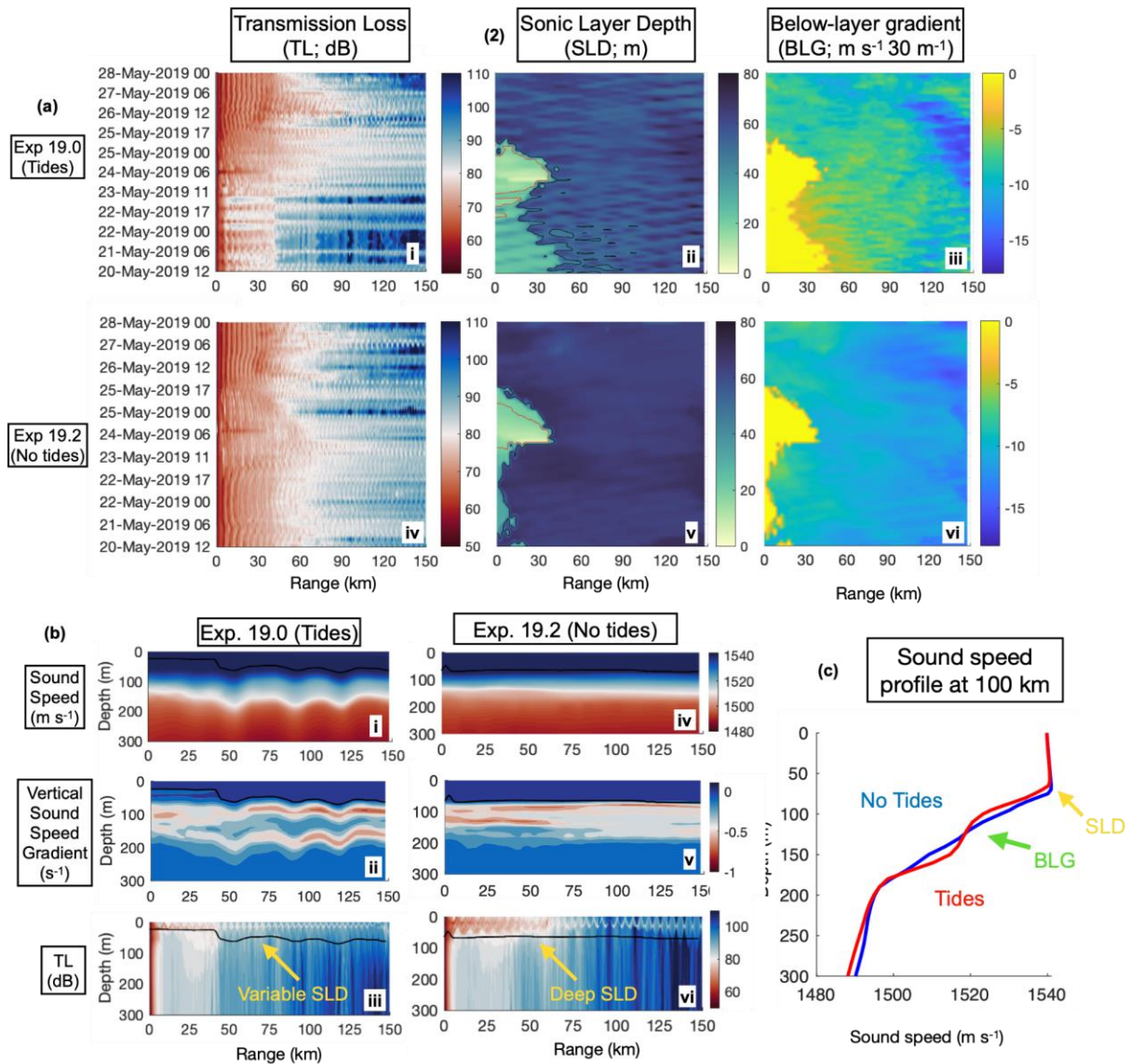
749

750

751

752

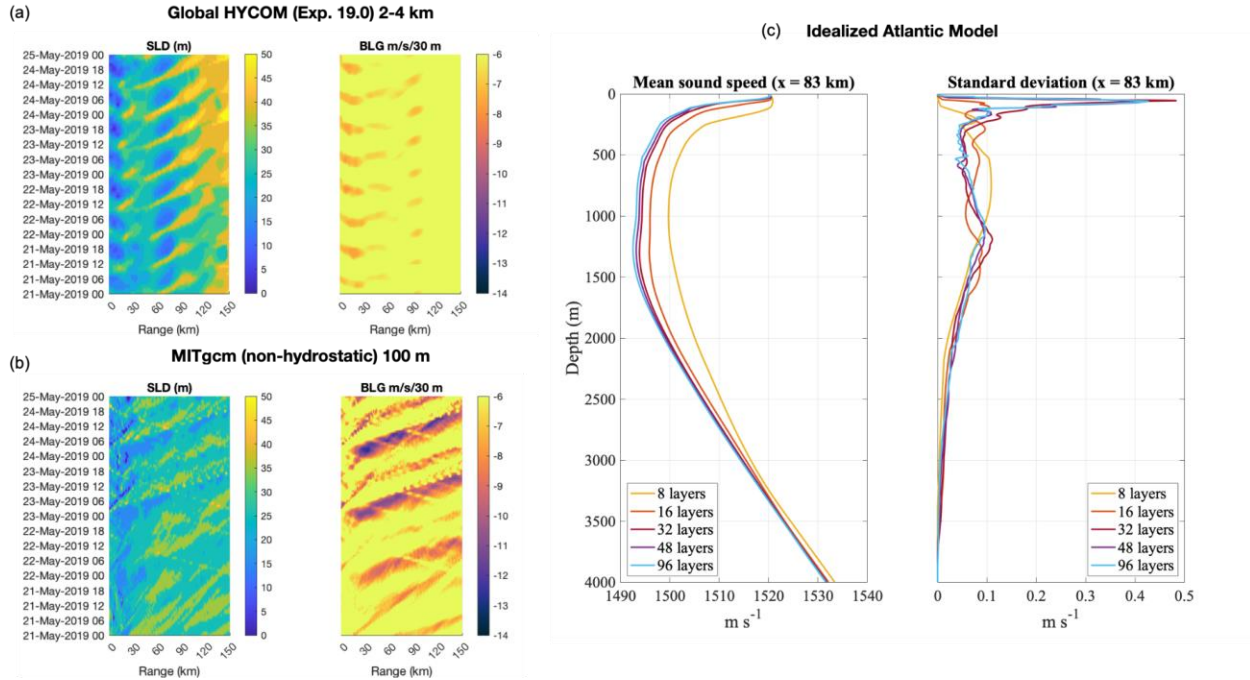
Figure 7: (a) Standard deviation of sound speed for 20-26 May 2019 from Global HYCOM simulations with and without tides and with and without data assimilation at location indicated on map of the coast of California. Simulations are compared to standard deviation computed from glider observations over the same week and location. (b) Map of transect (pink line) from the South China sea to the North Pacific, bisecting the Luzon Strait. (c) Bathymetry (blue line) overlaid on the depth of the 1510 m s⁻¹ sound speed surface along the transect shown in (b) for each (i) Exp. 19.0 (tides) and (ii) Exp 19.2 (no tides). The Luzon Strait is located at 1000 km distance.



753

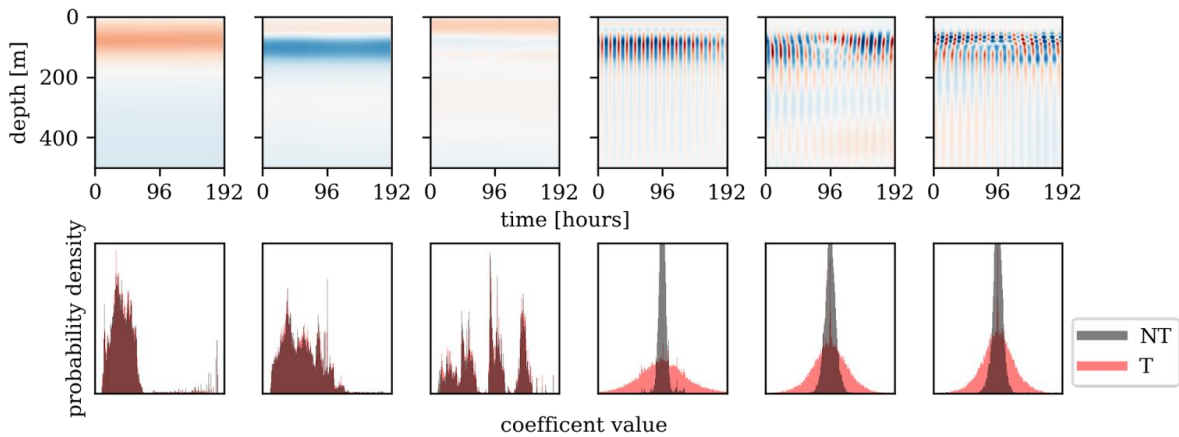
754

755 **Figure 8:** Comparison of acoustic propagation and properties between non-assimilative global
 756 HYCOM Exp.19.0 with tidal forcing and Exp.19.2 without tidal forcing. (a) Acoustic
 757 transmission loss (TL) at 20 m depth, sonic layer depth (SLD) and below-layer gradient (BLG)
 758 along a radial in the 60° direction (counterclockwise from east) from a 1500 Hz source at 20 m
 759 depth located in at 4.1°N and 44.8°W in the Amazon region for (i-iii) Exp. 19.0 (tides) and (iv-vi)
 760 Exp 19.2 (no tides). The location of the source and radial are along the line in Figure 4b (b) A
 761 snapshot from 20 May 2019 18:00:00 of (i, iv) sound speed ($m s^{-1}$) (ii, v) vertical gradient of
 762 sound speed (s^{-1}) and (iii,vi) TL (dB) from the source in (a) for (i-iii) Exp.19.0 and (iv-vi)
 763 Exp.19.2. (c) A single velocity profile at 100 km distance from the source along the 60° radial.



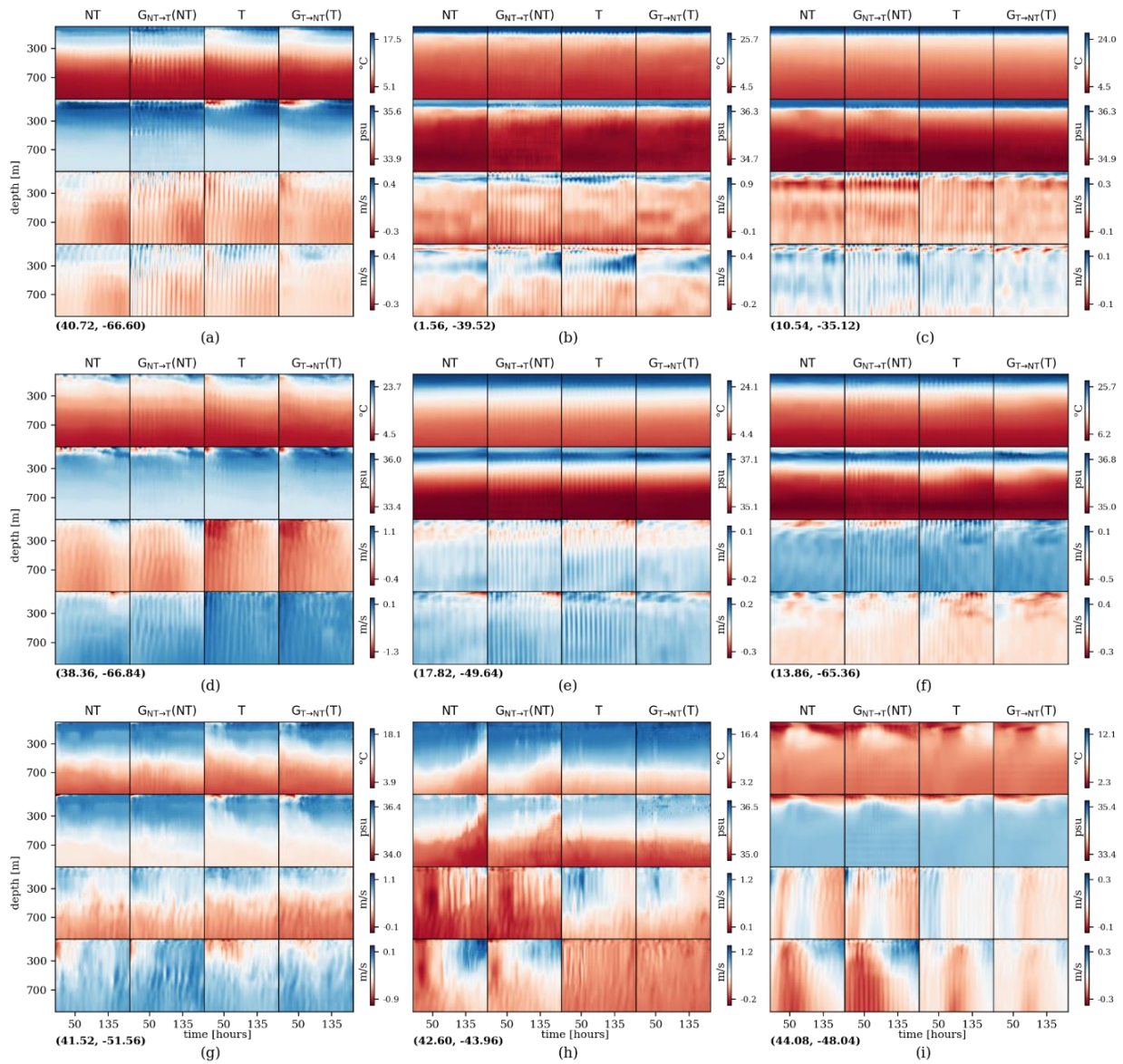
764
765
766
767
768
769

Figure 9: SLD and BLG for (a) global HYCOM Exp 19.0 and (b) a nonhydrostatic regional MITgcm simulation near the Mascarene Ridge (see Figure 4c). (c) Mean and standard deviation of sound speed from the idealized HYCOM model described in Section 2.2 at various vertical discretization (See Fig. 3a for the location of the profile).



770
771
772
773
774
775

Figure 10. Plot of six selected orthogonal components of the non-tidal (NT) and tidal (T) model simulations and the associated distributions of coefficients for the NT and T data. Each column represents a single orthogonal component of water temperature as a function of depth and time. The top plot is the orthogonal component and the bottom plot is the distribution of coefficients for the NT and T data.



777 **Figure 11.** Temporal outputs of the trained DL model at the location noted in the bottom left of
 778 each subfigure (a)-(i). For each panel: the first column is the non-tidal (NT) HYCOM results
 779 (Exp 19.2); the second column is the NT results translated into the tidal domain using the DL
 780 model; the third column is the tidal (T) HYCOM results (Exp 19.0); the fourth column is the T
 781 results translated into the NT domain using the DL model. Each row corresponds to the
 782 oceanographic variables from top to bottom: water temperature, salinity, eastward velocity, and
 783 northward velocity respectively.
 784

785

786 **References:**

787
788 Alford M.H., T. Peacock, J.A. MacKinnon, J.D. Nash, M.C. Buijsman, L.R. Centurioni, S.Y.
789 Chao, M.H. Chang, D.M. Farmer, O.B. Fringer and others. 2015. The formation and fate of
790 internal waves in the South China Sea. *Nature* 521(7550):65-9, doi: 10.1038/nature14399.
791
792 Ansong, J. K., B.K. Arbic, H.L. Simmons, M. H. Alford, M.C. Buijsman, P.G. Timko, A.J.
793 Wallcraft. 2018. Geographical distribution of diurnal and semidiurnal parametric subharmonic
794 instability in a global ocean circulation model. *Journal of Physical Oceanography* 48:1409-1431,
795 <https://doi.org/10.1016/j.pocean.2022.102824>.
796
797 Arbic, B.K., S.T. Garner, R.W. Hallberg, H.L. Simmons. 2004. The accuracy of surface
798 elevations in forward global barotropic and baroclinic tide models. *Deep-Sea Research II*
799 51:3069–3101, <https://doi.org/10.1016/j.dsr2.2004.09.014>.
800
801 Arbic, B.K, J.G. Richman, J.F. Shriver, P.G. Timko, E.J. Metzger, and A.J. Wallcraft. 2012.
802 Global modeling of internal tides within an eddying ocean general circulation model.
803 *Oceanography*, 25, 20-29, <https://doi.org/10.5670/oceanog.2012.38>.
804
805 Arbic, B.K., M.H. Alford, J.K. Ansong, M.C. Buijsman, R.B. Ciotti, J.T. Farrar, R.W. Hallberg,
806 C.E. Henze, C.N. Hill, C.A. Luecke and others. 2018. A primer on global internal tide and
807 internal gravity wave continuum modeling in HYCOM and MITgcm. In *New frontiers in*
808 *operational oceanography*, E. Chassignet, A. Pascual, J. Tintore, and J. Verron, Eds., GODAE
809 OceanView, 307-392, <https://doi.org/10.17125/gov2018.ch13>.
810
811 Arbic, B.K. 2022. Incorporating tides and internal gravity waves within global ocean general
812 circulation models: A review. *Progress in Oceanography* 206:102824,
813 <https://doi.org/10.1016/j.pocean.2022.102824>.
814
815 Arbic, B.K., S. Elipot, J.M. Brasch, D. Menemenlis, A.L. Ponte, J.F. Shriver, X. Yu, E.D. Zaron,
816 M.H. Alford, M.C. Buijsman and others. 2022. Near-surface oceanic kinetic energy distributions
817 from drifter observations and numerical models. *Journal of Geophysical Research: Oceans* 127:
818 e2022JC018551, <https://doi.org/10.1029/2022JC018551>.
819
820 Bleck, R., 2002. An oceanic general circulation model framed in hybrid isopycnic Cartesian
821 coordinates. *Ocean Modelling* 4:5588. [https://doi.org/10.1016/S1463-5003\(01\)00012-9](https://doi.org/10.1016/S1463-5003(01)00012-9).
822
823
824
825 Buijsman, M. C., Y. Kanarska, and J.C. McWilliams. 2010. On the generation and evolution of
826 nonlinear internal waves in the South China Sea, *Journal of Geophysical Research* 115:C02012,
827 doi:10.1029/2009JC005275.
828
829 Buijsman, M., G.R. Stephenson, J.K. Ansong, B.K. Arbic, M. Green, J.G. Richman, J.F.
830 Shriver, and others. 2020. On the interplay between horizontal resolution and wave drag and
831 their effect on tidal baroclinic mode waves in realistic global ocean simulations. *Ocean*
832 *Modelling* 152:101656, <https://doi.org/10.1016/j.ocemod.2020.101656>.
833

834 Buijsman, M., M. Solano, J.F. Shriver, *In Preparation*. Variance in baroclinic modes across
835 frequency bands in a global ocean simulation. *Ocean Modelling*.
836

837 Chassignet, E. P., L. T. Smith, G. R. Halliwell, and R. Bleck. 2003. North Atlantic Simulations
838 with the Hybrid Coordinate Ocean Model (HYCOM): Impact of the Vertical Coordinate Choice,
839 Reference Pressure, and Thermobaricity. *Journal of physical oceanography* 33:2504–2526,
840 [https://doi.org/10.1175/1520-0485\(2003\)033<2504:NASWTH>2.0.CO;2](https://doi.org/10.1175/1520-0485(2003)033<2504:NASWTH>2.0.CO;2).
841

842 Chassignet, E.P., H.E. Hurlburt, E.J. Metzger, O.M. Smedstad, J.A. Cummings, G.R. Halliwell,
843 R. Bleck, R. Baraille, A.J. Wallcraft, C. Lozano, H.L. Tolman, A. Srinivasan, S. Hankin, P.
844 Cornillon, R. Weisberg, A. Barth, R. He, F. Werner, and J. Wilkin. 2009. US GODAE: Global
845 ocean prediction with the HYbrid Coordinate Ocean Model (HYCOM). *Oceanography*
846 22(2):64–75, <https://doi.org/10.5670/oceanog.2009.39>.
847

848 Chassignet, E. P., and Xu, X. 2017. Impact of horizontal resolution (1/12° to 1/50°) on Gulf
849 Stream separation, penetration, and variability. *Journal of Physical Oceanography*
850 47:1999–2021, <https://doi.org/10.1175/JPO-D-17-0031.1>.
851

852 Creswell, A., T. White, V. Dumoulin, K. Arulkumaran, B. Sengupta and A. A. Bharath. 2018.
853 Generative Adversarial Networks: An Overview. *IEEE Signal Processing Magazine*, 35(1):53-
854 65, doi: 10.1109/MSP.2017.2765202.
855

856 Cummings, J.A. and O.M Smedstad. 2013. Variational data assimilation for the global ocean.
857 Pp.303-343 in *Data Assimilation for Atmospheric, Oceanic and Hydrologic Applications (Vol.*
858 *II)* doi: 10.1007/978-3-642-35088-7_13, Springer-Verlag Berlin Heidelberg.
859

860 Dufau, C., M. Orszynowicz, G. Dibarboure, R. Morrow, P.-Y. Le Traon. 2016. Mesoscale
861 resolution capability of altimetry: Present and future. *Journal of Geophysical Research. Oceans*
862 121:4910–4927, <https://doi.org/10.1002/2015JC010904>.

863 Dematteis, G., K. Polzin, and Y. V. Lvov. 2022. On the origins of the oceanic ultraviolet
864 catastrophe. *Journal of Physical Oceanography* 52(4):597–616, [https://doi.org/10.1175/JPO-D-](https://doi.org/10.1175/JPO-D-21-0121.1)
865 [21-0121.1](https://doi.org/10.1175/JPO-D-21-0121.1).

866 Eden, C., F. Pollmann, and D. Olbers. 2020. Towards a Global Spectral Energy Budget for
867 Internal Gravity Waves in the Ocean, *Journal of Physical Oceanography* 50(4):935-944,
868 <https://doi.org/10.1175/JPO-D-19-0022.1>

869 Egbert, G.D., A.F. Bennett and M.G.G Foreman. 1994. Topex/Poseidon tides estimated using a
870 global inverse model. *Journal of Geophysical Research* 99:24821–24852,
871 <https://doi.org/10.1029/94JC01894>.
872

873 Garrett, C., and E. Kunze, 2007. Internal Tide Generation in the Deep Ocean. *Annual Review of*
874 *Fluid Mechanics* 39(1):57-87. Doi: 10.1146/annurev.fluid.39.050905.110227.

875 Garrett, C., and W. Munk. 1979. Internal waves in the ocean. *Annual Review of Fluid*
876 *Mechanics*, 11(1):339–369, <https://doi.org/10.1146/annurev.fl.11.010179.002011>.

877 Geoffroy, G., J. Nycander, M.C. Buijsman, J.F. Shriver, and B.K. Arbic. 2022. Validating the
878 spatial variability of the semidiurnal internal tide in a realistic global ocean simulation with Argo
879 and mooring data. *EGUsphere [preprint]*, <https://doi.org/10.5194/egusphere-2022-1085>.

880 Gill, Adrian E. 1982. *Atmosphere-ocean dynamics*. Vol. 30. Academic press, London, UK, 1982.

881 Goodfellow, I. J., J. Pouget-Abadie, M. Mirza, B. Xu, D. Warde-Farley, S. Ozair, A. Courville,
882 and Y Bengio. 2014. Generative Adversarial Networks. *ArXiv:1406.2661 [Cs, Stat]*.
883 <http://arxiv.org/abs/1406.2661>

884 Goodfellow, I., Bengio, Y., & Courville, A. (2016). *Deep Learning*. MIT Press.
885 <http://www.deeplearningbook.org>

886 Helber, R. W., C.N. Barron, M.R. Carnes and R.A. Zingarelli. 2008. Evaluating the sonic layer
887 depth relative to the mixed layer depth. *Journal of Geophysical Research* 113:C07033.
888 doi:10.1029/2007JC004595.

889 Hogan, T.F., M. Liu, J.A. Ridout, M.S. Peng, T.R. Whitcomb, B.C. Ruston, C.A. Reynolds, S.D.
890 Eckermann, J.R. Moskaitis, N.L. Baker, J.P. McCormack, K.C. Viner, J.G. McLay, M.K. Flatau,
891 L. Xu, C. Chen, and S.W. Chang. 2014. The Navy Global Environmental Model. *Oceanography*
892 27(3):116–125, <https://doi.org/10.5670/oceanog.2014.73>.

893
894

895 Kelly, S. M., A. F. Waterhouse, and A. C. Savage. 2021. Global dynamics of the stationary M2
896 Mode-1 internal tide. *Geophysical Research Letters* 48:e2020GL091692, doi:
897 10.1029/2020GL091692.

898

899 Lamb, K. G. 2004. Nonlinear interaction among internal wave beams generated by tidal flow
900 over supercritical topography, *Geophysical Research Letters* 31:L09313,
901 doi:[10.1029/2003GL019393](https://doi.org/10.1029/2003GL019393).

902

903 Luecke, C.A., B.K. Arbic, J.G. Richman, J.F. Shriver, M.H. Alford, J.K. Ansong,
904 S.L. Bassette, M.C. Buijsman, D. Menemenlis, R.B. Scott, and others. 2020. Statistical
905 comparisons of temperature variance and kinetic energy in global ocean models and
906 observations: Results from mesoscale to internal wave frequencies. *Journal of Geophysical*
907 *Research: Oceans* 125:e2019JC015306, <https://doi.org/10.1029/2019JC015306>.

908

909 Marshall, J., A. Adcroft, C. Hill, L. Perelman, C. Heisey. 1997. A finite-volume,
910 incompressible Navier Stokes model for studies of the ocean on parallel computers.
911 *Journal of Geophysical Research* 102:5753–5766, <https://doi.org/10.1029/96JC02775>.

912

913 Mejjati, Y. A., C. Richardt, J. Tompkin, D. Cosker, and K.I. Kim. 2018. Unsupervised Attention-
914 guided Image to Image Translation (arXiv:1806.02311). arXiv.
915 <https://doi.org/10.48550/arXiv.1806.02311>

916

917 Metzger, E.J., O.M. Smedstad, P.G. Thoppil, H.E. Hurlburt, J.A. Cummings, A.J. Wallcraft, L.
918 Zamudio, D.S. Franklin, P.G. Posey, M.W. Phelps, P.J. Hogan, F.L. Bub, and C.J. DeHaan.
919 2014. US Navy operational global ocean and Arctic ice prediction systems. *Oceanography*
920 27(3):32–43, <http://dx.doi.org/10.5670/oceanog.2014.66>.

921 McComas, C. H., and F. P. Bretherton. 1977. Resonant interaction of oceanic internal waves.
922 *Journal of Geophysical Research* 82(9):1397–1412, <https://doi.org/10.1029/JC082i009p01397>.

923 Naval Meteorology and Oceanography Command (1986), Fleet Oceanographic and Acoustic
924 Reference Manual.

925 Nelson, A., B. Arbic, D. Menemenlis, W. Peltier, M. Alford, N. Grisouard, and J. Klymak. 2020.
926 Improved internal wave spectral continuum in a regional ocean model. *Journal of Geophysical*
927 *Research: Oceans* 125(5), <https://doi.org/10.1029/2019JC015974>.

928 Ngodock, H. E., I. Souopgui, A. J. Wallcraft, J. G. Richman, J. F. Shriver, and B. K. Arbic.
929 2016. On improving the accuracy of the barotropic tides embedded in a high-resolution
930 global ocean circulation model. *Ocean Modelling* 97:16-26,
931 <https://doi.org/10.1016/j.ocemod.2015.10.011>

932
933 Pan, Y., B.K. Arbic, A.D. Nelson, D. Menemenlis, W.R. Peltier, W. Xu, and Y Li. 2020.
934 Numerical investigation of mechanisms underlying oceanic internal gravity wave
935 power-law spectra. *Journal of Physical Oceanography* 50:2713–2733. [https://doi.org/10.1175/](https://doi.org/10.1175/JPO-D-20-0039.1)
936 [JPO-D-20-0039.1](https://doi.org/10.1175/JPO-D-20-0039.1).

937 Polzin, Kurt L., and Yuri V. Lvov. 2011. Toward regional characterizations of the oceanic
938 internal wavefield." *Reviews of Geophysics* 49(4).

939 Porter, Michael. 2011. The BELLHOP Manual and User's Guide: PRELIMINARY DRAFT.
940 <http://oalib.hlsresearch.com/Rays/HLS-2010-1.pdf>. Accessed 23 March 2023.

941
942 Raja, K. J., M.C. Buijsman, J.F. Shriver, B.K. Arbic and O. Siyanbola. 2022. Near-
943 inertial wave energetics modulated by background flows in a global model simulation. *Journal*
944 *of Physical Oceanography* 52(5):823-840.

945
946 Raja, K. J., M. Buijsman, A. Bozec, R.W. Helber, J.F. Shriver, A. Wallcraft, E.P. Chassignet,
947 B.K. Arbic. 2023. Spurious internal wave generation during data assimilation in eddy resolving
948 ocean model simulations. *Ocean Modelling.*, submitted.

949
950
951 Rudnick, D. 2016. California Underwater Glider Network [Data set]. Scripps Institution of
952 Oceanography, Instrument Development Group. doi: 10.21238/S8SPRAY1618

953
954 Siedler, G., S.M. Griffies, J. Gould, and J. A. Church (Eds.). 2013. *Ocean Circulation and*
955 *Climate – A 21st Century Perspective*. Elsevier - Academic Press, Amsterdam.

956
957 Simmons, H.L., R.W. Hallberg, B.K. Arbic. 2004. Internal wave generation in a global
958 baroclinic tide model. *Deep-Sea Research II*, 51:3043–3068. [https://doi.org/10.1016/j.](https://doi.org/10.1016/j.dsr2.2004.09.015)
959 [dsr2.2004.09.015](https://doi.org/10.1016/j.dsr2.2004.09.015).

960 Skitka, J., B.K. Arbic, R. Thakur, D. Menemenlis, W.R. Peltier, Y. Pan, K. Momeni, and Y Ma.
961 2022. Probing the nonlinear interactions of supertidal internal waves using a high-resolution
962 regional ocean model. In Review.

- 963 Solano, M., M.C. Buijsman, J.F. Shrive, J. Magalhaes, J.C. Da Silva, C. Jackson, B.K. Arbic, R.
964 Barkan. 2023. Nonlinear internal tides in a realistically forced global ocean simulation. *Journal*
965 *of Geophysical Research*, submitted.
- 966 Stewart, K.D., A.M.C. Hogg, S.M. Griffies, A.P. Heerdegen, M.L. Ward, P. Spence, M.H.
967 England. 2017. Vertical resolution of baroclinic modes in global ocean models. *Ocean Modelling*
968 113:50–65, doi:10.1016/j.ocemod.2017.03.012.
- 969 Thakur, R., B.K. Arbic, D. Menemenlis, K. Momeni, Y. Pan, W.R. Peltier, J. Skitka, M.H.
970 Alford, and Y. Ma, 2022: Impact of vertical mixing parameterizations on internal gravity wave
971 spectra in regional ocean models. *Geophysical Research Letters* 49:e2022GL099614,
972 <https://doi.org/10.1029/2022GL099614>
- 973 Xu, X., E.P. Chassignet, A.J. Wallcraft, B.K. Arbic, M.C. Buijsman and M. Solano. 2022. On the
974 spatial variability of the mesoscale sea surface height wavenumber spectra in the Atlantic Ocean.
975 *Journal of Geophysical Research: Oceans* 127:e2022JC018769,
976 <https://doi.org/10.1029/2022JC018769>
- 977 Xu, Y., and Fu, L.-L. 2012. The effects of altimeter instrument noise on the estimation of the
978 wavenumber spectrum of sea surface height. *Journal of Physical Oceanography* 42:2229–2233,
979 <https://doi.org/10.1175/JPO-D-12-0106.1>.
- 980 Zhou, X.-H., D.-P Wang, and D. Chen. 2015. Global wavenumber spectrum with corrections for
981 altimeter high frequency noise. *Journal of Physical Oceanography* 45(2):495-503,
982 <https://doi.org/10.1175/JPO-D-14-0144.1>.
- 983 Zhu, J. Y., T. Park, P. Isola, and A.A. Efros. 2017. Unpaired image-to-image translation using
984 cycle-consistent adversarial networks. Pp. 2223-2232 in *Proceedings of the IEEE international*
985 *conference on computer vision*. Venice, Italy. doi: 10.1109/ICCV.2017.244.