

# Simultaneous resolution of the eddying general circulation and reasonably accurate tides in a 32-layer, 1/12.5° global ocean model

## ABSTRACT

This paper presents a global simulation of HYCOM, the HYbrid Coordinate Ocean Model, that simultaneously resolves the eddying general circulation, barotropic tides, and baroclinic tides with 32 layers in the vertical direction and 1/12.5° horizontal grid spacing. A parameterized topographic wave drag is inserted into the model and tuned so that the surface tidal elevations are of comparable accuracy to optimally tuned forward tide models used in previous studies. The model captures 93% of the open-ocean sea-surface height variance of the eight largest tidal constituents, as recorded by a standard set of 102 pelagic tide gauges spread around the World Ocean. In order to minimize the impact of the wave drag on non-tidal motions, the model utilizes a running 25-hour average to roughly separate tidal and non-tidal components of the bottom flow. In contrast to earlier high-resolution global baroclinic tide simulations, which utilized tidal forcing only, the run presented here has a horizontally non-uniform stratification, supported by the wind- and buoyancy forcing. The horizontally varying stratification affects the baroclinic tides in high latitudes to first order. The magnitude of the internal tide perturbations to sea surface height around Hawai'i is quite similar to that seen in satellite altimeter data, although the exact locations of peaks and troughs in the model differ from those seen in the altimeter. Images of eddies and internal tides co-existing in the model are shown, and a discussion of planned future analyses that will extend far beyond the preliminary analyses shown here is given.

# 1 Introduction

Oceanic motions take place on a variety of space and time scales. Through nonlinear terms in the governing hydrodynamical equations, these different scales interact with each other. As computational resources increase, it becomes possible to include more and more of these scales in numerical simulations of the ocean, and hence to increase the realism of the simulations. This paper presents an early attempt to simultaneously resolve the oceanic general circulation, its associated mesoscale eddy field, and the barotropic and baroclinic tides, at high horizontal and vertical resolution, in a global model. The baroclinic tide and the mesoscale eddy field have similar (order 100 km) spatial scales, but tides have much higher frequencies than those associated with the eddying general circulation. We describe the numerical technique we have used to ensure an accurate barotropic tide without severely disrupting the mesoscale eddy field. As will be described below, it is far from trivial to ensure an accurate barotropic tide in forward global models, and the presence of non-tidal motions only increases the challenge. We present preliminary results from our simulation, including comparisons with satellite altimeter and tide gauge data. We present visual demonstrations of the co-existence of barotropic tides, baroclinic tides, and mesoscale eddies in the model. Finally, we briefly describe several detailed analyses we plan to undertake on this simulation in subsequent papers.

In recent years, several groups have simulated the global oceanic general circulation in numerical models with horizontal grids that are fine enough to resolve mesoscale eddies, the transient turbulent features which contain a substantial fraction of the oceanic kinetic energy. For instance, the Parallel Ocean Program (POP) model has been run globally

at  $1/10^\circ$  resolution (Maltrud and McClean 2005), the Naval Research Laboratory Layered Ocean Model (NLOM) is run in ocean forecast mode with  $1/32^\circ$  horizontal resolution (Shriver et al. 2007), the HYbrid Coordinate Ocean Model (HYCOM) is being developed as a  $1/12^\circ$  resolution forecast model (Chassignet et al. 2007), the Ocean General Circulation Model for the Earth Simulator (OfES) has been run at  $1/10^\circ$  resolution (Masumoto et al. 2004), and the Ocean Circulation and Climate Advanced Model (OCCAM) has achieved  $1/12^\circ$  horizontal resolution (Lee et al. 2007). At the same time, in recent years, high-resolution global models of the baroclinic tides have begun to be run (Arbic et al. 2004–hereafter, AGHS; Simmons et al. 2004–hereafter, SHA; Hibiya et al. 2006; Simmons 2008). In coastal models, it is common to model tides and non-tidal motions simultaneously. However, tides and non-tidal motions have almost always been simulated separately in global models. A few recent global simulations have been have included tides and non-tidal motions simultaneously (Schiller and Fiedler 2007; T. Dobslaw, M. Müller and M. Thomas, personal communication 2008), but these studies are done with model horizontal grid spacings of order one degree, at which neither mesoscale eddies nor baroclinic tides are resolved.<sup>1</sup> Here we merge two previously separate recent threads in the literature—high resolution modelling of the global general circulation, and high-resolution modelling of the global tides.

By combining these two threads we potentially improve the modelling of both types of motions, which affect each other in various ways. Interactions between mesoscale eddies

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<sup>1</sup>To be more precise, in Schiller and Fiedler 2007 the resolution was high in an area around Australia, but the telescoping grid they used led to low resolutions over most of the global ocean. The Dobslaw, Müller, and Thomas simulations are done for climate purposes and thus are run for much longer time periods than the runs discussed here, at lower spatial resolution.

and internal tides have the potential to transfer part of the coherent internal tide energy into incoherent signals, and to affect tidal energy budgets (Park and Watts 2006, Rainville and Pinkel 2006, Zaron et al. 2009, Chavanne et al. 2009a, 2009b). Park and Watts (2006) and Chavanne et al. (2009b) show that the variations in stratification induced by mesoscale eddies, in addition to the scattering arising from eddy velocities, have important effects on internal tide propagation. A mixed tidal/non-tidal model is also more likely to properly account for the effects of the quadratic bottom boundary layer drag term. Currently, many ocean general circulation models insert an assumed tidal background flow, typically taken to be about  $5 \text{ cm s}^{-1}$ , into the quadratic drag formulation (e.g., Willebrand et al. 2001). However, in the actual ocean tidal velocities vary from order  $1 \text{ cm s}^{-1}$  in the abyss, to order  $1 \text{ m s}^{-1}$  in areas of large coastal tides. Thus an assumed tidal background flow of  $5 \text{ cm s}^{-1}$  is too strong in the abyss, and too weak in coastal areas. By actually resolving the (spatially inhomogeneous) tidal flows in a general circulation model, we take a step towards correcting this problem. The explicit resolution of tides may represent an important step towards more realistic representation of mixing in high-resolution models, and we are currently pursuing this avenue as well. Finally, the stratification in a mixed tidal/non-tidal model can vary horizontally, since the wind- and buoyancy-forcing which supports this varying stratification is present. In contrast, the stratification in the earlier high-resolution global baroclinic tide simulations of AGHS and SHA was chosen to be horizontally uniform since these simulations did not include wind- and buoyancy-forcing. In both of these papers the stratification was taken from typical vertical profiles in subtropical areas, which cover large areas of the world ocean. However, these stratifications are very different from those in polar regions, and as a result the internal wave activity in the polar regions of these simulations was almost certainly



unrealistically large (Padman et al. 2006). By embedding baroclinic tides in a horizontally varying stratification supported by realistic wind and buoyancy forcing, we can rectify these deficiencies.

The results presented here represent an important first step towards an ultimate goal of the US Navy, to simultaneously resolve tides and non-tidal motions in global data-assimilative models with  $1/25^\circ$  horizontal resolution. Because the goal is an operational model, accuracy of all the resolved motions is paramount. We therefore desire to begin with forward models that are as accurate as possible. In recent years, it has been shown that achieving accurate surface elevations in forward global barotropic tide models requires the insertion of a parameterization of drag (and energy loss) due to the breaking of internal waves generated by tidal flow over rough topography (Jayne and St. Laurent 2001; Carrere and Lyard 2003; Egbert et al. 2004; AGHS; Lyard et al. 2006; Uehara et al. 2006; Griffiths and Peltier 2008, 2009). These parameterizations are motivated by inferences from tide models constrained by satellite altimetry of the dissipation of tidal energy in mid-ocean areas of rough topography (Egbert and Ray 2000). The subtleties of applying a parameterized topographic wave drag in models which resolve the generation of baroclinic tides, and in models which resolve non-tidal as well as tidal motions, will be discussed in the next section. A comparison of the accuracies of the barotropic tides in the baroclinic simulations of AGHS and SHA will prove to be instructive in this regard.

## 2 Inclusion of parameterized topographic wave drag

### 2.1 Need for parameterized wave drag in baroclinic tide models

In barotropic tide models, none of the internal waves generated by flow over rough topography are resolved, and all of this wave activity must be parameterized. In baroclinic tide models, the situation is more complicated and interesting. The resolved generation of low-mode internal tides means that the barotropic tide will be losing energy to the baroclinic tide in baroclinic models. Indeed, the computation of this energy conversion was a central goal of SHA, which built upon the baroclinic tide simulations performed for AGHS. Both studies were done with HIM, the Hallberg Isopycnal Model (Hallberg and Rhines 1996). Since in baroclinic tide models energy is lost from the barotropic mode, it is tempting to view parameterized topographic wave drag as redundant. Indeed, the lead authors of AGHS and SHA made different choices regarding this question. The main simulation of SHA did not retain the parameterized topographic wave drag used in the AGHS baroclinic simulations. We now examine the consequences of these choices.

AGHS showed that the strength of the parameterized topographic wave drag required to bring about accurate barotropic tides in baroclinic tide models is hardly different from that in barotropic models, despite the resolved conversion of barotropic to baroclinic energy in the former. AGHS argued that a conversion of energy from barotropic to baroclinic tides does not represent a loss of energy in the total (barotropic plus baroclinic) system, since the models do not resolve the breaking of baroclinic tides occurring in the actual ocean. Evidently energy must be removed from the entire system, not merely converted from one mode to the other, in order for the energy levels of the barotropic tide to settle to observable levels.

Figure 4 of AGHS shows that the globally integrated available potential energy (APE) and kinetic energy (KE) in barotropic tide models depends on the strength of the parameterized topographic drag. The figure shows that the sea surface elevation accuracy of tide models also depends on the drag strength, since the model tides must have the right amplitudes (energy levels) in order to match observations well.

Table 1 demonstrates, using results from both AGHS and SHA, that the same principle applies in baroclinic tide models. Table 1 shows the globally integrated APE at the sea surface, and the globally integrated barotropic KE (computed via standard formulae, which can be looked up in for instance AGHS), in 1) the satellite-constrained barotropic solutions of Egbert and Ray (2003), 2) the main baroclinic simulation of AGHS (see their Figure 11), which utilized topographic wave drag optimally tuned to minimize sea surface elevation errors with respect to satellite altimetry, 3) a baroclinic simulation of AGHS which did not utilize topographic wave drag and which also used only the scalar approximation for SAL (in other words, run under conditions similar to the main baroclinic simulation of SHA), 4) the main baroclinic simulation of SHA, and 5) a baroclinic simulation of SHA briefly mentioned in their appendix, in which, inspired by Figure 2 of AGHS, an unrealistically large value of  $c_d$  (100 times the normal value) was utilized as a proxy for topographic wave drag. The globally- and temporally-averaged rms elevation errors of the forward models with respect to GOT99 (Ray 1999), a highly accurate altimetry-constrained tide model, are also shown. The errors are computed over waters deeper than 1000 m and over latitudes covered by the TOPEX/POSEIDON altimeter (equatorward of  $66^\circ$ ).<sup>2</sup> Finally, the percentage of the

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<sup>2</sup>The errors in the SHA results were actually computed over the latitude range  $66^\circ\text{S}$  to  $64^\circ\text{N}$ , in order to avoid the complex tripolar grid utilized in the high latitudes of that study in the error computations.

GOT99 open-ocean sea surface height variance is shown. AGHS may be consulted for details of how the errors and percent variance captured are calculated. In the main baroclinic simulation of AGHS, the surface APE and barotropic KE are both quite close to the Egbert and Ray values. On the other hand, in the AGHS baroclinic simulation run without any parameterized topographic wave drag, the surface APE and barotropic KE are both about twice as large as the observed values. As a consequence the elevation discrepancy with respect to GOT99 is much larger, and the percentage of sea-surface height variance captured is much lower. Consistent with this result, both the potential and kinetic energies of the main SHA baroclinic simulation are also larger, by factors of about 3, than those in the accurate satellite-constrained models, and the high elevation error and low percent variance captured reflect this mismatch. The large  $c_d$  simulation mentioned in the appendix of SHA performs much better with respect to the observations (and sees a factor of 2.4 drop in the conversion of barotropic to low-mode baroclinic energy), demonstrating that even artificial frictions can lead to accurate modeled tides as long as they remove energy at approximately the correct rate.

We further illustrate the difference between mode conversion and dissipation in the following schematic way, using a two-layer (one baroclinic mode) model for simplicity. The barotropic energy equation can be written as

$$\frac{\partial E_{BT}}{\partial t} = -C + OT_{BT}, \quad (1)$$

where  $E_{BT}$  is the barotropic energy,  $-C$  is the conversion of energy from barotropic to baroclinic tides, and  $OT_{BT}$  stands for other terms in the barotropic energy equation. The

baroclinic energy equation can be written as

$$\frac{\partial E_{BC}}{\partial t} = C + OT_{BC}. \quad (2)$$

where  $E_{BC}$  is the baroclinic energy,  $C$  is the energy gained by the baroclinic tides from the barotropic tides, and  $OT_{BC}$  again stands for other terms. Adding these two equations yields the modal form of the total energy equation for a two-layer model:

$$\frac{\partial(E_{BT} + E_{BC})}{\partial t} = OT_{BT} + OT_{BC}. \quad (3)$$

Because the conversions are equal and opposite, they cancel in the total energy equation, and the conversion process does not remove energy from the total system. Energy can be taken out of the two-layer system by utilizing a parameterized topographic wave drag acting on the flow in the bottom layer of a baroclinic model. Using 1 and 2 subscripts to denote the upper and lower layers, respectively, we can write the momentum equations as

$$\frac{\partial \vec{\mathbf{u}}_1}{\partial t} = OT_1, \quad (4)$$

$$\frac{\partial \vec{\mathbf{u}}_2}{\partial t} = -r\vec{\mathbf{u}}_2 + OT_2, \quad (5)$$

where  $\vec{\mathbf{u}}$  denotes model velocity, which yields a total energy equation

$$\frac{\partial}{\partial t}(\text{Total energy}) = -r|\vec{\mathbf{u}}_2|^2 + OT_1 + OT_2, \quad (6)$$

where again  $OT$  denotes other terms. Here  $r$  is the decay rate for the parameterized wave drag, and varies by position. Parameterized wave drag, unlike modal conversion, drains energy from the entire two-layer (two-mode) system. The bottom flow is a function of both barotropic and baroclinic tides, the latter contributing less when the stratification is surface-intensified, as is typical in the ocean. If we assume that wave breaking takes place mostly in the deep ocean, just above rough topography, and involves mostly high modes, then the parameterization represents the breaking of high modes near the bottom, which is not resolved in the baroclinic tide model. It remains to be seen whether this philosophy outlined in AGHS is the best representation of what actually happens in nature, but it is clearly true empirically that it results in far superior barotropic tides than those in simulations which do not utilize parameterized topographic wave drag.

In the current study we have also found that the barotropic tide is extremely inaccurate if parameterized wave drag is not included. It is clear that any forward global tide model that aspires to be the backbone of an operational model must include a parameterized topographic wave drag, or perhaps some other way of removing energy from the model<sup>3</sup>.

To end this subsection we note that despite the fact that the low-mode baroclinic tides have a weak signature at the bottom, it is evident that insertion of parameterized topographic wave drag into a baroclinic tide model affects the propagation distances of the low-mode internal tides. Contrast, for instance, the shorter propagation distances of the low-mode internal tide beams from their source regions shown in Figure 11 of AGHS with the longer distances seen in Figure 8 of SHA.

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<sup>3</sup>We are exploring the possibility of removing energy directly from the resolved vertical shear.

## 2.2 Adaptation of parameterized wave drag used in previous studies

We utilize an adaptation of the topographic wave drag scheme described in the appendix of AGHS, which is based on the scheme outlined in Garner (2005). A multiplicative factor was included in the scheme and tuned to minimize the globally averaged deep-ocean rms elevation discrepancy between the forward model and GOT99. AGHS suggested that the multiplicative factor may compensate for the small scales that are absent in the roughness of present-day topographic datasets (e.g., Smith and Sandwell 1997). For the sake of simplicity, here we reduce the tensor scheme to a scalar scheme, utilizing energy considerations. We compute from  $1/8^\circ$  runs of the AGHS model the quantity

$$r = \frac{\langle \frac{d\vec{u}_2}{dt} |_{topodrag} \cdot \vec{u}_2 \rangle}{2 \langle \vec{u}_2 \cdot \vec{u}_2 \rangle}, \quad (7)$$

where angle brackets denote time-averaging and  $\frac{d\vec{u}_2}{dt} |_{topodrag}$  is the term in the momentum equation arising from the full tensor form of the topographic wave drag. We set the values of  $r$  to zero in regions where it is small. This limits the impact of the wave drag on non-tidal motions (see next section). Finally, we clip the value of  $r$  so that it never has very large values (describe how). Figure 1 shows maps of  $r$  values obtained after all of these changes have been implemented. The drag is concentrated over well-known areas of rough topography such as the Mid-Atlantic Ridge, Southwest Indian Ridge, etc. In the  $1/12^\circ$  simulations we will be presenting shortly, we found that a multiplicative factor (referred to as “drgscl” in the HYCOM code) of ? yielded reasonably accurate tides. PERHAPS COMPARE TO AGHS VALUE AND NOTE THAT SMALLER VALUES ARE NEEDED IN RUNS DONE AT

## **2.3 Utilizing topographic wave drag in the presence of non-tidal motions**

On the relatively fast timescales of internal gravity waves, low-frequency motions such as mesoscale eddies and strong currents such as the Gulf Stream and Antarctic Circumpolar Current can be regarded as steady. The generation of internal gravity waves (lee waves) by steady flows over rough topography is a classic problem in geophysical fluid dynamics (e.g. Gill 1982). Tidal motions are oscillatory, not steady, and the work of Bell (1975) shows that the wave drag resulting from oscillatory flow over rough topography differs from the wave drag resulting from steady flow. In the future we may wish to include a parameterized wave drag for the non-tidal (steady, in this context) flow over rough topography in HYCOM. Indeed, some papers have argued convincingly that this mechanism represents a substantial energy loss for low-frequency motions (Naviera-Garabato et al. 2004, Marshall and Naviera-Garabato 2008, Nikurashin 2008). For now, however, we wish to have the wave drag acting only on the tidal part of the flow. This presents a challenge, however—how is the model to know the partition of tidal versus non-tidal bottom flows? In order to accomplish this separation, at least roughly, we utilize running 25-hour averages. The details of this scheme are discussed next.

## **2.4 Separation of tidal from non-tidal bottom flows**

describe 25-hour thing



### 3 Implementation of self-attraction and loading

Hendershott (1972) showed that global numerical tide models must account for self-gravitation of the ocean tide, solid earth deformation due to the load of the ocean tide, and perturbations to the gravitational potential due to the self-gravitation of the deformed solid earth. Collectively, these terms are known as the self-attraction and loading (SAL) term. A complete treatment of the SAL term requires computing a spherical harmonic decomposition of the ocean tide. This is not computationally feasible to do in the model as it runs, and instead is often done offline. An iterative procedure appears to be necessary to achieve numerical convergence (e.g., Egbert et al. 2004, AGHS). In the model runs presented here, as was done in SHA, we use the simpler scalar approximation, in which the SAL term  $\eta_{SAL}$  is approximated as a constant  $\beta$  times the sea surface elevation field  $\eta$ . For reasons we do not understand, the optimal value of this constant in terms of minimizing the globally averaged rms sea surface elevation discrepancy with GOT99 is ?, which is slightly different than the optimal value of 0.094 found for the AGHS results.

As pointed out by Hendershott (1972), the SAL term should apply to non-tidal as well as tidal flows. However, since SAL is not commonly applied to tidal flows, here we choose to apply it only to the tidal flows at the sea surface, which we do by ...

discuss the 25-hour averaging used for SAL

### 4 Other details of the HYCOM simulation

describe wind forcing, bathymetry, horizontal grid description and size, and other necessary material to understand the simulation

## 5 Results

### 5.1 Description of runs and sampling issues

The results here are taken from four different simulations of HYCOM, designated by 9.7, 14.0, 14.1, and 14.2. HYCOM 9.7 serves as our control experiment, done without any tidal forcing. HYCOM 14.0 was a test experiment, performed for just one month (after a spin-up period of ?—and specify the exact month i.e. June 2004), and included  $M_2$  tidal forcing as well as wind- and buoyancy-forcing. Encouraged by the results of 14.0, we then proceeded to 14.1, an experiment performed for 5 calendar years (2004 through 2008) (after an initial spin-up period of ?). HYCOM 14.1 included tidal forcing for  $M_2$ ,  $S_2$ ,  $N_2$ , and  $K_2$  (the four largest semidiurnal constituents), and  $K_1$ ,  $O_1$ ,  $P_1$ , and  $Q_1$  (the four largest diurnal constituents), as well as the same wind- and buoyancy-forcing seen in 9.7 and 14.1.

At the vertical and horizontal resolutions utilized here, it is impossible to save full global three-dimensional output hourly for the full five years of the simulation. We did save 25-hour averages of three-dimensional 14.1 output. Since the 25-hour period is very close to twice that of the dominant tidal constituent  $M_2$ , most of the tidal motions are filtered out of these averages. For the full five-year duration of 14.1, we saved global hourly output of sea surface height, and surface ocean velocity. (CORRECT?) We also saved hourly full three-dimensional output, over the entire 5-year duration, in a few domains of great interest for the study of internal tides, such as Hawai'i, the Indonesian Archipelago, and others (SUGGEST WE MAKE A FIGURE WHICH SHOWS THESE DOMAINS). Finally, simulation 14.2 saves full three-dimensional model output hourly, over the entire globe, for the month of (?)

The combined size of the stored output of HYCOM 14.1 and 14.2 is ? terrabytes. This

is an enormous amount of material to analyze, and we have only begun to go through our results. Thus far a harmonic analysis of 14.1, commonly used to separate the contributions of the various tidal constituents, has been performed at only a limited number of locations, the 102 pelagic tide gauges of Shum et al. (1997). The harmonic analysis is used to determine the rms surface elevation errors of the eight constituents in 14.1 with respect to the tide gauge data. Harmonic analysis on every gridpoint in such a large model is a very time-consuming endeavor. For this reason, we defer some of the analyses we wish to pursue on 14.1 to later papers. In this paper, we will show 1) results from the harmonic analysis of 14.1 at the tide-gauge stations, 2) other results from 14.1 which do not require a time-consuming harmonic analysis, and 3) some results from harmonic analysis of  $M_2$  in one day of output from experiment 14.0. These latter results are possible because 14.0 does not contain any other tidal constituents. However, they should be regarded as preliminary because the internal tide is not necessarily stationary, so that one day of output may not be sufficient for a rigorous analysis of the internal tides.

## 5.2 Rms surface elevation errors

Table 2 shows the time-averaged signals of the eight largest constituents averaged over the 102 pelagic tide gauges, the elevation errors of year 2004 of HYCOM 14.1 with respect to the tide gauge records of these eight constituents, and the percent of the tide gauge sea surface elevation variance of these constituents captured by HYCOM 14.1. AGHS may be consulted for details on how such calculations are performed. We also analyzed years 2003 and 2006, and came up with virtually identical elevation errors. The overall percent

variance captured, 92.6%, is very slightly lower than that captured in the optimally tuned two-layer simulations of AGHS, despite the higher horizontal resolution used here, which should improve the solutions (Egbert et al. 2004, Arbic et al. 2008). However, in the latter model the full spherical harmonic computation of SAL was utilized, whereas here we have used only the scalar approximation. We conclude that for our first attempt at a mixed wind-plus-tides simulation the errors are reasonably small. Based on the experience in the literature we believe these errors will reduce with a more rigorous treatment of SAL, and with the introduction of data assimilation.

### 5.3 Bottom speeds of non-tidal motions

Because our topographic wave drag scheme acts on bottom flows, and because the 25-hour filter we utilize along with the wave drag is an imperfect discriminator of tidal versus non-tidal flows, it is important to make sure that non-tidal bottom flows are not severely reduced with the addition of topographic wave drag. Figure 2 is a map of the bottom speed of HYCOM 9.7, averaged over years 2004-2006. Figure 3 displays the bottom speed of non-tidal flows in 14.1 (determined as a residual from the total flow after application of the 25-hour filter), averaged over the same 2004-2006 period. The two figures were not computed in exactly the same way, since in Figure 2 the non-tidal flows were saved as daily snapshots whereas in Figure 3 the non-tidal flows were saved as 25(?) -hour averages. However, as shown in Arbic et al. (2009), in present-day high-resolution models the non-tidal flows seem to be relatively unaffected by subsampling on scales of about a day. In any case 9.7 and 14.1 are too expensive to redo, so that the comparison shown here is the best we can do for now.

Comparison of the two figures demonstrates that on the whole, adding the topographic wave drag to the model does not reduce the non-tidal bottom speeds. Indeed, it appears that the non-tidal motions are on the contrary stronger in the tidally-forced case with topographic drag (14.1) than they are in the non-tidal case (9.7). We speculate that this may be because in the tidal run quadratic bottom boundary layer drag is effectively weaker than in the non-tidal case, in many locations. In the tidal case the resolved tidal velocity is often less (especially in the deep ocean) than the background  $5 \text{ cm s}^{-1}$  tidal flow commonly imposed in ocean general circulation models including in HYCOM 9.7. ASK ALAN ABOUT THESE PLOTS—WOULD IT PERHAPS BE BETTER TO CALCULATE AVERAGE KE RATHER THAN AVERAGE BOTTOM SPEED?

Consider doing scatterplots of bottom speeds versus tidal speeds—is there a pattern, that bottom speeds increase (decrease) depending on how the actual tidal speeds compare to  $5 \text{ cm s}^{-1}$ ?

## 5.4 First-order impact of horizontally varying stratification

Figure 4 displays the amplitude of the  $M_2$  internal tide signature in the steric sea surface height of HYCOM experiment 13.1, which is run under conditions like those in AGHS and SHA—with a horizontally uniform two-layer stratification, and no wind- and buoyancy-forcing.<sup>4</sup> As in AGHS and SHA, large internal tide activity in the Drake passage is readily apparent, and is almost certainly artificially high, as noted by Padman et al. (2006). Figure 5 displays the same  $M_2$  amplitude, but computed from one day of experiment 14.0—the

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<sup>4</sup>Note that all amplitudes are positive—the negative values on the color scale are not meaningful except that the land was assigned a negative value to promote contrast in the figure.

wind, buoyancy-, and  $M_2$ -forced “warm-up” experiment. In this plot the Drake Passage activity is no longer apparent, thus demonstrating a first-order effect of horizontally varying stratification on the internal tide field.

## 5.5 Comparison of modeled internal tide to satellite altimeter data

We now compare the modeled sea surface signature of internal tides in the vicinity of Hawai'i to the signatures seen in along-track TOPEX/POSEIDON satellite altimeter data. The altimeter data was obtained by personal communication with Richard Ray in 2006, and is an updated version of the data reported on by Ray and Mitchum (1996, 1997). Figure 6 shows the altimeter tracks used in the comparison. The blue lines in Figure 7 show the  $M_2$  amplitude along track number 125, and the  $M_2$  amplitude in HYCOM 14.0 interpolated to the track locations. The red lines denote the low-pass filtered (barotropic) versions of the signal. In Figure 8 we display the difference between the blue and red lines, i.e. the perturbations to the  $M_2$  amplitude at the sea surface due to internal tides. The modeled perturbations clearly have similar amplitude and horizontal length scale to the observations, but equally clearly do not match the observations “wiggle for wiggle” at all. In contrast, when high-resolution regional models forced at their horizontal boundaries by TOPEX/POSEIDON tidal amplitudes are compared to altimeter data (e.g. Carter et al. 2008 and references therein, among several), the comparison is much better. Forward global models of internal tides clearly have room for improvement in this regard. The rms amplitudes of the internal tide perturbations, averaged over all of the tracks shown in Figure 6 (using the latitude and longitude bounds

shown in the Figure), are 0.59 cm for the altimeter data, 0.73 cm for HYCOM 14.0, 0.76 cm for SHA, and 0.28 cm for AGHS. The AGHS internal tides are too weak, and the SHA baroclinic tides are closer to the correct magnitude. Thus, the HYCOM 14.0 simulation reported on here appears to yield the best combination of reasonably accurate barotropic and baroclinic tides, of the three global baroclinic tide models examined in this paper. In future work we will examine the sea surface signal of internal tides, the temporal variability of this signal, and the comparison to satellite altimeter data, in much more detail, using HYCOM experiment 14.1.

## 5.6 Co-existence of eddies and tides

We now show some figures which visually demonstrate the co-existence of tides and eddies in the HYCOM simulations. In Figure 9 we show a snapshot of the non-steric sea-surface height in the Pacific sector of HYCOM 14.1. The non-steric height is dominated by the large-scale barotropic tide. In Figures 10 and 11 we show snapshots covering the same sector of the steric sea surface height, the first taken at the same time as in Figure 9, the second six hours later. Western boundary currents, and mesoscale eddies, are easily discernible in Figures 10 and 11, as in many previous studies of eddy-resolving ocean models. Internal tides are visible as speckled patterns in several regions—for instance, in the central tropic Pacific. It is difficult by eye to discern differences in the patterns shown in Figures 10 and 11. The differences between these two steric height fields are displayed in Figure 12. Even with a much smaller color scale, the meso- and gyre-scale general circulation features in Figures 10 and 11 are absent in the difference plot. Instead, we see the much higher frequency internal

tides, which show up as beams as in Figure 5.

The co-existence of eddies and tides can be seen more easily in animations which we have submitted along with this paper. Joe’s Hawai’i movie shows the steric, non-steric, and total (steric plus non-steric) sea surface heights in a region around Hawai’i, for the last five days of June 2004. The non-steric field, dominated by the barotropic tide, evolves extremely rapidly, while in the steric field, the higher-frequency internal tide signals course rapidly through the geostrophic field, which appears to be at a standstill on these short timescales.

TRY MAKING AN ANIMATION OF THE GLOBAL STERIC SSH FIELD—LIKE THE PREVIOUS ANIMATION BUT WITHOUT FULL AND NON-STERIC SSH.

Finally, we give some indication of the vertical structure of the simulation in Figures 13 and 14. These figures, computed from experiment 14.0, display the temperature and zonal component of velocity ( $u$ ) in the upper waters of a meridional section running through Hawai’i. Figure 13 shows the 25(?)—hour mean while Figure 14 shows snapshots. Isopycnals are shown as solid black lines. There is much more structure in the “wiggles” of the isopycnal plots in Figure 14 than in Figure 13, indicating that many of the wiggles are tidal since they are smoothed out with a 25-hour average. Likewise, there is much more vertical structure in the velocity field in the snapshot than in the 25-hour average, indicating that the tides are a strong signal, and have significant vertical structure, in that field as well.

ALAN—IT’S HARD FOR ME AT LEAST TO SEE DIFFERENCES BETWEEN THE 2 TEMPERATURE PLOTS. MAY I SUGGEST THAT THE PLOTS BE COMBINED INTO ONE, TO SHOW  $u$ -VELOCITY SNAPSHOT VS 25-HOUR MEAN ON THE SAME PLOT (AND DROP TEMPERATURE)? THEN THE READER CAN EASILY COMPARE



THE TWO. ALSO, SUGGEST USING 14.1 OR 14.2 INSTEAD OF 14.0

NOTE TO SELF—WILL TRY AN ANIMATION OF A VERTICAL SLICE, I.E. LIKE FIG 14.

## 6 Summary and discussion of future work

In this paper we have shown some preliminary results of HYCOM simulations which simultaneously resolve barotropic tides, baroclinic tides, and an eddying general circulation. The nominal horizontal resolution of the simulation is  $1/12.5^\circ$ , based on the number of gridpoints (4500) in the east-west direction. There are 32 hybrid layers in the vertical direction. We have shown that a parameterized topographic wave drag can be inserted which yields a reasonably accurate sea surface elevation of the barotropic tide at the same time that the bottom flows of non-tidal motions are not severely reduced. The accuracy of the barotropic tide in the baroclinic simulations presented here is of comparable accuracy to that in the baroclinic simulations of Arbic et al. (2004–AGHS), and considerably more accurate than that in the main baroclinic simulation written about in Simmons et al. (2004–SHA). In lieu of strong evidence of substantial energy loss of low baroclinic modes in the upper ocean, we believe that it is best to think of the parameterized topographic wave drag as representing the breaking high-mode internal tides near the rough seafloor. We will adjust our thinking on this matter if convincing evidence of very substantial tidal dissipation in the upper part of the open ocean comes out in the literature.

The stratification in the simulation presented here can vary in the horizontal direction, since wind- and buoyancy forcing is present to support such variations. In contrast, the

stratification in the earlier global baroclinic tide simulations of AGHS and SHA, which did not include wind- and buoyancy-forcing, was horizontally uniform. In both of those studies a typical midlatitude stratification was used throughout the entire globe, and internal tide activity in some polar regions (for instance, the Scotia Sea) was almost certainly artificially high (Padman et al. 2006). Comparison of the internal tide signature at the sea surface in HYCOM runs with a horizontally uniform stratification and tidal forcing only versus the more realistic horizontally varying stratification in a wind, buoyancy, and tidally forced run, indicates that internal tide activity in the Southern ocean is much reduced in the latter compared to the former. Thus the allowance of a horizontally varying stratification with the inclusion of wind- and buoyancy-forcing has a first-order effect on the internal tide field.

Preliminary comparisons of the surface signature of the  $M_2$  internal tide in the region around Hawai'i with satellite altimeter data indicate that the internal tides in HYCOM appear to have approximately correct magnitude. Similar comparisons show that the AGHS internal tides are too weak, while the SHA internal tides are of similar amplitude to the HYCOM internal tides. Tides in the HYCOM simulations presented here, unlike those in the AGHS and SHA simulations, appear to be reasonably accurate, by the measures described here, in both the barotropic and baroclinic fields. However, there is nothing like a “wobble-for-wobble” match between the observations and the HYCOM model results. In a planned future paper we will investigate the surface signature of the internal tides, and their comparison to satellite altimeter data, in much more detail. This discussion is anticipated to be of value for the planned wide-swath satellite altimeter mission, which will have to remove tides at small scales—i.e. on the scales of internal tides—if it is to succeed in its planned goal of studying geostrophic flows at sub-mesoscales. Comparison of the three-dimensional structure of tidal

currents with current-meter data, and detailed investigations of the interactions between tidal currents and the eddying general circulation, are also underway based on the results of the simulations presented here.

## 7 Acknowledgements

We thank Richard Ray for supplying us with the altimeter data on internal tides utilized in our Figures 6-8. BKA acknowledges support from Naval Research Laboratory contract N000173-06-2-C003. Financial support for others? The computations described in this paper were performed on (machine?) under the auspices of (challenge grant, or some other program that should be acknowledged).

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Table 1: Energies and elevation errors of barotropic part of earlier forward global baroclinic tide models, compared to results from an altimetry-constrained tide model. Globally integrated surface available potential energy (APE) and barotropic kinetic energy (KE) of  $M_2$  are computed from (1) Table 1 of Egbert and Ray (2003–ER2003 below–based on an altimetry-constrained barotropic model), (2) main baroclinic simulation of AGHS (see their Figure 11), (3) AGHS simulation without parameterized topographic wave drag and with only a scalar approximation for SAL–i.e. conditions like those in the main simulation of SHA, (4) main SHA simulation (see their Figure 8), (5) a simulation in the appendix of SHA, with an artificially large  $c_d$  value of 0.3 standing in as a proxy for topographic wave drag. Units of energies are  $10^{17}$  J. Globally averaged sea-surface elevation discrepancies (cm) with respect to GOT99, computed over latitudes equatorward of  $66^\circ$  and waters deeper than 1000 m, are also given. Numbers in parantheses indicate percent of open-ocean sea surface elevation variance captured.

Model	Surface APE	Barotropic KE	RMS elevation discrepancy
ER2003	1.34	1.78	–
AGHS main baroclinic simulation	1.48	1.73	7.37 (92.4)
AGHS, no parameterized drag, scalar SAL	3.18	3.46	17.14 (58.8)
SHA main baroclinic simulation	4.37	5.09	23.35 (23.5)
SHA, large $c_d$ as proxy for wave drag	1.66	2.03	9.88 (86.3)

Table 2: Time and station-averaged sea-surface height signals at the set of 102 pelagic tide gauges used in Shum et al. (1997), and sea-surface elevation errors of year 2004 of our HYCOM multi-constituent forward simulation with respect to the gauges. Numbers in parantheses denote percentage of sea-surface height variance at the gauges captured by HYCOM.

Constituent	Signal (cm)	HYCOM error (cm)
Q <sub>1</sub>	1.62	0.68 (82.1)
O <sub>1</sub>	7.76	2.48 (89.7)
P <sub>1</sub>	3.62	0.79 (95.2)
K <sub>1</sub>	11.26	2.48 (95.1)
N <sub>2</sub>	6.86	1.40 (95.9)
M <sub>2</sub>	33.22	8.26 (93.8)
S <sub>2</sub>	12.62	5.17 (83.2)
K <sub>2</sub>	3.43	1.65 (76.9)
RSS	39.04	10.63 (92.6)

### Tidal Drag E-Folding Time (days)

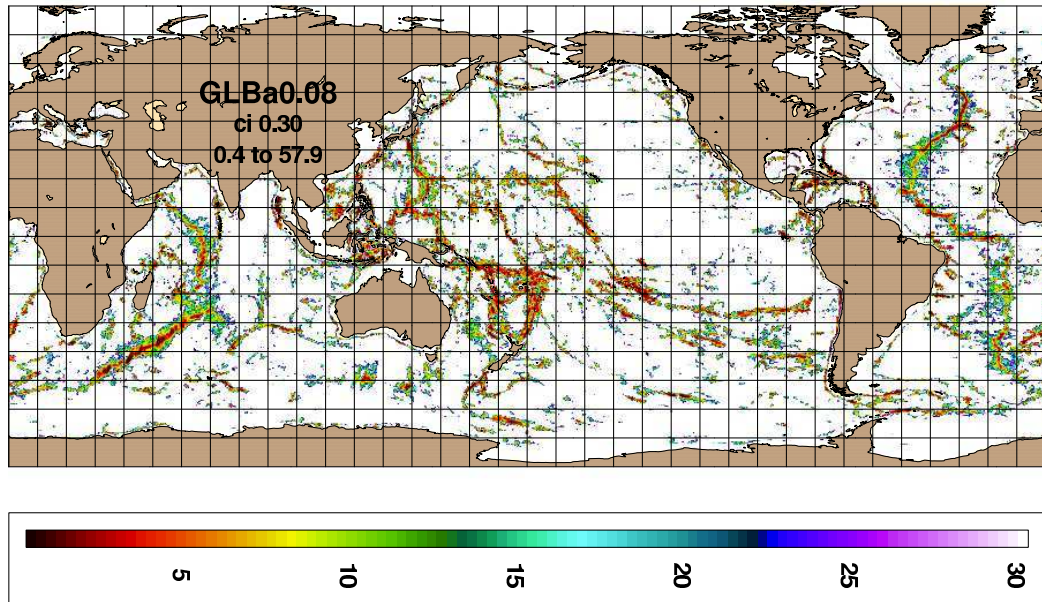


Figure 1: e-folding time (days) for topographic wave drag with drgscl=1.

**09.7 2004-6: Bottom 50m Speed (cm/s)**

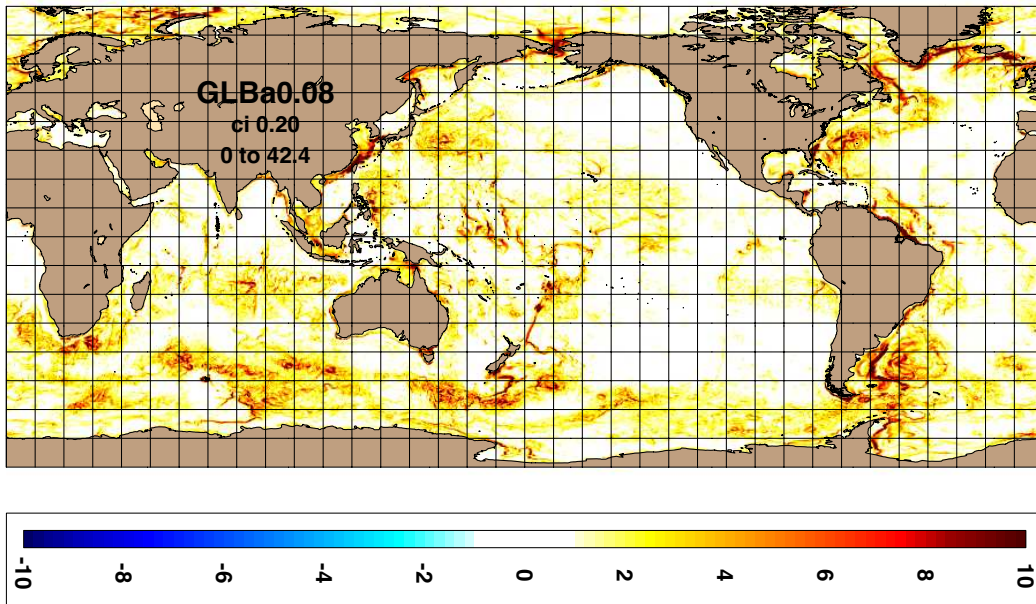


Figure 2: Bottom speed (cm/s) averaged over daily snapshots from years 2004-2006 in HYCOM experiment 9.7, which does not have tidal forcing.

### 14.1 2004-6: Bottom 50m Speed (cm/s)

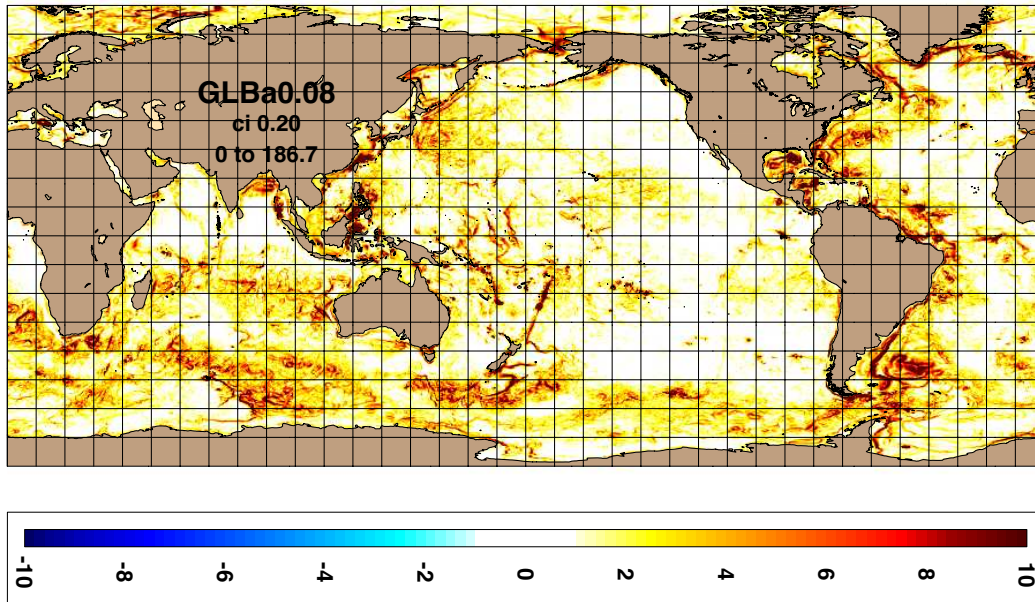


Figure 3: Bottom speed (cm/s) averaged over years 2004-2006 in HYCOM experiment 14.1, in which forcing of the eight largest tidal constituents is included. Three-year averages are computed from 25-hour averages, which filter out most of the tidal component of the bottom velocities.

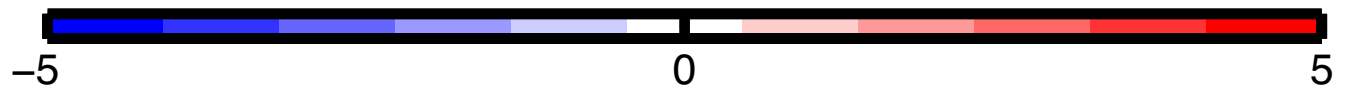
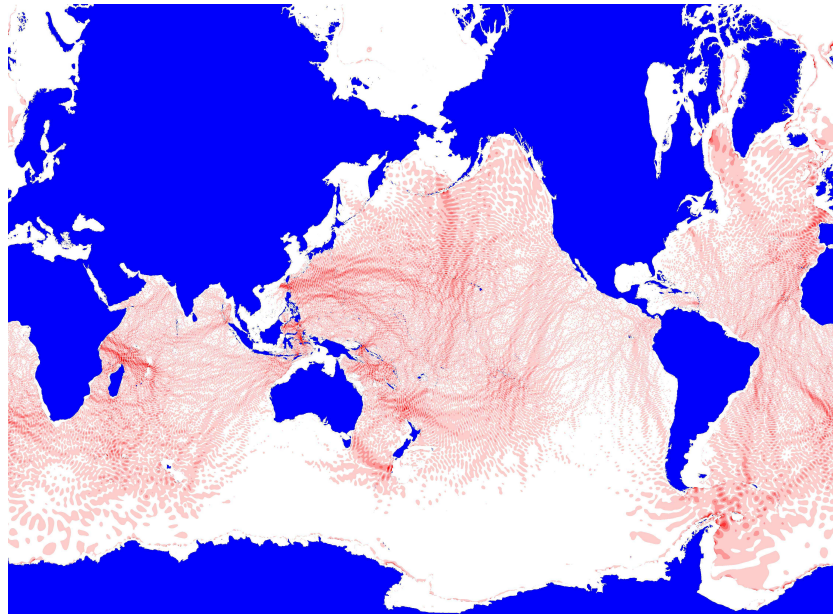


Figure 4: Amplitude (cm) of  $M_2$  internal tide signature in steric ssh of HYCOM experiment 13.1 (two-layer, horizontally uniform stratification,  $M_2$  forcing only).

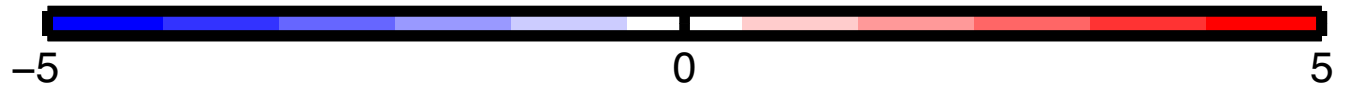
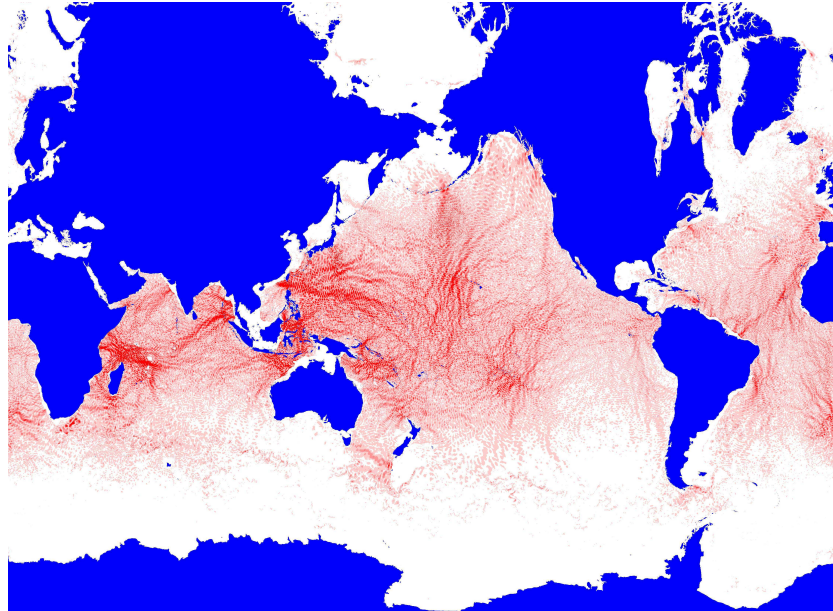


Figure 5: Amplitude (cm) of  $M_2$  internal tide signature in steric ssh of HYCOM experiment 14.0 (short warm-up run for 14.1; 32-layer, horizontally non-uniform stratification, wind-, buoyancy-, and  $M_2$ -forcing included.)

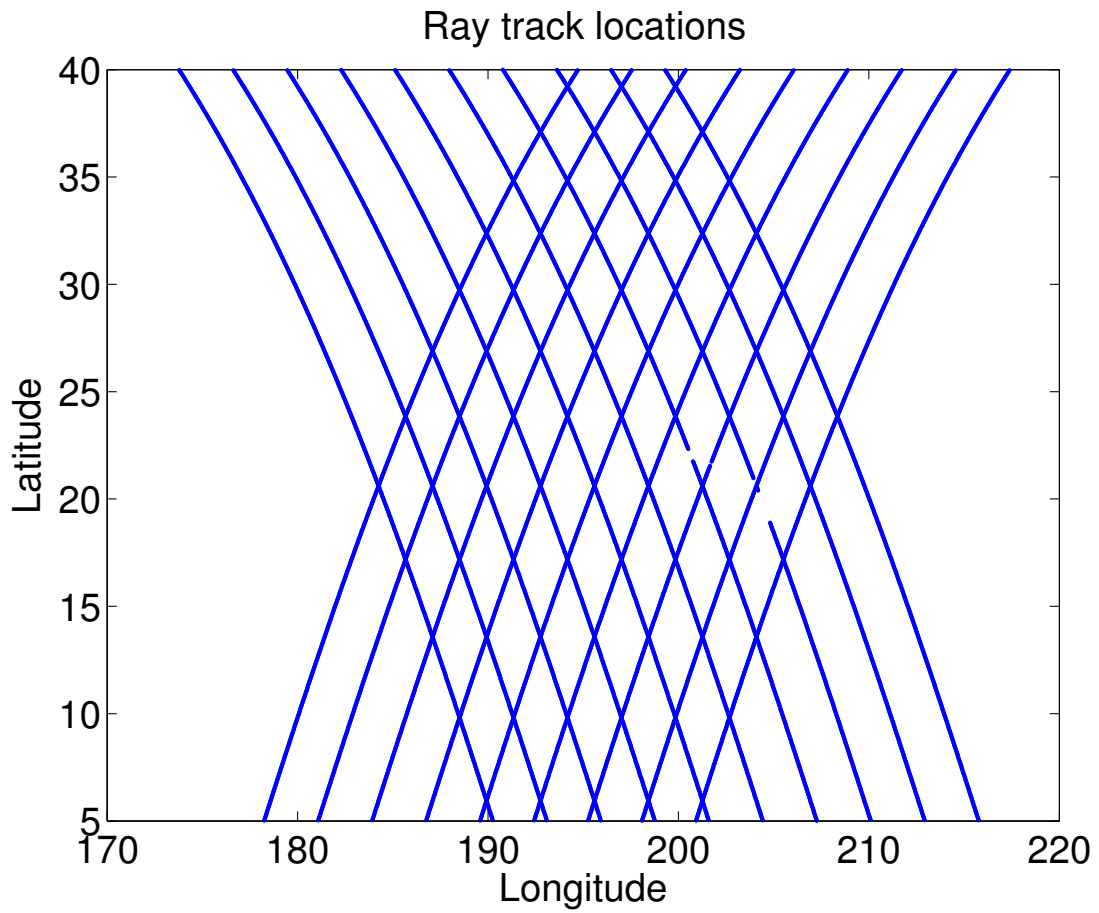


Figure 6: TOPEX/POSEIDON tracks for which altimetric data (around Hawai'i) on surface signature of  $M_2$  internal tides is utilized here.



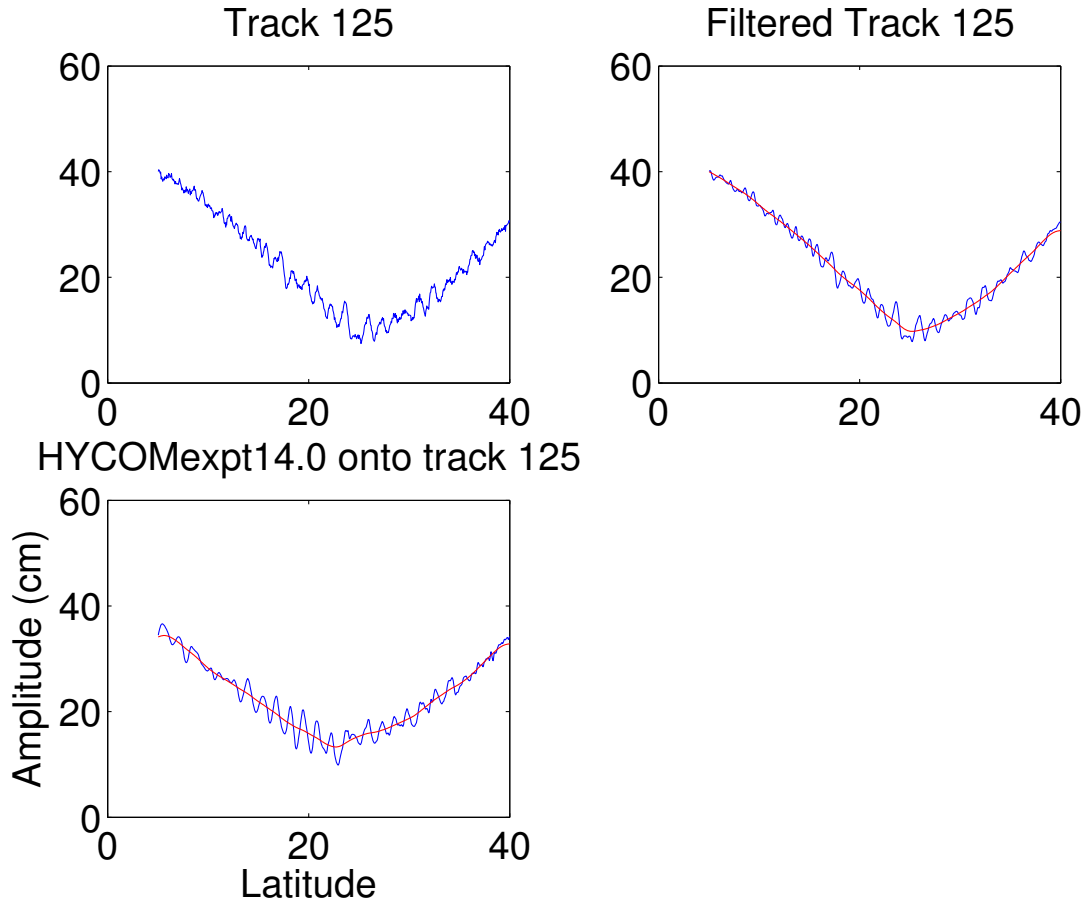


Figure 7: Comparison of HYCOM 14.0 (32-layer, wind-, buoyancy-, and  $M_2$ - forcing) with the  $M_2$  internal tide signature at sea surface along altimetric track number 125—blue is full signal (barotropic plus baroclinic), red is low-pass filtered (barotropic).

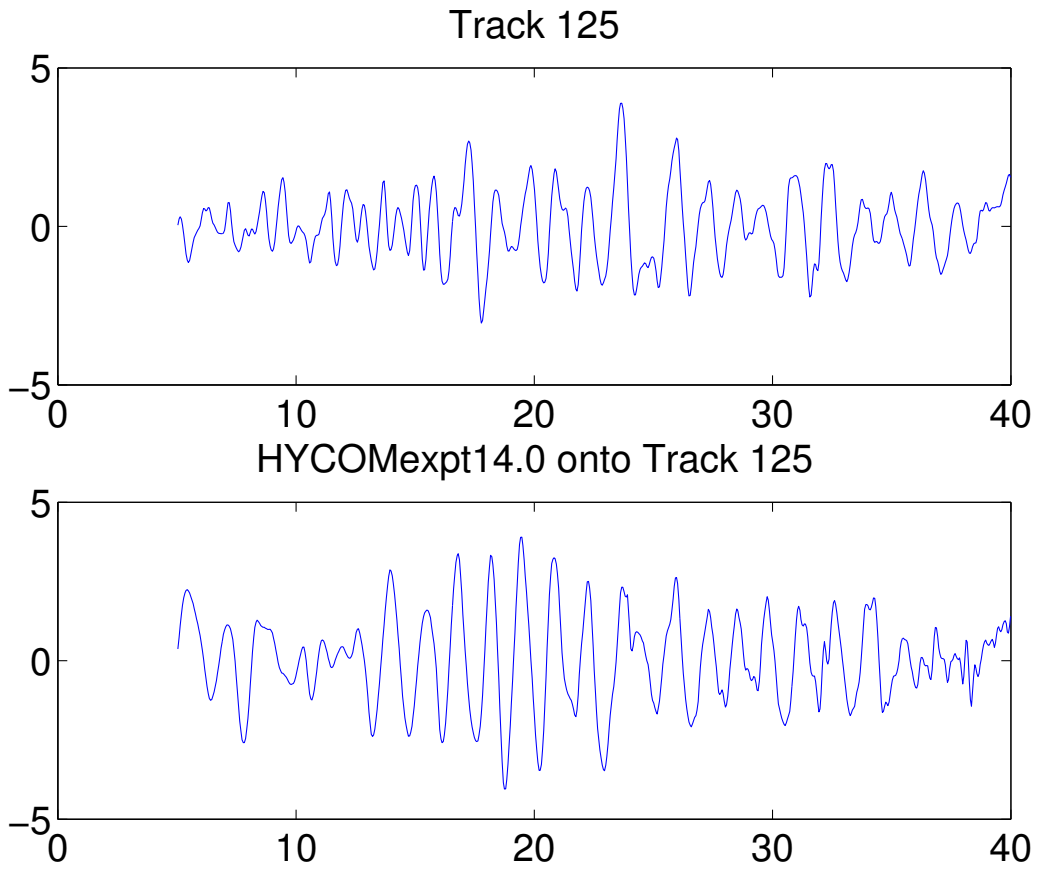


Figure 8: Perturbations to  $M_2$  amplitude at surface due to internal tides—altimeter track 125, and HYCOM 14.0 interpolated to this track.

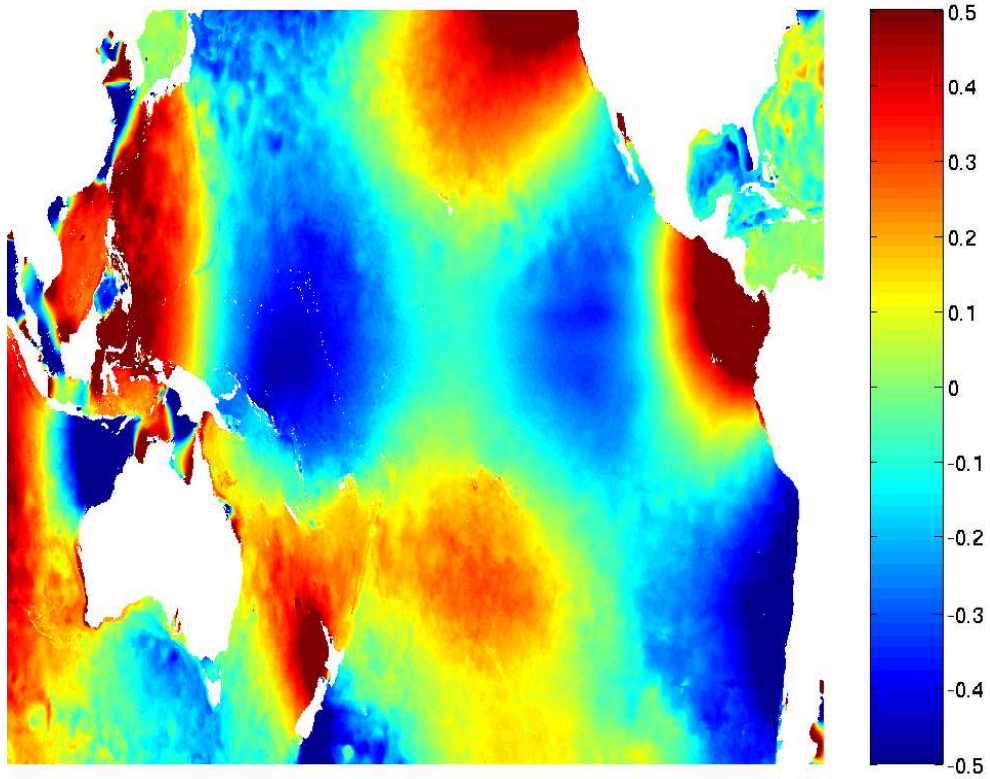


Figure 9: Pacific portion of global snapshot of non-steric sea surface height (m), 2006 day 181, 00Z.

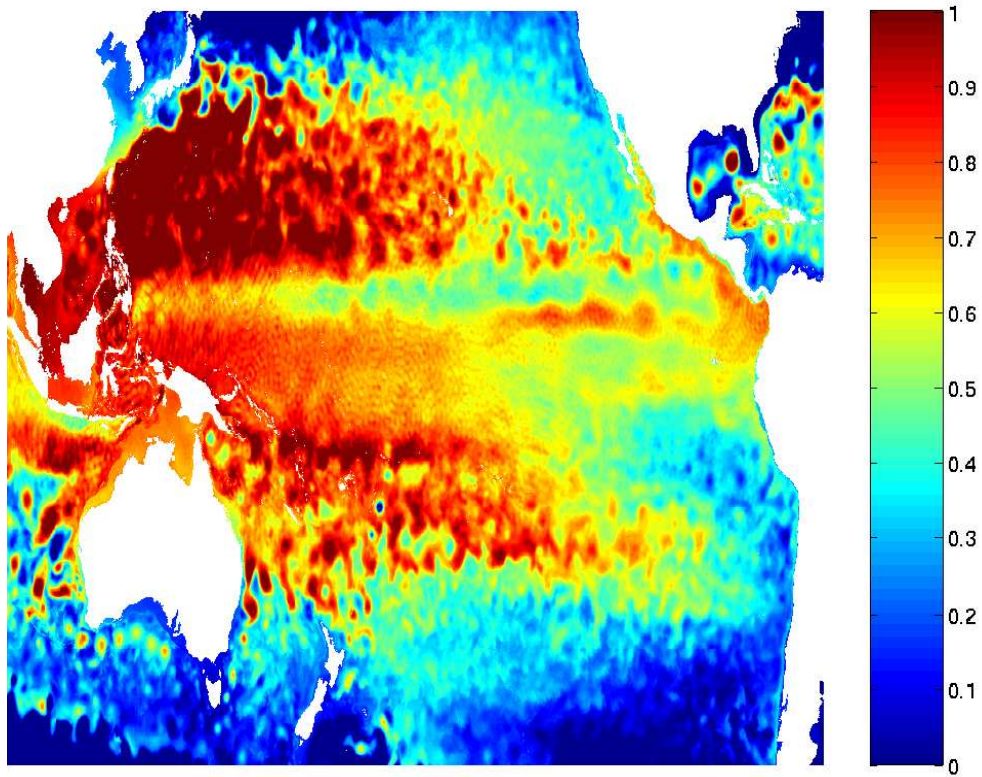


Figure 10: Pacific portion of global snapshot of steric sea surface height (m), 2006 day 181, 00Z.

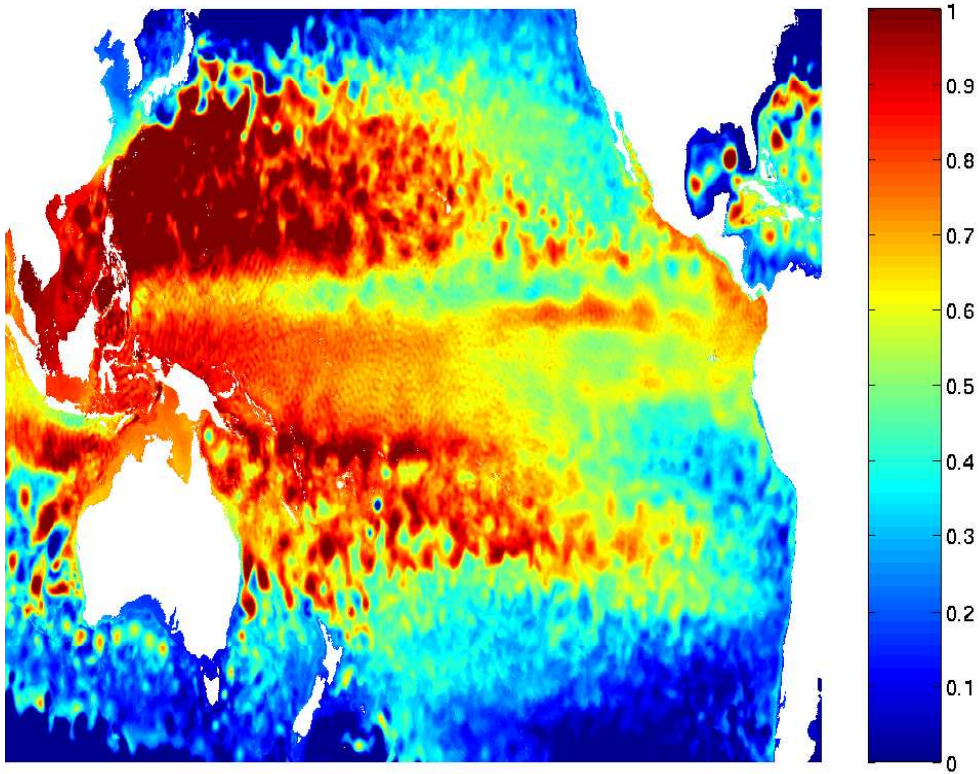


Figure 11: As in previous figure, but for six hours later (06Z).

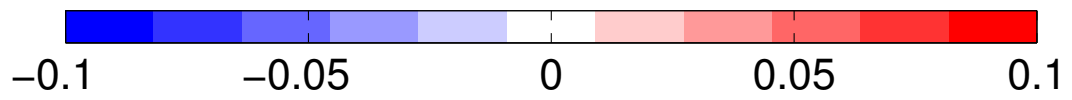
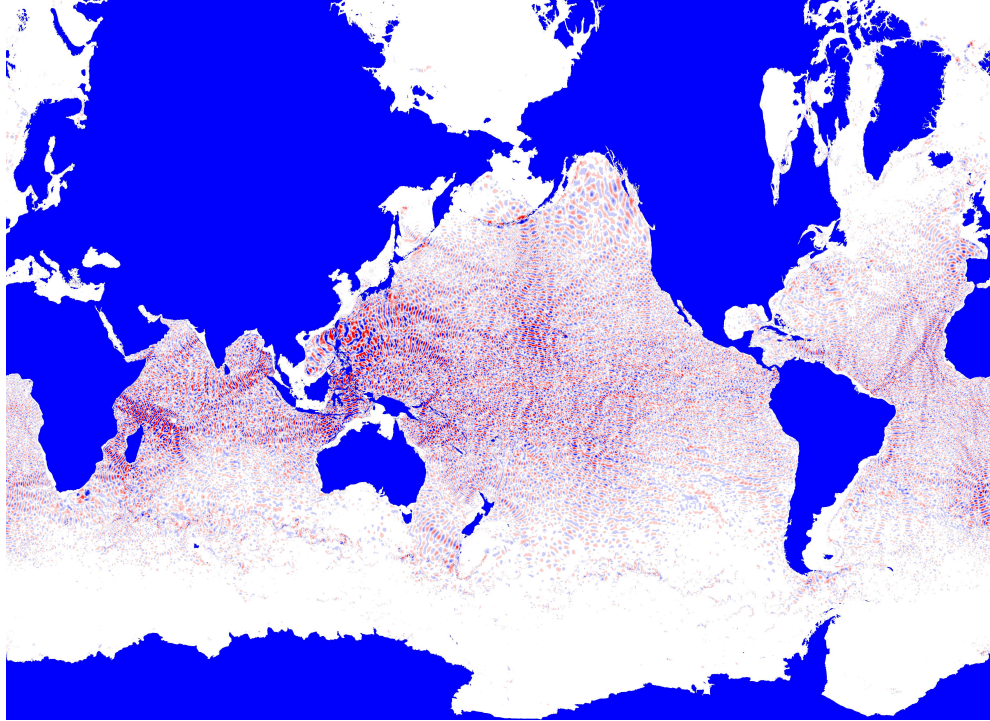
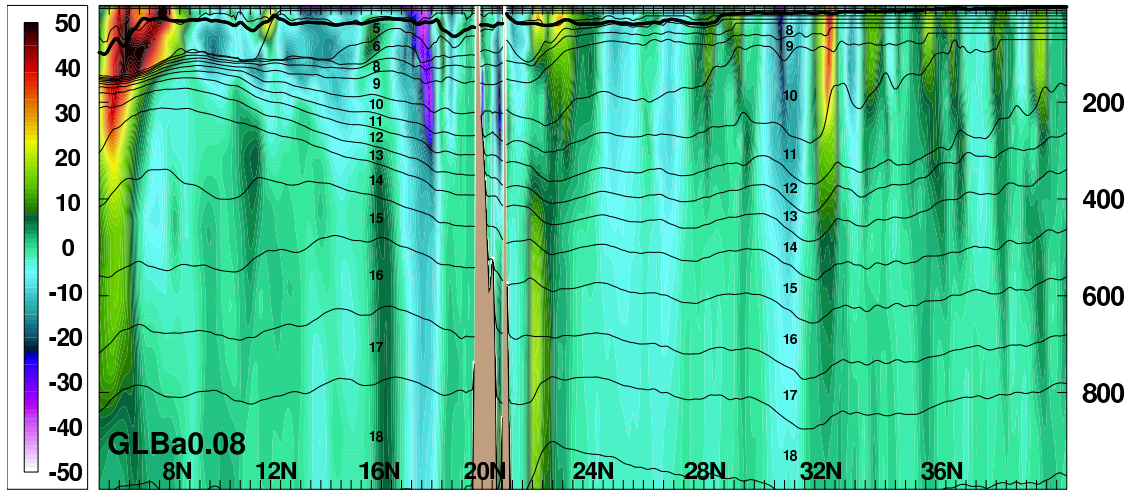


Figure 12: Global difference in steric sea surface heights (m) from snapshots taken 6 hours apart—2006 day 181, 06Z-00Z.



**u-velocity merid.sec.156.00w mean: 2003.58-2003.58 [14.0H]**



**temperature merid.sec.156.00w mean: 2003.58-2003.58 [14.0H]**

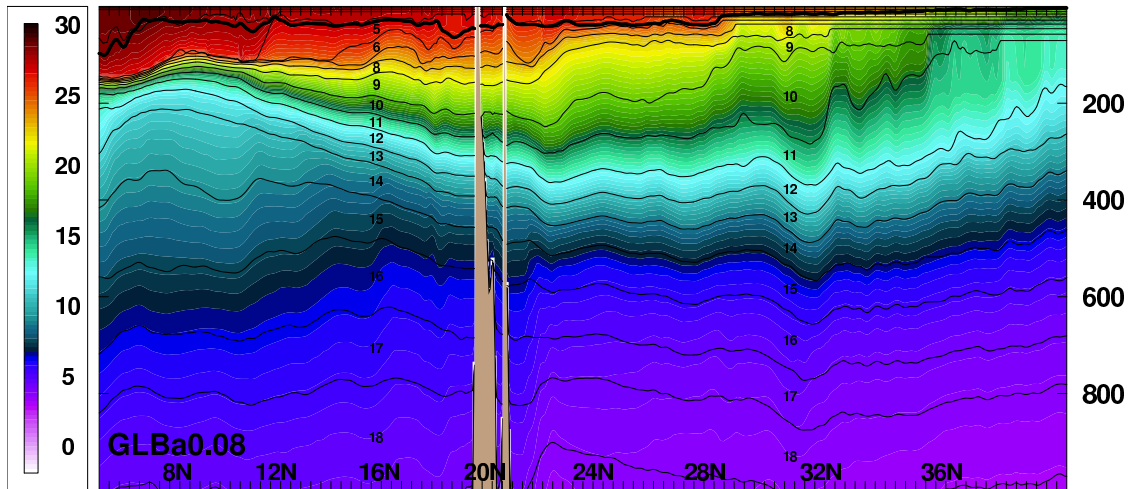
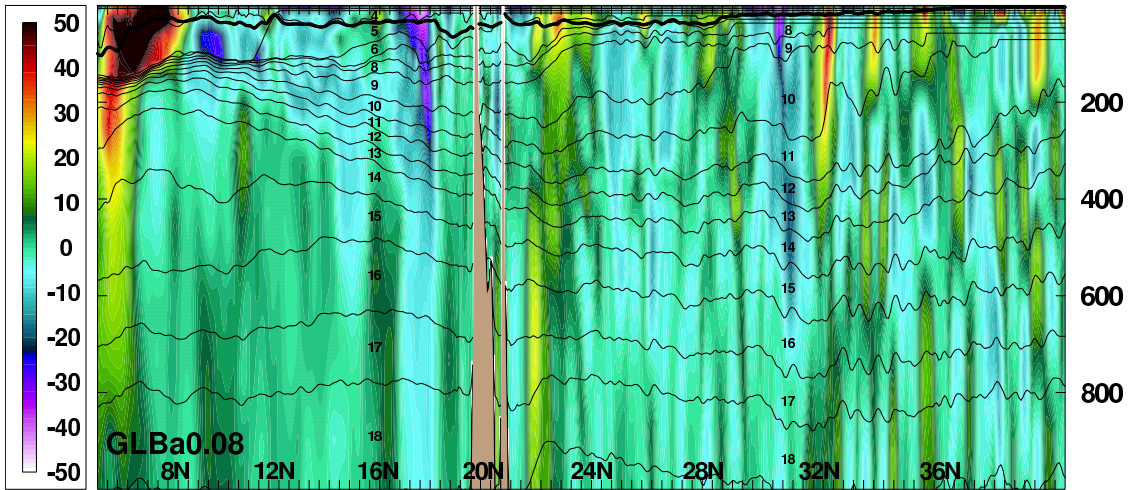


Figure 13: u-velocity and temperature around Hawai'i-25-hour means

**u-velocity merid.sec.156.00w Aug 01, 2003 12Z [14.0H]**



**temperature merid.sec.156.00w Aug 01, 2003 12Z [14.0H]**

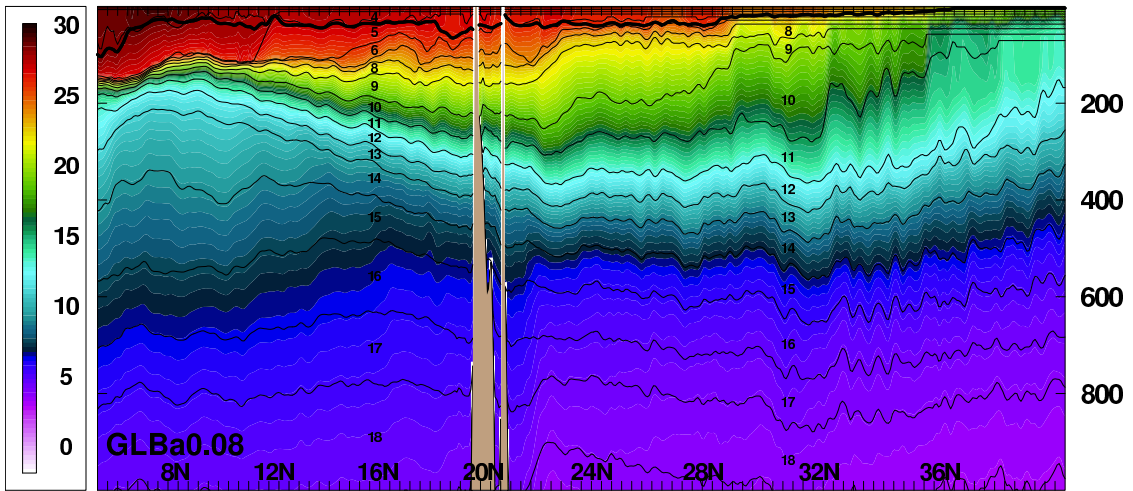


Figure 14: u-velocity and temperature around Hawai'i—instantaneous snapshots