

# Energetics of (super)tidal baroclinic modes in a realistically forced global ocean simulation

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## Key Points:

- The energetics of internal wave modes are diagnosed for the diurnal, semidiurnal, and supertidal frequency bands.
- Low-mode supertidal energy is elevated in the tropics, in agreement with theory on resonant wave-wave interactions.
- The number of resolved diurnal ( $\gtrsim 6$ ), semidiurnal ( $\sim 4$ ), and supertidal ( $\sim 2$ ) modes is dictated by the horizontal grid spacing of  $1/25^\circ$

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**Abstract**

In this study, we diagnose the spatial variability in the energetics of tidally generated diurnal, semidiurnal, and supertidal internal wave vertical modes (up to mode 6) in a 30-day forward global ocean model simulation with a 4-km grid spacing and 41 layers. This simulation is forced with realistic tides and atmospheric fields. While diurnal modes are mostly generated in the western Pacific, semidiurnal modes are more ubiquitous in the global ocean. Supertidal modes are mostly generated at low latitudes. For all frequency bands, most of the energy is in mode 1. Diurnal modes are fully resolved beyond mode 6, semidiurnal modes are fully resolved up to mode 4, and supertidal modes are fully resolved up to mode 2, in agreement with a canonical horizontal resolution criterion. The meridional trends in the kinetic to available potential energy ratios of these resolved modes agree with an internal wave consistency relation. The supertidal band is dominated by the higher harmonics of the diurnal and semidiurnal tides, of which the terdiurnal and quarterdiurnal mode-1 waves have the most energy. Terdiurnal modes are mostly generated in the west Pacific, where diurnal internal tides are strong. In contrast, quarterdiurnal modes occur at all longitudes near strong semidiurnal generation sites. The consistency relation and frequency-wavenumber spectra show that the resolved supertidal modes are dispersive internal waves. These waves are likely generated by near-resonant interactions between tidal modes of the same mode number, which are enhanced in the tropics.

**Plain Language Summary**

In the density stratified oceans, internal waves propagate as disturbances along the density interfaces, with buoyancy as their restoring force. Due to the presence of the surface and seafloor, they form standing waves in the vertical and propagating waves in the horizontal. These waves are referred to as modes. Internal wave modes are generated by the tides, wind, and mean flows and can propagate for 1000s of kilometers. Because they carry substantial energy, their eventual dissipation contributes to watermass mixing. This mixing is important for maintaining the global ocean overturning circulation and the earth's climate. Hence, understanding the internal wave lifecycle in numerical ocean simulations is paramount. In this study, we compute the energy in the resolved internal wave modes in the diurnal, semidiurnal, and supertidal frequency bands in a realistically forced global ocean model simulation with a 4-km grid spacing and 41 layers. We verify that the horizontal grid spacing determines the resolution of the modes. The supertidal modes are dominated by the terdiurnal and quarterdiurnal waves. Their energy is elevated at the equator, most likely due to nonlinear interactions between waves with the same or differing tidal frequencies, but with the same mode number.

**1 Introduction**

With the availability of more powerful computing resources, the horizontal and vertical grid spacing of global ocean circulation models that include tidal forcing continues to increase (Arbic et al., 2018; Arbic, 2022). As a consequence, these models have been able to better resolve the internal wave spectrum (e.g., Simmons et al., 2004; Arbic et al., 2004; Shriver et al., 2012; Müller et al., 2012; Müller et al., 2015; Rocha et al., 2016; Savage et al., 2017; Yu et al., 2019; Li & von Storch, 2020; Buijsman et al., 2020; Arbic et al., 2022; Xu et al., 2022). While many of these model studies focus on the tidal band or the Garrett-Munk spectrum (e.g., Garrett & Munk, 1975), the energy peaks that occur at the higher harmonics of the primary tidal frequencies, referred to as the supertidal band ( $> 2.5$  cycles per day), have not received as much attention. In this paper, we evaluate how much supertidal energy projects on vertical modes in a realistically forced global HYbrid Coordinate Ocean Model simulation (HYCOM; Bleck, 2002; Chassignet

et al., 2003, 2009) with 4-km horizontal grid spacing and 41 layers and discuss the mechanisms that drive energy to these supertidal wave modes.

Internal gravity waves can be decomposed into orthogonal vertical modes, which are a solution of the well-known Sturm-Liouville eigenvalue problem (Gill, 1982). From local buoyancy frequency vertical profiles, the Sturm-Liouville equation is solved for the eigenfunctions and eigenspeeds. Characteristics of these modes are an increase of the number of zero crossings of the vertical and horizontal velocity eigenfunctions, an increase of the horizontal wavenumber, and a decrease of the eigenspeed for increasing mode number. The solutions of this eigenvalue problem have been used to gain insight in the propagation of internal gravity waves and their interactions with topography and the slowly varying background flows (e.g., Zilberman et al., 2009; Buijsman et al., 2010; Kelly et al., 2012; Zhao et al., 2016; Kelly & Lermusiaux, 2016; Kelly, 2016; Buijsman et al., 2020; Gong et al., 2021; Kelly et al., 2021; Pan et al., 2021; Raja et al., 2022; Siyanbola et al., 2024). While modal energetics in global ocean simulations have been computed for tidal internal waves (internal tides, e.g., Buijsman et al., 2020; Kelly et al., 2021) and near-inertial waves (e.g., Simmons & Alford, 2012; Raja et al., 2022), the global energetics of supertidal internal gravity wave modes in global ocean simulations are not well studied.

Whereas interactions between internal waves and eddies and interactions between near-inertial waves also contribute to the filling out of the internal wave spectrum (e.g., Yang et al., 2023; Delpech et al., 2024; Barkan et al., 2024; Skitka et al., 2024), this study mainly focuses on the higher harmonic wave modes that result from near-resonant interactions of tidal internal wave modes. Offshore of strong internal tide generation sites, the supertidal signal in observations and model simulations is often dominated by the higher harmonics of the primary tidal forcing frequencies. For example, Solano et al. (2023) diagnosed kinetic energy spectra offshore of the Amazon shelf in a  $1/25^\circ$  global HYCOM simulation and found that the supertidal energy is mostly concentrated in the higher harmonics of the semidiurnal ( $D_2$ ) tide, i.e.,  $D_4$ ,  $D_6$ ,  $D_8$ , etc., (their Figure 1). Similarly, Tchilibou et al. (2022) also observed higher harmonics in a  $1/36^\circ$  regional model simulation offshore the Amazon shelf (their Figure 12). The strong  $D_2$  internal tide generation in the Bay of Biscay also coincides with observations (van Haren et al., 2002; van Aken et al., 2007; van Haren & Maas, 2022) and model simulations (Pichon et al., 2013) of deep open ocean currents that have energy at the higher harmonic frequencies of  $D_2$ . In the South China Sea, the strong diurnal ( $D_1$ ) internal tides interact with the  $D_2$  internal tides to create odd harmonics at terdiurnal ( $D_3$ ) and higher frequencies in mooring observations (Xie et al., 2010). Global drifter observations (Yu et al., 2019) and model simulations (Arbic et al., 2022) of near-surface kinetic energy also reveal that these higher harmonics are ubiquitous, albeit they are less-well defined in the observations than in the simulations.

Several mechanisms contribute to energy transfers to higher harmonic frequencies. These mechanisms are characterized by wave-wave or self-interactions and result from the advective terms in the Navier Stokes equations. For example, these interactions occur along internal wave beams when they interact with boundaries and stratification (Tabaie et al., 2005; Gerkema, 2006; Grisouard et al., 2011; Diamessis et al., 2014; Dauxois et al., 2018). Downscale energy transfers may also occur due to interactions between internal wave modes (Thorpe, 1966; Sutherland, 2016; Wunsch, 2017; Varma & Mathur, 2017; Varma et al., 2020). Sutherland (2016), Wunsch (2017), and Wunsch & Marcellino (2023) showed that nonlinear self-interaction of internal tide modes in non-uniform stratification results in energy being transferred to superharmonic disturbances forced at twice the horizontal wavenumber and frequency of the parent mode. An internal wave mode with a sufficiently large amplitude may trigger a ‘superharmonic cascade’ (Baker & Sutherland, 2020; Sutherland & Dhaliwal, 2022). In this cascade, successive superharmonics grow through wave-wave interactions to non-negligible amplitudes. The phase relation-

123 ship between the superharmonics is such that when superimposed, the internal tide trans-  
 124 forms into a series of short waves, e.g., solitary waves. This process is also referred to  
 125 as nonlinear steepening.

126 Independent from each other, and published at the same time, studies by Wunsch  
 127 & Marcellino (2023) and Solano et al. (2023) confirmed that the fraction of higher har-  
 128 monic energy is enhanced in the tropics. Wunsch & Marcellino (2023) showed theoret-  
 129 ically that resonant self-interactions between semidiurnal mode-1 waves are enhanced  
 130 in the tropics, mainly due to the small Coriolis parameter  $f$ . Solano et al. (2023) demon-  
 131 strated that the fraction of baroclinic supertidal energy of the total tidal baroclinic en-  
 132 ergy is enhanced in the tropics in global model simulations. Gerkema & Zimmerman (1995)  
 133 were among the first to show that the absence of rotation facilitated the disintegration  
 134 of the internal tide into supertidal (solitary) waves. Semidiurnal internal tides are more  
 135 susceptible to this nonlinear steepening than diurnal waves because the frequency of the  
 136 former is closer to  $f$  (Farmer et al., 2009). Gerkema (2001) found that the disintegra-  
 137 tion of the mode-1 internal tide is strongest for surface intensified stratification, as oc-  
 138 curs in the (sub)tropics (Kunze, 2017).

139 In this paper, we present a global modal decomposition for (super)tidal frequency  
 140 bands. We project the modal eigenfunctions on the time varying 3D fields to extract time  
 141 series of modal amplitudes for velocity and pressure, and compute the modal energet-  
 142 ics. The research questions that we address in this paper are: 1) What is the spatial vari-  
 143 ability of the energetics of the (super)tidal modes? 2) What supertidal frequencies dom-  
 144 inate and where? 3) What are the mechanisms that transfer energy from the tidal to these  
 145 supertidal modes? 4) How does grid spacing affect the resolution of the (super)tidal modes?

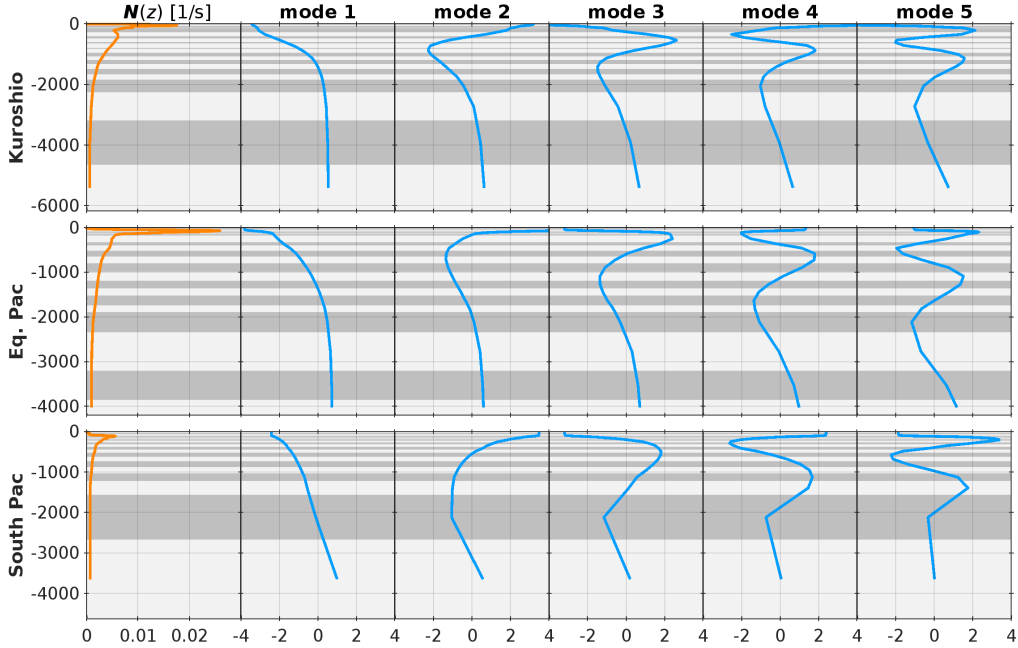
146 In the remainder of this paper, in the Methods section, we discuss the global HY-  
 147 COM simulation, the modal analysis, and energy diagnostics. In the Results section, we  
 148 diagnose the modal energetics for several frequency bands and establish what supertidal  
 149 frequencies are most important. In the fourth section we discuss how gridsize affects the  
 150 number of resolved modes and the potential generation mechanisms of the supertidal modes.  
 151 We end with conclusions.

## 152 2 Methods

### 153 2.1 Model

154 We use a global HYCOM simulation forced with tides and 3-hourly winds (expt\_19.0),  
 155 which has also been described in Raja et al. (2022) and Solano et al. (2023). The sim-  
 156 ulation has 41 hybrid layers and a tripole grid with a horizontal grid spacing of  $1/25^\circ$   
 157 (4 km at the equator). The hybrid grid comprises about  $\sim 20$   $z$ -coordinate levels cover-  
 158 ing the surface mixed layer, isopycnal layers in the stratified interior, and terrain-following  
 159 coordinates on the shelves. The thickness of the  $z$ -coordinate layers ranges from 1 m at  
 160 the surface to 8 m near the bottom of the mixed layer. The depth of the deepest  $z$  co-  
 161 ordinate varies globally and is about 100-200 m at low to mid latitudes. The model sim-  
 162 ulation is forced with five tidal constituents, i.e.,  $M_2$ ,  $S_2$ ,  $N_2$ ,  $O_1$ , and  $K_1$ . For the best  
 163 tidal performance, a spatially varying self attraction and loading term in conjunction with  
 164 a Kalman filter and a wave drag are applied (Ngodock et al., 2016). The simulation is  
 165 initialized on 1 April 2019 from a simulation that is constrained by data assimilation (DA).  
 166 It is run forward for about 50 days to allow transients associated with the DA (Raja et  
 167 al., 2024) to dampen out. In this paper, we diagnose hourly 3D output over 30 days from  
 168 20 May to 19 June 2019. We perform our diagnostics for every other horizontal grid point  
 169 to speed up our analyses and limit storage by a factor of four.

170 An older model simulation for September 2016 (expt\_22.0) with the same set-up  
 171 as expt\_19.0 has shown to be in good agreement with  $M_2$  surface and internal tide ob-  
 172 servations (Buijsman et al., 2020). For an overview of studies that have validated realistically-



**Figure 1.** The buoyancy frequencies (first column) and the first five  $\mathcal{U}_n$  eigenfunctions computed at three locations in the Pacific Ocean: a mid-latitude location (Kuroshio at 27.98°N and 150°E; top), the equator (Eq.Pac at 2.84°S and 228°E; middle), and the Southern Ocean (South Pac. at 66.85°S and 228°E; bottom). The time-mean layer thicknesses are alternately shaded with dark and light gray colors. The  $\mathcal{U}$  eigenfunctions are unitless.

173 forced HYCOM simulations with observations over a range of frequencies, we refer to  
 174 Arbic (2022).

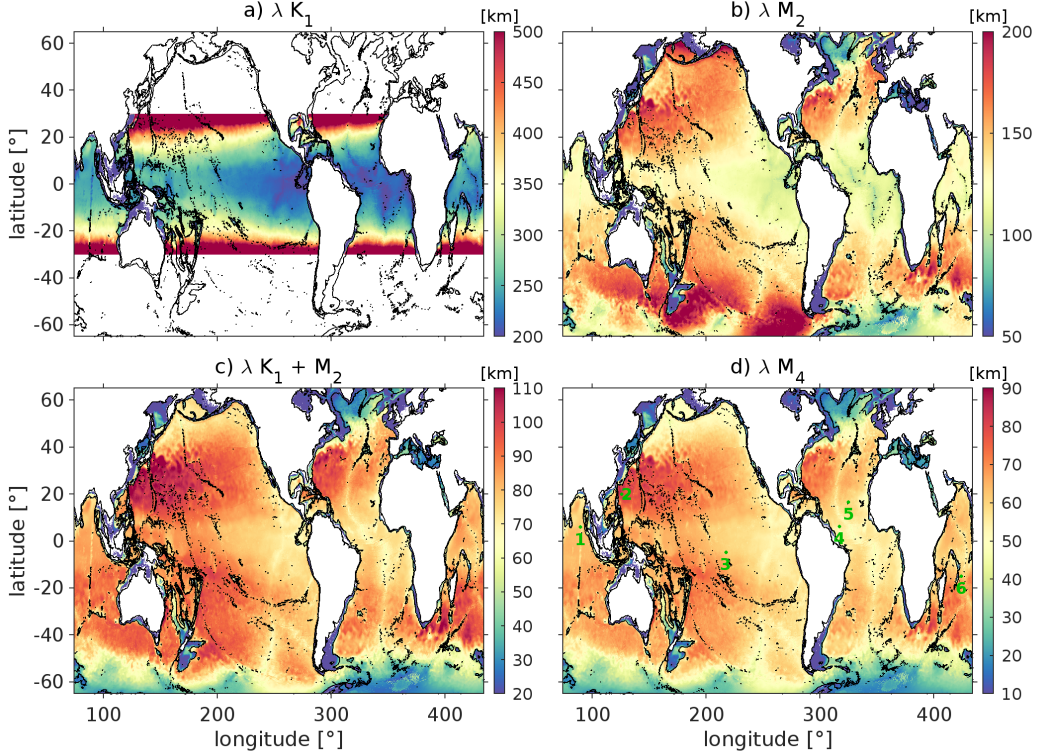
## 175 2.2 Modes

176 Because our global simulation is hydrostatic, we solve the hydrostatic Sturm-Liouville  
 177 eigenvalue problem

$$178 \frac{\partial^2 \mathcal{W}_n}{\partial z^2} + \frac{N^2}{c_n^2} \mathcal{W}_n = 0 \quad (1)$$

179 for the first six modes for a 30-day time-mean and spatially varying buoyancy frequency  
 180  $N(z)$ , where  $\mathcal{W}_n$  is the vertical velocity eigenfunction of mode  $n$ ,  $c_n$  is the eigenspeed,  
 181 and  $z$  is the vertical coordinate. Note that in the nonhydrostatic Sturm-Liouville equa-  
 182 tion,  $N^2$  is replaced with  $N^2 - \omega^2$ , where  $\omega$  is the internal wave frequency. In the hy-  
 183 drostatic eigenvalue problem, the eigenvalues and eigenfunctions do not depend on fre-  
 184 quency. This allows us to project the eigenfunctions on the instantaneous fields.

185 Next we compute the horizontal velocity eigenfunction  $\mathcal{U}_n = \partial \mathcal{W}_n / \partial z$  and nor-  
 186 malize it so that  $\frac{1}{H} \int_H \mathcal{U}_n^2 dz = 1$ , where  $H$  is seafloor depth (Buijsman et al., 2020).  
 187 Examples of  $N(z)$  and  $\mathcal{U}_n$  for the first five modes near the Kuroshio, in the equatorial  
 188 Pacific, and in the Southern Ocean are shown in Figure 1. The equatorial Pacific fea-  
 189 tures the strongest surface intensified buoyancy frequency  $N(z)$  and a more equal distri-  
 190 bution of layer thicknesses in the deep ocean. Because of this relatively equal distri-  
 191 bution, the higher modes are better resolved at depth, e.g., the curvature is realistic and  
 192 the amplitudes between the zero-crossing are captured. In contrast, at the mid and higher  
 193 latitudes the layer thickness distribution becomes more skewed with larger layer thick-



**Figure 2.** The mode-1 wavelengths for the (a)  $K_1$ , (b)  $M_2$ , (c) terdiurnal  $K_1 + M_2$ , and (d) quarterdiurnal  $M_4$  internal tide frequencies. All colorbars have different scales. The numbers in (d) refer to the following geographic locations: (1) Bay of Bengal ( $5.94^\circ\text{N}$ ,  $89.48^\circ\text{E}$ ), (2) Philippine Sea, east of Luzon Strait ( $20.06^\circ\text{N}$ ,  $125.44^\circ\text{E}$ ), (3) Equatorial Pacific to the north of the French Polynesian islands ( $4.71^\circ\text{S}$ ,  $217.2^\circ\text{E}$ ), (4) offshore the Amazon shelf ( $6.18^\circ\text{N}$ ,  $316.88^\circ\text{E}$ ), (5) Cape Verde Basin ( $16.56^\circ\text{N}$ ,  $324.84^\circ\text{E}$ ), and (6) to the east of the Mascarene Ridge ( $14.83^\circ\text{S}$ ,  $424.12^\circ\text{E}$ ). Depth contours are shown at 0 and 2000 m.

194 nesses at depth. This causes higher modes to be less well resolved (e.g., modes 2-5 in the  
 195 South Pacific in Figure 1).

196 Knowing  $c_n$ , we can compute the modal wavenumber  $k_n$  for a given frequency  $\omega$   
 197 from the dispersion relation

$$198 \quad \omega^2 = f^2 + k_n^2 c_n^2, \quad (2)$$

199 where  $f$  is the Coriolis frequency. As an example, we show the mode-1 wavelength  $\lambda_1 =$   
 200  $\frac{2\pi}{k_1}$  for diurnal ( $K_1$ ), semidiurnal ( $M_2$ ), terdiurnal ( $K_1 + M_2$ ), and quarterdiurnal ( $M_4$ )  
 201 frequencies in Figure 2. The wavelength decreases for larger  $\omega$  but it increases for larger  
 202  $f$ . In some locations at high latitudes ( $> |\pm 50^\circ|$ ),  $N(z)$  becomes smaller and more depth-  
 203 uniform, causing smaller  $c_n$  and smaller  $M_2$ ,  $M_2 + K_1$ , and  $M_4$  wavelengths.

204 Next, we project the normalized horizontal velocity eigenfunctions on the 3D hourly  
 205 time series of the HYCOM simulation to compute the modal amplitudes of the horizon-  
 206 tal baroclinic velocities and perturbation pressures at each horizontal coordinate

$$207 \quad \begin{aligned} \mathbf{u}(z, t) &= \sum_n \hat{\mathbf{u}}_n(t) \mathcal{U}_n(z), \\ p(z, t) &= \sum_n \hat{p}_n(t) \mathcal{U}_n(z), \end{aligned} \quad (3)$$

**Table 1.** Frequency range for the diurnal (D<sub>1</sub>), semidiurnal (D<sub>2</sub>), terdiurnal (D<sub>3</sub>), quarterdiurnal (D<sub>4</sub>), and supertidal (HH) bands, for which the energy terms are computed.

band $\sigma$	D <sub>1</sub>	D <sub>2</sub>	D <sub>3</sub>	D <sub>4</sub>	HH
$\omega$ range [cpd]	0.85-1.05	1.78-2.15	2.50-3.50	3.50-4.50	2.50-12.00

where  $\mathbf{u} = (u, v)$  is the horizontal baroclinic velocity vector with velocities  $u$  and  $v$  along the  $x$  and  $y$  coordinates, respectively,  $p$  is the perturbation pressure, and  $\hat{\mathbf{u}}_n(t)$  and  $\hat{p}_n(t)$  are the modal amplitude time series for velocity and pressure, respectively. For further details on these calculations, the reader is referred to Buijsman et al. (2020) and Raja et al. (2022).

### 2.3 Modal Energetics

In contrast to previous studies (e.g., Buijsman et al., 2016; Raja et al., 2022; Solano et al., 2023), in which the modal amplitude time series were band-passed, we apply a Fast Fourier Transform to the time series, compute the energy terms, and sum over frequency bands. The advantage of this method is that it is faster and requires less memory. We first remove the linear trend in time from the modal amplitude time series and then apply a Tukey window with cosine fraction  $\alpha = 0.2$  to minimize spectral leakage. We find that for  $\alpha = 0.2$  the time-series variance is reduced by 7%. The results for  $\alpha = 0.5$  are visually similar, while the variance is further reduced by 17%. We confirm that when the Tukey window is not applied, the spurious energy in the supertidal band is large at locations where subtidal energy is large, e.g., the Antarctic Circumpolar Current (ACC).

In a next step, we Fast Fourier Transform the modal amplitude time series, yielding complex coefficients as a function of frequency  $\omega$  for velocity  $\hat{\mathbf{u}}_n(\omega)$  and pressure  $\hat{p}_n(\omega)$ . For each mode number, we compute the time-mean modal kinetic energy integrated over tidal and supertidal frequency bands (Table 1) as

$$KE_{n,\sigma} = \rho_0 H \frac{1}{2m^2} \Sigma_{\omega} |\hat{\mathbf{u}}_n(\omega)|^2, \quad (4)$$

where  $m$  is the length of the time series and  $\rho_0$  a reference density, and  $\sigma$  represents the frequency band. The time-mean modal available potential energy is computed as

$$APE_{n,\sigma} = \rho_0 H \frac{1}{2m^2} \Sigma_{\omega} \frac{|\hat{p}_n(\omega)|^2}{c_n^2 \rho_0^2}. \quad (5)$$

The time-mean modal pressure flux is computed as

$$\mathbf{F}_{n,\sigma} = H \frac{1}{2m^2} \Sigma_{\omega} \hat{\mathbf{u}}_n(\omega) \hat{p}_n^*(\omega), \quad (6)$$

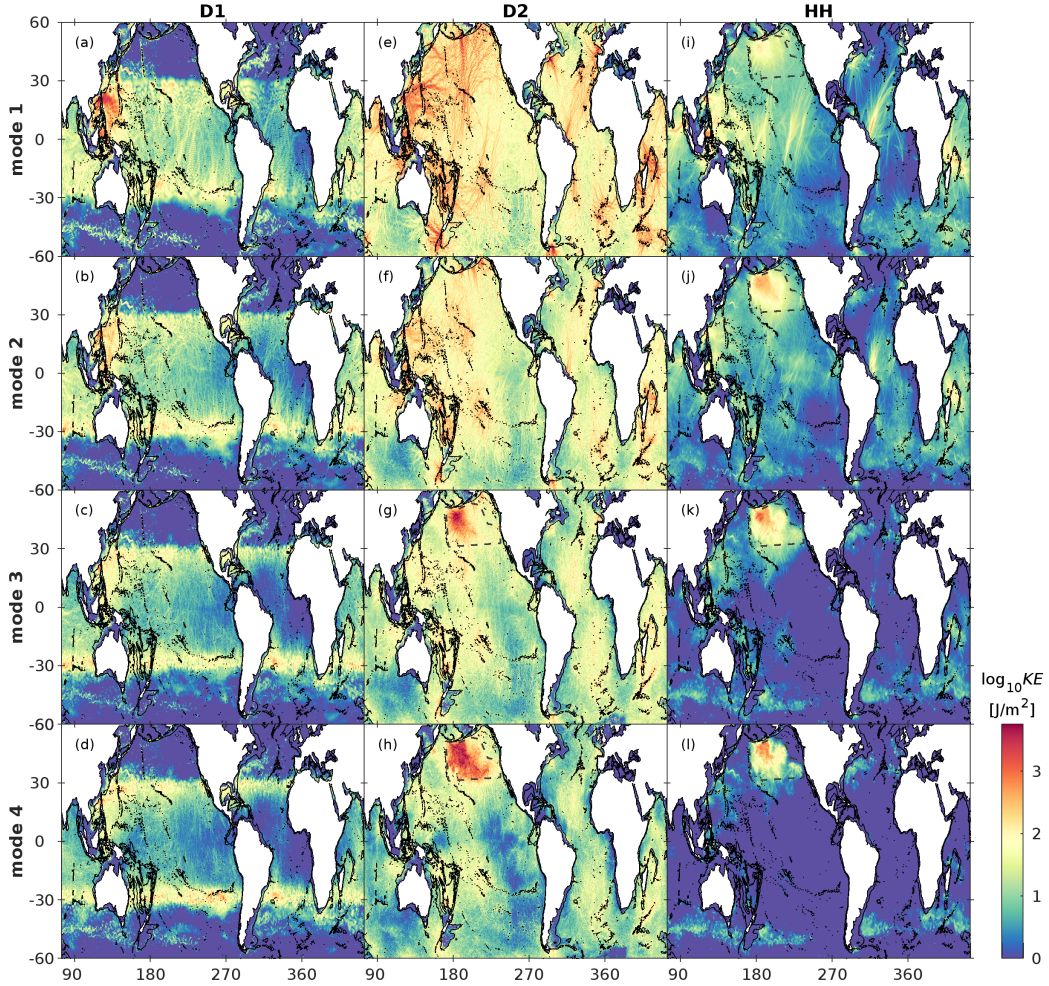
where  $*$  is the complex conjugate. The reader is referred to Kelly et al. (2012) for details on the derivation of these energy terms.

## 3 Results

### 3.1 Energetics

#### 3.1.1 Global Energy and Fluxes

The time-mean and depth-integrated modal kinetic energy and pressure fluxes for the D<sub>1</sub>, D<sub>2</sub>, and HH frequency bands are presented in Figures 3 and 4 for the first four modes. The spatial patterns of available potential energy are similar and are not shown.

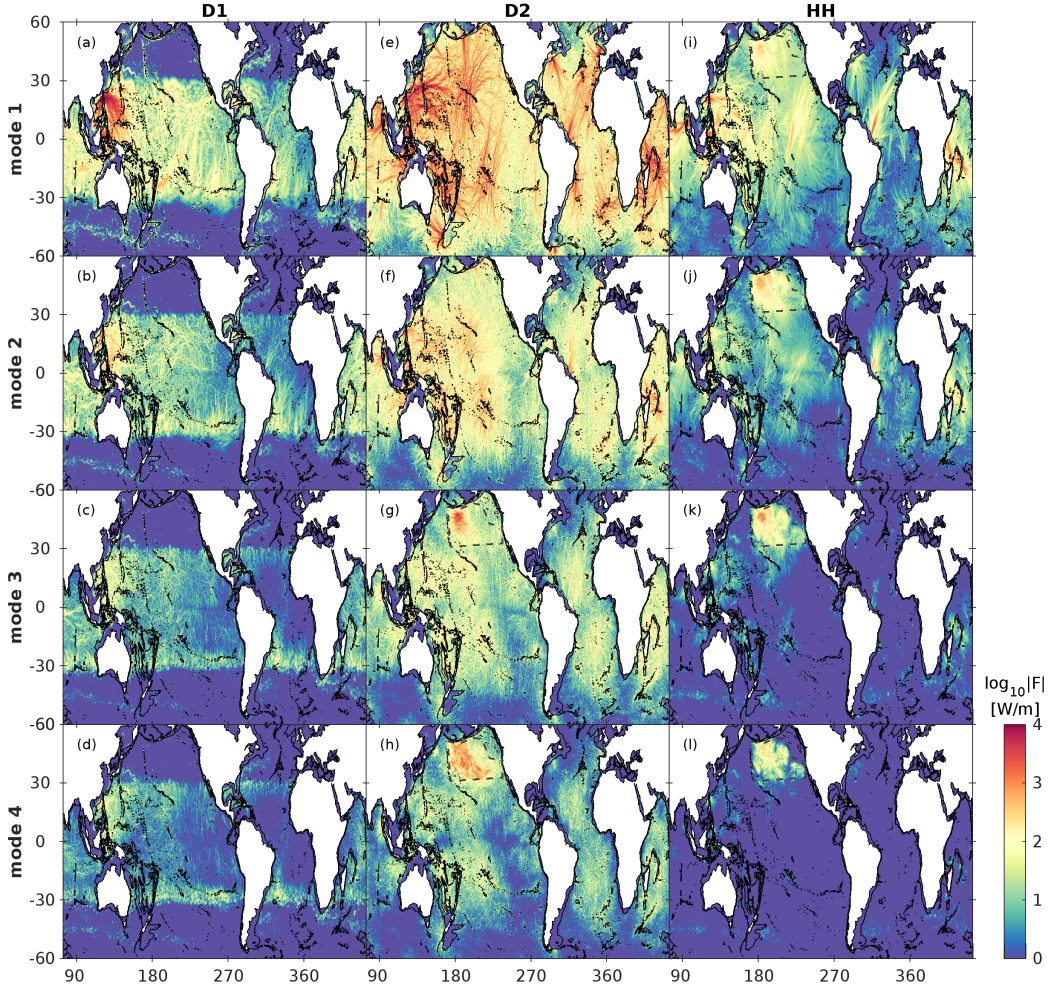


**Figure 3.** The time-mean and depth-integrated kinetic energy for the three frequency bands (columns) and modes 1 to 4 (rows). The dotted dark gray polygon in the north Pacific marks the extent of the thermobaric instabilities. Depth contours are shown at 0 and 2000 m.

Some subtle differences exist between the spatial patterns for  $KE_{n,\sigma}$  and  $|\mathbf{F}_{n,\sigma}|$ . For diurnal modes near the diurnal turning latitudes ( $\pm 30^\circ$ ), near-inertial motions due to wind (e.g., Raja et al., 2022) and parametric subharmonic instabilities (PSI; e.g., Hazewinkel & Winters, 2011; Ansong et al., 2018) significantly enhance the kinetic energy of the higher modes (Figure 3), whereas the energy fluxes of the higher modes are much smaller as compared to mode 1 (Figure 4). This is because velocities and pressures are only correlated for propagating waves. Both the  $KE$  and energy flux of the semidiurnal modes 3 and higher and all supertidal modes are elevated due to thermobaric instabilities (TBI; Buijsman et al., 2020) in the north Pacific. TBI is a numerical noise that projects on modes with higher wavenumbers and frequencies. Recently, a solution that successfully mitigates TBI has been implemented (Alan Wallcraft, personal communication).

The strongest diurnal fluxes with magnitudes of  $\mathcal{O}(10^4)$  W/m radiate southwest and southeastward from Luzon Strait ( $20.5^\circ\text{N}$  and  $121.4^\circ\text{E}$ ; Figure 4a-d). Smaller diurnal mode 1 fluxes of  $\mathcal{O}(10^2)$  W/m appear to radiate equatorward from the diurnal turning latitudes near  $\pm 30^\circ$ . Although some of these fluxes are from tidal origin, they can also be due to wind-generated near-inertial internal waves.





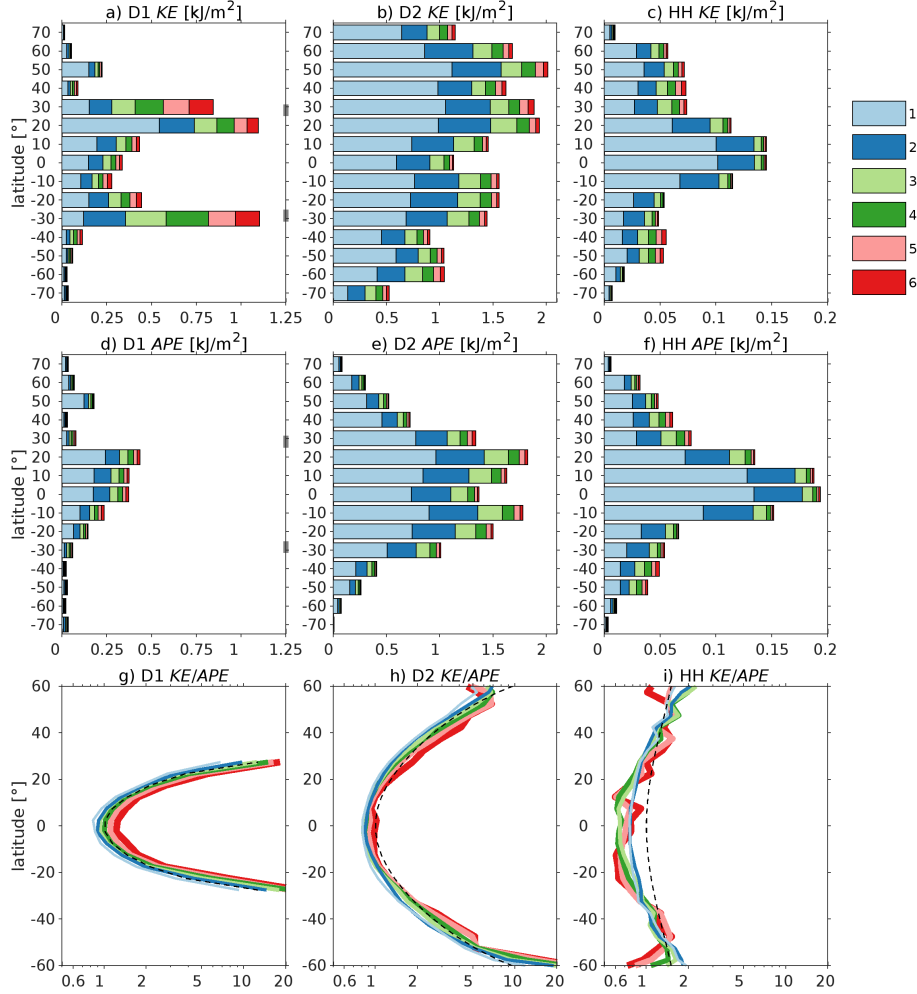
**Figure 4.** The same as Figure 3, but for the pressure flux magnitude.

258 In contrast to the diurnal energy flux, the semidiurnal energy flux is more equally  
 259 distributed over the global ocean (Figure 4e-h). These fluxes are mostly attributed to  
 260 the  $M_2$  internal tide, which has been extensively discussed and validated in Buijsman  
 261 et al. (2020). Moreover, semidiurnal high-mode fluxes are larger and more widespread  
 262 than the diurnal high-mode fluxes. This may be because the most energetic diurnal in-  
 263 ternal tides are only generated in the northwest Pacific Ocean.

264 Supertidal fluxes in Figure 4i-l are largest for modes 1 and 2. The strongest super-  
 265 tidal fluxes of  $\mathcal{O}(10^3)$  W/m occur near the equator in the Bay of Bengal, near Luzon Strait,  
 266 offshore of the Amazon Shelf, and near the Mascarene Ridge (locations are marked in  
 267 Figure 2). These supertidal beams coincide with strong mode-1 semidiurnal internal tide  
 268 beams (Figure 4e) that are generated at tall ridges and shelves.

### 269 **3.1.2 Zonal Averages and Global Integrals**

270 We area-average the depth-integrated  $KE$  and  $APE$  zonally over  $10^\circ$  latitude bins  
 271 for seafloor depths  $> 2000$  m (Figure 5). Diurnal internal tides are dominant equator-  
 272 ward of  $\pm 30^\circ$ , with the largest energy density at Luzon Strait near  $20^\circ N$ . While, near-  
 273 inertial motions enhance modal  $KE$  in the diurnal band near the turning latitudes (Fig-



**Figure 5.** Zonally averaged (a-c) kinetic energy, (d-f) available potential energy, and (g-i) consistency relation  $\frac{KE}{APE} = \frac{\omega^2 + f^2}{\omega^2 - f^2}$  for modes 1-6 and for the (a,d,g) diurnal, (b,e,h) semidiurnal, and (c,f,i) supertidal frequency bands. Energy is zonally averaged over  $10^\circ$  latitude bins for seafloor depths  $> 2000$  m. The area with TBI has been excluded from the averages for the semidiurnal modes 3-6 and all supertidal modes. Where the diurnal-band frequencies overlap the local inertial frequencies, a thick grey line is added to the right axis in (a,d). In (g-i),  $\frac{\omega^2 + f^2}{\omega^2 - f^2}$  is plotted as the dashed black line and the latitude range is limited because  $\frac{KE}{APE}$  becomes noisy poleward of  $|\pm 60^\circ|$ .

274 ure 5a), they do not affect diurnal *APE* (Figure 5d). For diurnal internal tides the mode  
 275 1 dominates, whereas the near-inertial kinetic energy is more equally distributed over  
 276 all modes near  $\pm 30^\circ$  (Raja et al., 2022). Poleward of  $\pm 30^\circ$  only trapped diurnal modes  
 277 exist, and energy levels are relatively low.

278 In accordance with Buijsman et al. (2020), the semidiurnal modal energy in Figure  
 279 5b,e is mostly tidal and dominated by mode 1, although higher modes (2-6) account  
 280 for roughly half of the total energy. The simulated *KE* densities in Figure 5b are largest  
 281 on the northern hemisphere, coinciding with large generation sites such as Georges Bank,

**Table 2.** Global integral of *KE* and *APE* per frequency band and modes 1-4 in PJ (=  $10^{15}$  J) for seafloor depths  $> 250$  m. The second column has the latitude range used for the global integral. Modal energy as a fraction of the energy summed over 6 modes (third column) is listed between parentheses in %. The area with TBI has been excluded from the integrals for the semidiurnal modes 3-6 and all supertidal modes. These values are affected by the Tukey window, which reduces the variance of each modal time series by  $\sim 7\%$ . The area-integrated values are multiplied by a factor of four because only every grid point is stored.

<i>KE</i>	lat. range [°]	$\Sigma_1^6$	1	2	3	4
D <sub>1</sub>	-30.0 to 30.0	28.1	9.5 (34)	6.0 (21)	4.1 (15)	3.5 (13)
D <sub>2</sub>	-74.5 to 74.5	112.9	57.9 (51)	28.8 (26)	13.4 (12)	6.9 ( 6)
HH	-90.0 to 90.0	6.4	3.5 (55)	1.7 (27)	0.6 ( 9)	0.3 ( 5)
HH	-25.0 to 25.0	4.4	2.7 (63)	1.2 (27)	0.3 ( 7)	0.1 ( 2)
<i>APE</i>	lat. range [°]	$\Sigma_1^6$	1	2	3	4
D <sub>1</sub>	-30.0 to 30.0	12.4	5.9 (47)	2.8 (23)	1.5 (12)	1.0 ( 8)
D <sub>2</sub>	-74.5 to 74.5	85.7	45.5 (53)	21.9 (26)	10.1 (12)	4.7 ( 6)
HH	-90.0 to 90.0	7.4	4.2 (57)	2.0 (26)	0.7 ( 9)	0.3 ( 4)
HH	-25.0 to 25.0	5.6	3.5 (62)	1.5 (26)	0.4 ( 7)	0.1 ( 3)

282 Hawaii, and ridges in the northwestern Pacific, and decrease southward. In contrast, *APE*  
 283 in Figure 5e is large at low latitudes and decreases towards the poles.

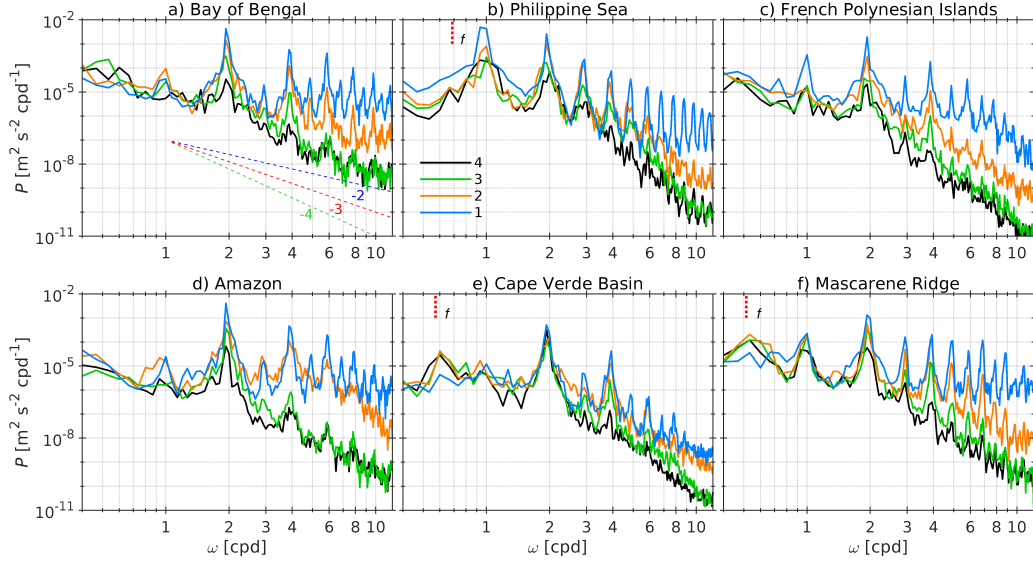
284 The supertidal *KE* and *APE* for modes 1-6 in Figure 5c,f show similar patterns,  
 285 with the largest values occurring in the tropics. Interestingly, *APE* densities are larger  
 286 than *KE* densities. Because these higher-frequency modes have shorter wavelengths, HH  
 287 energy is only projected on modes 1 and 2.

288 Freely propagating waves satisfy the consistency relation

$$289 \frac{KE}{APE} = \frac{\omega^2 + f^2}{\omega^2 - f^2} \quad (7)$$

290 (Gill, 1982; Alford & Zhao, 2007; Nelson et al., 2020). To verify this in HYCOM, we com-  
 291 pute the ratio of zonally averaged *KE* and *APE* for modes 1-6 and the D<sub>1</sub>, D<sub>2</sub>, and HH  
 292 bands, and compare this with the theoretical ratio  $\frac{\omega^2 + f^2}{\omega^2 - f^2}$  in Figure 5g-i. For all frequen-  
 293 cies and modes, HYCOM correctly simulates the poleward increase of  $\frac{KE}{APE}$  from  $\sim 1$  at  
 294 the equator in agreement with theory. This implies that these modes are propagating  
 295 internal waves. However, the  $\frac{KE}{APE}$  curves for D<sub>2</sub> modes 5-6 and HH modes 3-6 are nois-  
 296 ier than the theoretical  $\frac{\omega^2 + f^2}{\omega^2 - f^2}$ . This suggests that these higher modes may not be well-  
 297 resolved in our HYCOM simulation. Most of the resolved low modes are to the left of  
 298  $\frac{\omega^2 + f^2}{\omega^2 - f^2}$ . This implies that, consistently, *KE* is estimated too low or *APE* is estimated too  
 299 high. Our estimate of *APE* (eq. 5) is a linearized form of *APE*, which omits higher or-  
 300 der terms (Kang & Fringer, 2010). Possibly, this could contribute to our deviation from  
 301 theory.

302 For comparison with the literature, we list the global integrals of modal *KE* and  
 303 *APE* for seafloor depths  $> 250$  m in Table 2. Mode 1 comprises roughly 50-60% of the  
 304 energy summed over six modes for all bands except for the diurnal band, which contains  
 305 more near-inertial high-mode energy. The total semidiurnal energy summed over the first  
 306 six modes (199 PJ) is in agreement with Buijsman et al. (2020) (their Figure 9), after  
 307 correcting for the contributions of the deep-water barotropic to baroclinic energy con-  
 308 version of S<sub>2</sub> and N<sub>2</sub> (Egbert & Ray, 2003). The fraction of mode 1 supertidal energy



**Figure 6.** Kinetic energy spectra for modes 1-4 for six deep water sites: (a) Bay of Bengal (5.94°N, 89.48°E), (b) Philippine Sea, east of Luzon Strait (20.06°N, 125.44°E), (c) to the north of the French Polynesian islands (4.71°S, 217.2°E), (d) offshore the Amazon shelf (6.18°N, 316.88°E), (e) Cape Verde Basin, but in the Amazon beam (16.56°N, 324.84°E), and (f) to the east of the Mascarene Ridge (14.83°S, 424.12°E). All locations are marked in Figure 2. The diagonal dashed lines in (a) show  $\omega^{-2}$ ,  $\omega^{-3}$ , and  $\omega^{-4}$ . In all subplots, the vertical red dashed line marks the local inertial frequency.

309 of  $\sim 60\%$  is the largest equatorward of  $\pm 25^\circ$ . The mode 1 supertidal energy comprises  
 310 about 6% of the mode 1 semidiurnal energy (see also Solano et al., 2023).

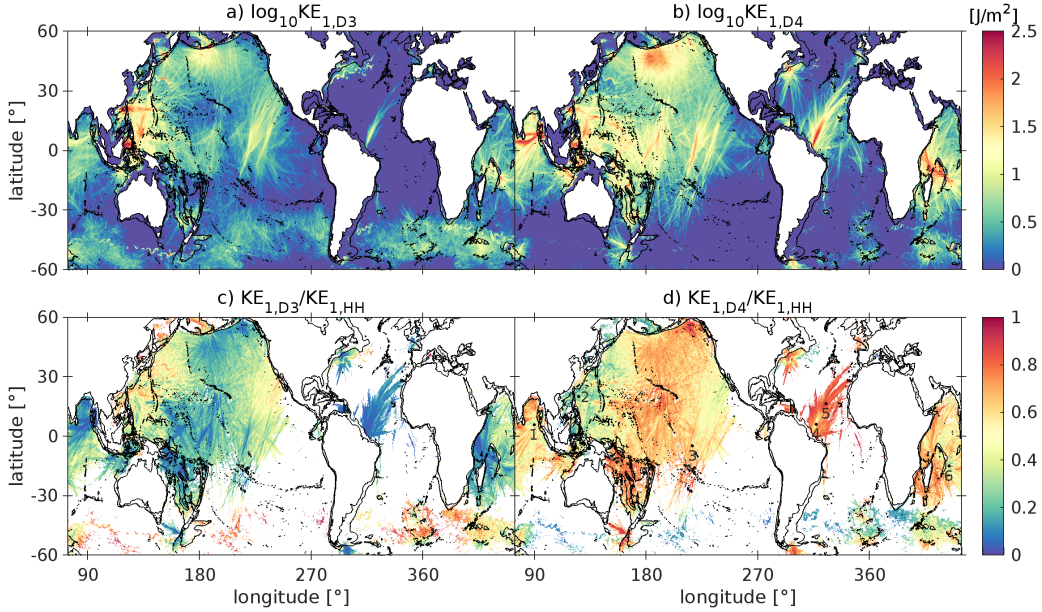
## 311 **3.2 Propagating Supertidal Modes**

### 312 **3.2.1 Frequency Spectra**

313 A novel result of this paper is that we have decomposed the supertidal fields into  
 314 vertical modes and quantified their energy content. Next, we investigate at what frequen-  
 315 cies the supertidal energy is concentrated in our HYCOM simulation. We present *KE*  
 316 spectra for the first four modes at six locations in the tropics in Figure 6. In addition  
 317 to what is explained in Section 2.3, we compute these spectra for three 50% overlapping  
 318 time-series windows to reduce noise.

319 In the beams radiating from the Andaman and Nicobar Islands in the Bay of Ben-  
 320 gal (Figure 6a), the French Polynesian Islands in the equatorial Pacific (c), and the Ama-  
 321 zon shelf (d and e) the supertidal mode 1 and 2 signals are dominated by the higher har-  
 322 monics of  $D_2$  ( $D_4$ ,  $D_6$ , etc) because  $D_2$  is the dominant tidal signal at these generation  
 323 sites. In contrast, the supertidal energy of the beams radiating from Luzon Strait in the  
 324 Philippine Sea (b) and from the Mascarene Ridge (f) is also dominated by the odd har-  
 325 monics because diurnal tides are also important at these sites. At all sites, most of the  
 326 supertidal energy is concentrated in modes 1 and 2, whereas the energy in the higher modes  
 327 quickly drops off. Moreover, the higher modes have less distinct higher harmonic peaks.

328 The conclusions inferred from the spectra are reflected in the spatial maps of the  
 329 mode 1 terdiurnal and quarterdiurnal *KE* in Figure 7a,b and their fractions of mode 1



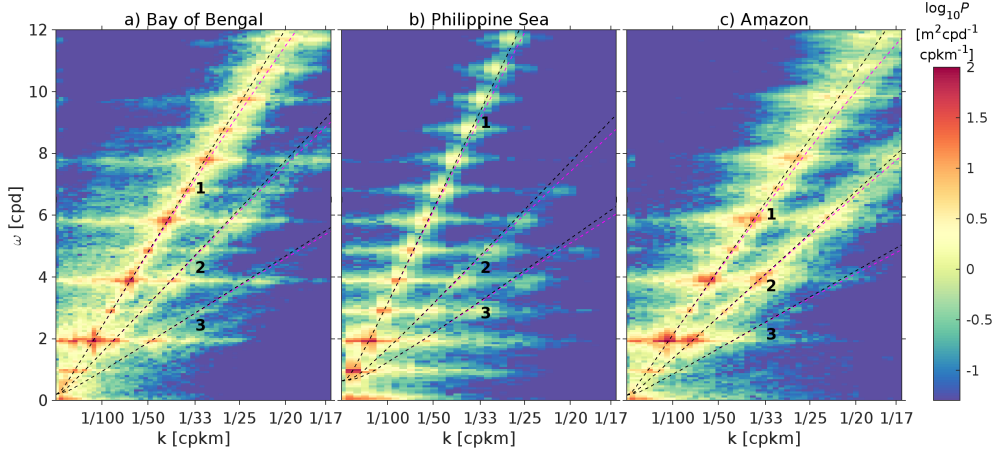
**Figure 7.** Mode 1  $KE$  for the (a)  $D_3$  and (b)  $D_4$  frequency bands. Mode 1  $KE$  for the (c)  $D_3$  and (d)  $D_4$  frequency bands normalized by mode 1 supertidal  $KE$ . In (c,d) areas with  $KE_{1,HH} < 5 J/m^2$  have been masked. The six locations of the spectra in Figure 6 are shown in (d) for convenience. Depth contours are shown at 0 and 2000 m.

330 supertidal  $KE$  in Figure 7c,d. The largest  $KE_{1,D3}$  and  $KE_{1,D3}/KE_{1,HH}$  occur in the west  
 331 Pacific near Luzon Strait and the Indonesian Archipelago, where  $D_1$  is relatively large  
 332 (Figure 3a). Here  $KE_{1,D3}/KE_{1,HH}$  reaches values of 40-60% (Figure 7c). Outside this  
 333 region, the supertidal band is dominated by  $D_4$ , with  $KE_{1,D4}/KE_{1,HH}$  reaching values  
 334 of about 80%. Interestingly,  $KE_{1,D4}/KE_{1,HH}$  is higher in the farfield because the stronger  
 335 nonlinearity in the nearfield causes the generation of more harmonics than only  $D_4$ . This  
 336 can be clearly observed in the energy spectra along the beam generated at the Amazon  
 337 shelf in Figure 6d,e.

### 3.2.2 Frequency-Wavenumber Spectra

338  
 339 The supertidal modes 1 and 2 that radiate from the major internal tide generation  
 340 sites feature an unidirectional energy flux (Figure 4i,j). Moreover, their  $\frac{KE}{APE}$  ratio fol-  
 341 lows the theoretical  $\frac{\omega^2+f^2}{\omega^2-f^2}$  ratio (Figure 5i). This suggests that these modes are propa-  
 342 gating internal waves. To further confirm this, we compute frequency-wavenumber spec-  
 343 tra of steric sea-surface height along the nonlinear internal tide beams in the Bay of Ben-  
 344 gal, the Philippine Sea, and offshore the Amazon shelf (Figure 8). The spectra are com-  
 345 puted along the main internal tide beams. We remove the linear trends and apply a Tukey  
 346 window in time and space and compute the spectra for three 50% overlapping time-series  
 347 windows. The positive wavenumbers in Figure 8 represent waves radiating away from  
 348 the main generation sites.

349 Echoing Figure 6, the Bay of Bengal and the Amazon beams are dominated by the  
 350 harmonics of  $D_2$ , whereas the southeastward beam from Luzon Strait in the Philippine  
 351 Sea has energy at the harmonics of both  $D_1$  and  $D_2$ . At these three sites, the higher har-  
 352 monics map onto the hydrostatic mode 1-3 dispersion curves (eqs. 1-2), confirming that  
 353 the supertidal modes at these sites are indeed propagating internal waves. These results



**Figure 8.** Frequency-wavenumber spectra of steric sea-surface height along the internal tide beams in (a) Bay of Bengal, (b) Philippine Sea, and (c) offshore the Amazon shelf. The  $\omega - k$  spectra coincide with the locations of the frequency spectra of Figure 6. Energy is clearly elevated at the tidal harmonics and projects on the hydrostatic mode 1-3 dispersion curves (black dashed lines; eq. 2). For comparison, the nonhydrostatic dispersion curves are shown as magenta dashed lines. The dispersion curves represent the median over all  $k$ -values along the transect for the same  $\omega$ .

354 raise the question: what mechanisms generate these propagating supertidal modes? We  
 355 will discuss this in Section 4.2.1.

## 356 4 Discussion

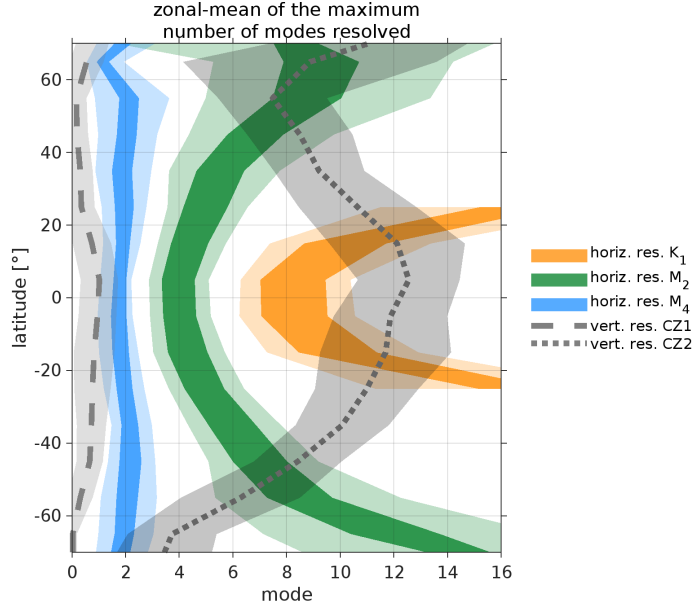
### 357 4.1 Effect of Grid Spacing on the Resolution of Modes

358 The resolution of subtidal modes has been discussed in Hallberg (2013), Stewart  
 359 et al. (2017), and Xu et al. (2023). While Buijsman et al. (2020) discusses the effect of  
 360 horizontal grid spacing on semidiurnal modes, Hiron et al. (2024) discusses the effect of  
 361 vertical grid spacing on tidal modes. In this section we briefly discuss the effect of hor-  
 362 izontal and vertical grid spacing on resolving the dominant  $D_1$ ,  $D_2$ , and  $D_4$  vertical modes.

363 How well a mode is resolved in the horizontal depends on the number of gridcells  
 364 per wavelength

$$365 \quad \gamma = \frac{\lambda_n}{\tilde{\Delta}}, \quad (8)$$

366 where the effective horizontal grid spacing  $\tilde{\Delta} = \sqrt{\frac{1}{2}(\Delta x^2 + \Delta y^2)}$  (Hallberg, 2013) and  
 367 the horizontal grid spacings are  $\Delta x$  and  $\Delta y$ , which vary on the HYCOM tripole grid.  
 368  $\gamma$  should be larger than  $2\pi$  to resolve propagating internal waves (Hallberg, 2013). In  
 369 Appendix A, we consider how finite difference errors of a sinusoidal wave change as a func-  
 370 tion of the horizontal grid spacing. We compute amplitude errors of 17–10% for  $\gamma =$   
 371 6–8 grid cells per wavelength. We use this range as our horizontal resolution criterion  
 372 to evaluate the number of modes resolved for the dominant  $K_1$ ,  $M_2$ , and  $M_4$  frequencies,  
 373 representing the  $D_1$ ,  $D_2$ , and HH frequency bands, respectively. The internal tide wave-  
 374 lengths in Figure 2, and thus also  $\gamma$ , feature larger meridional than zonal gradients. Hence,  
 375 we average the maximum number of resolved modes over all longitudes and  $10^\circ$  latitude  
 376 bins for seafloor depths  $> 2000$  m (Figure 9). For both  $K_1$  and  $M_2$ , the number of re-



**Figure 9.** The maximum number of modes resolved for horizontal and vertical resolution criteria area-averaged over longitude and  $10^\circ$  latitude bins. The colored polygons indicate the number of resolved  $K_1$  (orange),  $M_2$  (green), and  $M_4$  (blue) modes due to the horizontal grid spacing. The dark-colored polygons mark the extent of the zonal-mean values for  $\gamma = 6$  and 8 and the light-colored polygons mark the extent of the zonal-mean values  $\pm$  one standard deviation. The gray dashed lines mark the zonal-average for the vertical grid-spacing criteria CZ1, based on  $\gamma \approx 2\pi$ , and CZ2, based on 2 zero-crossings per HYCOM layer. The gray shaded polygons mark the extent of the zonal-mean values  $\pm$  one standard deviation.

377 solved modes increases with latitude because  $\lambda_n$  increases for increasing  $f$ , whereas  $\tilde{\Delta}$   
 378 decreases with latitude. In contrast, the maximum number of resolved modes for  $M_4$  shows  
 379 a much weaker latitudinal dependence.

380 In Figure 9 we also plot the maximum number of resolved modes for two vertical  
 381 resolution criteria: CZ1 and CZ2. For CZ1 we assume a vertical mode is not resolved  
 382 if the number of vertical layers between subsequent eigenfunction zero crossings is less  
 383 than three ( $\gamma \lesssim 2\pi$ ), in accordance with Stewart et al. (2017). According to this cri-  
 384 terion, our HYCOM simulation barely resolves a mode-1 wave (Figure 9). In CZ2, we  
 385 assume that a mode is not resolved when two  $\mathcal{U}$  or two  $\mathcal{W}$  zero-crossings occur in the  
 386 same HYCOM layer. The deep isopycnal layers thickness in our HYCOM simulation in-  
 387 crease from the equator to the poles (Figure 1), causing a poleward decrease in the max-  
 388 imum number of resolved modes in Figure 9. In contrast to CZ1, CZ2 is generally less  
 389 stringent than the horizontal resolution criterion for the three frequencies.

390 Do these horizontal and vertical resolution criteria correctly predict the maximum  
 391 number of resolved propagating modes? Figures 3-5 indicate that for all three frequency  
 392 bands more mode numbers contain energy than criterion CZ1 mandates. These results  
 393 are in agreement with Xu et al. (2023), who showed that CZ1, which is really intended  
 394 for  $z$ -coordinate models, is too strict for isopycnal layer models such as HYCOM. It can  
 395 be inferred from Figures 3-5, that as the frequency increases and the wavelength decreases,  
 396 fewer tidal modes are resolved. Ignoring near-inertial motions near  $\pm 30^\circ$  latitude,  $D_1$   
 397 has relatively more energy in mode 6 than the  $D_2$  and HH modes. In the tropics,  $D_2$  in-

398 ternal waves have most of their energy in modes 1-4, while HH waves have most of their  
 399 energy in modes 1-2. The latter is clearly shown when considering the supertidal fluxes  
 400 in Figure 4i,j. Beyond these mode numbers the energy quickly rolls off. The agreement  
 401 with the consistency relation in Figure 5g-i also confirms this. These findings are in a  
 402 reasonable agreement with the predicted number of resolved modes due to the horizontal  
 403 grid spacing in the tropics (orange, green, and blue polygons in Figure 9), although  
 404 the horizontal resolution criterion for  $K_1$  predicts more resolved modes than the six modes  
 405 we solve for. However, we do not find a clear correlation between the meridional trends  
 406 in Figure 9 and the resolved modes (e.g., see Figure 5). For example, the poleward in-  
 407 crease of the number of resolved  $M_2$  modes due to the horizontal resolution to about  $\pm 50^\circ$   
 408 and a subsequent poleward decrease on the southern hemisphere mandated by the ver-  
 409 tical resolution criterion CZ2 is difficult to discern from the energetics. This qualitative  
 410 correlation may be adversely affected by how much energy is input into tidal modes when  
 411 they are generated at topography in HYCOM, e.g., there may be energy input into fewer  
 412 modes than the simulation can resolve according to our criteria.

## 413 4.2 Resonant Wave-Wave Interactions

### 414 4.2.1 Comparison with Theory

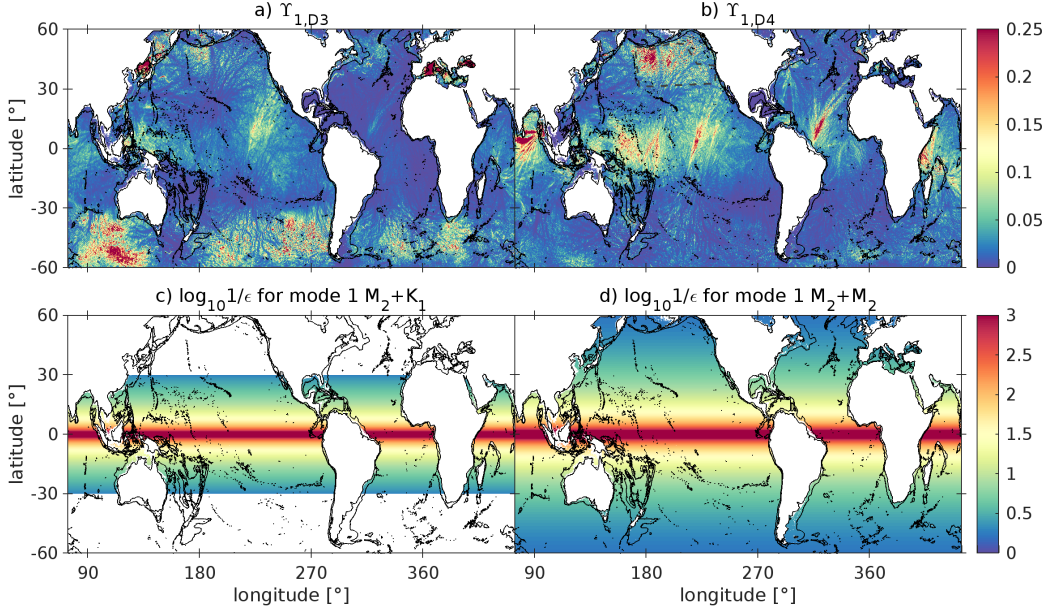
415 It is commonly accepted that the primary frequency internal tides are generated  
 416 at topography (e.g., Vic et al., 2019; de Lavergne et al., 2019; Buijsman et al., 2020). In  
 417 contrast, recent findings suggest that the low-mode supertidal internal waves are gener-  
 418 ated in the open ocean. For example, the generation of supertidal energy away from  
 419 topography is clearly visible in the equatorial Pacific in Figures 4i and 7b. Solano et al.  
 420 (2023) showed that the linear barotropic to baroclinic conversion at topography com-  
 421 puted for the supertidal band cannot explain the positive supertidal flux divergence, which  
 422 is large away from topography. They found that the positive supertidal flux divergence  
 423 is largely explained by cross-frequency energy transfers from the tidal to the supertidal  
 424 bands as determined with a coarse-graining approach (Aluie et al., 2018). Bell Jr. (1975)  
 425 derived a topographic internal wave energy flux formulation, forced by the primary tidal  
 426 frequencies, that includes contributions from the higher harmonics. However, it is yet  
 427 to be determined how relevant the higher harmonic generation term in Bell's formula-  
 428 tion is in ocean model simulations.

429 A likely candidate for the generation of the simulated higher harmonic internal waves  
 430 (e.g., Figure 6) are (near-)resonant wave-wave interactions. Resonant wave-wave inter-  
 431 actions may occur when the relations  $\omega_a + \omega_b = \omega_c$  and  $\mathbf{k}_a + \mathbf{k}_b = \mathbf{k}_c$  are satisfied (for  
 432 an overview, see Lamb, 2007), where  $\mathbf{k}$  is the wavenumber vector and subscripts  $a$  and  
 433  $b$  refer to the parent waves and subscript  $c$  refers to the resonant child wave. In theo-  
 434 retical studies, e.g., Wunsch (2017), Baker & Sutherland (2020), Sutherland & Dhali-  
 435 wal (2022), and Wunsch & Marcellino (2023) studied the self interaction of a propagat-  
 436 ing mode 1  $M_2$  internal wave that generates a dispersive mode 1  $M_4$  internal wave. These  
 437 harmonics may also interact with themselves and their parent waves, creating a super-  
 438 harmonic cascade that drives energy to smaller spatial and temporal scales (Sutherland  
 439 & Dhaliwal, 2022). To determine where mode-1  $M_2$  self interactions may be resonant  
 440 in the global ocean, Wunsch & Marcellino (2023) computed the resonance parameter

$$441 \quad \epsilon = \frac{[\omega_a + \omega_b]^2 - [\omega(k_a + k_b)]^2}{[\omega_a + \omega_b]^2} \quad (9)$$

442 using realistic stratification inferred from Argo floats and the nonhydrostatic Stürm-Liouville  
 443 equation. When  $\epsilon$  is small, resonant wave-wave interactions may be enhanced. Wunsch  
 444 & Marcellino (2023) showed that  $1/\epsilon$  tends to infinity at the equator and decreases to  
 445 zero polewards (their Figure 3). This meridional trend is mostly determined by the change  
 446 in  $f$  with latitude. In a not-yet peer reviewed study, Baker et al. (2024) demonstrated  
 447 that the double harmonic of  $M_2$  can grow to non-negligible amplitudes in a few days when





**Figure 10.** The ratio between mode 1 (a) terdiurnal and tidal  $KE$ ,  $\Upsilon_{1,D3}$ , and (b) quarterdiurnal and tidal  $KE$ ,  $\Upsilon_{1,D4}$ . Hydrostatic resonance parameter  $1/\epsilon$  (eq. 12) for the interaction between mode 1 (c)  $M_2$  and  $K_1$  waves and (d)  $M_2$  and  $M_2$  waves. The area where TBI occurs is marked by the grey dashed line. Depth contours are shown at 0 and 2000 m.

448  $\epsilon$  is small near the equator. In agreement with these findings, Solano et al. (2023) showed  
 449 that the supertidal baroclinic kinetic energy, not decomposed into modes, as a fraction  
 450 of the total baroclinic tidal energy in a global HYCOM simulation is enhanced in the  
 451 tropics (their Figure 2d). The correlation between theory and these model results sug-  
 452 gests that near-resonant low-mode wave-wave interactions are the likely cause for the sim-  
 453 ulated supertidal energy at the equator.

454 To emphasize this point, we plot the ratio of tidal to supertidal energy, following  
 455 Solano et al. (2023), and  $1/\epsilon$ , following Wunsch & Marcellino (2023) together in Figure  
 456 10. Instead of computing the ratio for the undecomposed fields and the entire supertidal  
 457 frequency band, we compute the mode-1 ratios for terdiurnal waves,

$$458 \quad \Upsilon_{1,D3} = \frac{KE_{1,D3}}{KE_{1,D1} + KE_{1,D2}}, \quad (10)$$

459 (Figure 10a), and for quarterdiurnal waves,

$$460 \quad \Upsilon_{1,D4} = \frac{KE_{1,D4}}{KE_{1,D1} + KE_{1,D2}} \quad (11)$$

461 (Figure 10b), where the subscripts refer to the mode number and frequency band, re-  
 462 spectively. We select mode 1 and the  $D_3$  and  $D_4$  supertidal frequency bands because they  
 463 contain the most energy (Figure 7). We compute  $\epsilon$  using the hydrostatic Sturm Liou-  
 464 ville equation (1). Because  $c_n$  does not depend on  $\omega$  under this approximation,  $\epsilon$  reduces  
 465 to an analytical expression that solely depends on the parent wave characteristics, i.e.,

$$466 \quad \epsilon = \frac{f^2 - 2k_a k_b c_n^2 + 2\omega_a \omega_b}{[\omega_a + \omega_b]^2}. \quad (12)$$

467 For the case in which the primary harmonic interacts with itself, i.e.,  $\omega = \omega_a = \omega_b$ ,  
 468  $\epsilon = \frac{3f^2}{4\omega^2}$ . This implies that the resonance only depends on  $f$  and no longer on the strat-

469 ification. We compare the  $KE$  ratios with hydrostatic  $1/\epsilon$  computed for the interaction  
470 between  $M_2$  and  $K_1$  (Figure 10c) and the self interaction of  $M_2$  (Figure 10d).

471 In agreement with large  $1/\epsilon$  in Figure 10d,  $\Upsilon_{1,D_4}$  is strongly enhanced at the equator  
472 ( $> 25\%$ ; Figure 10b), suggesting  $M_2$  wave-wave interactions are strong here. Although  
473  $D_4$  energy is also generated at higher latitudes, e.g., the beams radiating from Tasma-  
474 nia and Georges Bank (Figure 7b), their fraction of the primary tidal energy is much smaller  
475 ( $< 10\%$ ). These results are in contrast with  $\Upsilon_{1,D_3}$ , which is only weakly elevated in the  
476 tropics and mainly in the beam extending northward from the French Polynesian Islands  
477 ( $\sim 10\%$ ; Figure 10a). There may be two reasons for this difference: 1)  $D_1$  internal tides  
478 are mainly generated in the western Pacific (Figure 4a) and 2) hydrostatic  $1/\epsilon$  is smaller  
479 for  $M_2+K_1$  than for  $M_2+M_2$  interactions (see also Figure 10a,b). While  $KE_{1,D_3}$  is largest  
480 in the western Pacific near Luzon Strait (Figure 7a), both  $\Upsilon_{1,D_3}$  ( $< 5\%$ ) and  $1/\epsilon$  ( $\sim 0.17$ )  
481 are rather small here. Despite the small  $1/\epsilon$ , the near-resonant interaction between the  
482 tidal modes is sufficient to generate odd and even harmonics (Figures 6b and 8b).

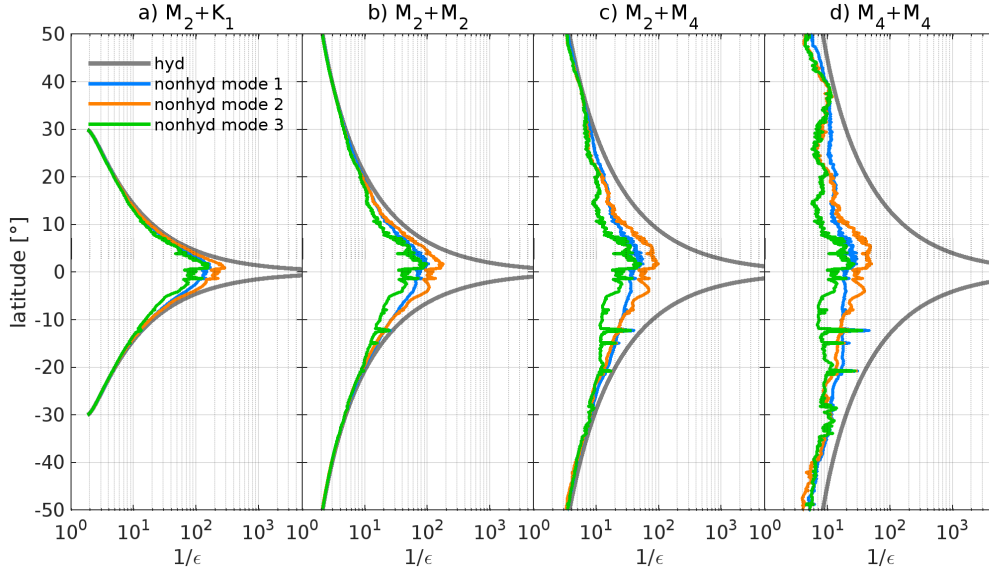
483 South of  $30^\circ\text{S}$ ,  $\Upsilon_{1,D_3}$  is enhanced in Figure 10a because non-tidal mesoscale and/or  
484 high-frequency near-inertial motions project on the  $D_3$  frequency band (Figure 7a) and  
485  $D_1$  and  $D_2$  internal tide energy is generally small (Figure 3a,e). The May/June simu-  
486 lation period coincides with early southern-hemisphere winter featuring relative large wind-  
487 eddy input and large eddy kinetic energy south of  $30^\circ\text{S}$  (Raja et al., 2022).

488 The aforementioned theoretical studies and our results imply that tidal internal waves  
489 with the same mode number can resonantly (self) interact. However, it follows from eq.  
490 (9) that waves with different mode numbers are not inclined to resonantly interact. Hence,  
491 it is interesting that Solano et al. (2023) found that when  $D_2$  mode 1 and 2 waves su-  
492 perpose, the cross-frequency energy transfers are enhanced. It could be that the mode  
493 1+2 superposition catalyzes the transfers associated with mode-1 resonant interactions  
494 due to the enhanced vertical shear. In future research, we plan to investigate these trans-  
495 fers in detail, preferably with idealized (non)hydrostatic simulations.

#### 496 4.2.2 Hydrostatic vs. Nonhydrostatic Resonance

497 In contrast to the nonhydrostatic  $1/\epsilon$  map of Wunsch & Marcellino (2023) (their  
498 Figure 3), the hydrostatic  $1/\epsilon$  values in Figure 10c,d are smooth fields. To elucidate their  
499 differences we compute nonhydrostatic  $1/\epsilon$  along a meridional transect at  $327.92^\circ\text{E}$  in  
500 the Atlantic Ocean for interactions between  $M_2$  and  $K_1$ ,  $M_2$  and  $M_2$ ,  $M_2$  and  $M_4$ , and  
501 between  $M_4$  and  $M_4$  for modes 1-3 (Figure 11). Note that all parent and child waves in-  
502 volved in the same interaction have the same mode number. The near-resonant child fre-  
503 quency  $\omega_c = \omega(k_a + k_b)$  is computed by linear interpolation from the nonhydrostatic  
504 dispersion curve  $k_c(\omega_c)$ . In addition to  $f$ , nonhydrostatic  $1/\epsilon$  also depends on the strat-  
505 ification, causing a noisier field. Moreover, pure resonance is no longer obtained as com-  
506 pared to hydrostatic  $1/\epsilon$ , which tends towards infinity for small  $f$ . Compared to hydro-  
507 static  $1/\epsilon$ , the maximum value of nonhydrostatic  $1/\epsilon$  decreases for interactions between  
508 parent waves with higher frequencies, i.e., they become less resonant at low latitudes.  
509 However, both the hydrostatic and nonhydrostatic  $1/\epsilon$  values increase at high latitudes  
510 for interactions between parent waves with higher frequencies, i.e., for higher-frequency  
511 nonhydrostatic waves the resonance becomes more independent of latitude. Finally, hy-  
512 drostatic  $1/\epsilon$  does not depend on the mode number in contrast to nonhydrostatic  $1/\epsilon$ .  
513 Interestingly, at this particular transect, mode 2 is slightly more resonant than modes  
514 1 and 3 near the equator. For higher latitudes, the differences between the various  $1/\epsilon$   
515 curves disappear because of the relative importance of  $f$  (e.g., eq. 12).

516 Does Figure 11 suggest that hydrostatic model simulations feature stronger reso-  
517 nant low-mode wave-wave interactions than nonhydrostatic model simulations? If this  
518 is true, then would that result in more pronounced higher harmonics, as shown in Fig-  
519 ures 6 and 8 and in several studies on hydrostatic global ocean model simulations (e.g,



**Figure 11.** Resonance parameter  $1/\epsilon$  for wave-wave interactions between (a)  $M_2$  and  $K_1$ , (b)  $M_2$  and  $M_2$ , (c)  $M_2$  and  $M_4$ , (d)  $M_4$  and  $M_4$  hydrostatic (“hyd”) and nonhydrostatic (“nonhyd”) modes along a meridional transect at  $327.92^\circ\text{E}$  in the Atlantic Ocean. All wave pairs have the same mode number.  $1/\epsilon$  for the hydrostatic mode pairs all coincide with the thick gray line.

520 Yu et al., 2019; Arbic et al., 2022; Ansong et al., 2024)? Theoretically, differences be-  
 521 tween the hydrostatic and nonhydrostatic dispersion relations become apparent for higher  
 522 frequencies (Figure 8). However, it is difficult to establish from Figure 8 that the hydro-  
 523 static dispersion relation agrees better with the simulated  $\omega-k$  spectra than the non-  
 524 hydrostatic dispersion relation (although the hydrostatic mode-1 curve seems to agree  
 525 better for large  $k$ ). Potential reasons for this are that eq. (1) is based on the linearized  
 526 internal wave relations without background flow, changes in parameters along the tran-  
 527 sect, and numerical dispersion mimics nonhydrostatic dispersion in hydrostatic simula-  
 528 tions (Vitousek & Fringer, 2011).

529 While we cannot address the above question in this paper, other factors may also  
 530 explain the pronounced higher harmonics in hydrostatic global ocean simulations with  
 531 horizontal grid-spacings of 2-4 km. These simulations may under-resolve eddy-wave scat-  
 532 tering and wave-wave interactions that tend to fill in the valleys between the harmonic  
 533 spectral peaks and raise the continuum energy levels in higher resolution simulations in  
 534 agreement with observations (e.g., Luecke et al., 2020; Nelson et al., 2020; Yang et al.,  
 535 2023; Delpech et al., 2024).

### 536 4.3 Decay of Supertidal Modes

537 An interesting feature of mode-1 supertidal energy fluxes in Figures 4i and 7 is that  
 538 the beams are well defined and that they extend across the tropics for 1000s of km. For  
 539 example, the Amazon beams extend to the Iberian Peninsula and northwest Africa. Sim-  
 540 ilarly, the French Polynesian Island beams nearly reach the Baja Peninsula in North Amer-  
 541 ica. The semidiurnal mode 1 beams from the respective generation sites in Figure 4e do  
 542 not appear to extend that far, most likely because they disappear in the mode 1 back-  
 543 ground noise. There may be several reasons why the HH beams extend that far: this 4-  
 544 km global HYCOM simulation has lower continuum energy levels (e.g., Luecke et al., 2020;  
 545 Ansong et al., 2024) that could mask these HH beams in the farfield, the model resolu-

tion may not be sufficient to cascade this supertidal energy to smaller temporal and spatial scales, and the vertical shear in these low modes may be too weak to trigger dissipation due to the KPP subgrid scale mixing scheme. Hence, the decay is small and energy is stuck in these low supertidal modes. This behavior may also be reflected in the mode-1 *KE*, which spectral slopes in Figure 6 are flatter than the higher modes. When energy is “stuck” in a mode, this mode may have more time to interact with itself and contribute to more pronounced higher harmonics.

#### 4.4 Comparison with Observations

Supported by theory (Figure 10c,d), our HYCOM simulation predicts a poleward decrease in the fraction of higher harmonic energy (Figure 10a,b; Solano et al., 2023). However, it is not yet clear from the literature if these spatial patterns exist in observations. Hence, we are currently diagnosing hundreds of historical mooring observations from, o.a., the Global Multi-Archive Current Meter Database (GMACMD; Scott et al., 2011) to quantify the energy in the higher harmonics.

Unfortunately, not many studies exist in which moorings have been placed directly in strong internal tide beams, in particular in the tropics. For example, Xie et al. (2010) present energy spectra of mooring observations in the South China Sea in the westward nonlinear internal tide beam from Luzon Strait (20°N). The spectra in, e.g., their Figure 2 show the same harmonics (D<sub>1</sub>-D<sub>10</sub>) and a similar slope as the mode-1 spectra in Figure 6b. Using bicoherence spectra, they determined that the nonlinear interactions between D<sub>2</sub> and D<sub>2</sub> were stronger than between D<sub>2</sub> and D<sub>1</sub>, which would be in agreement with 1/ε for M<sub>2</sub>+K<sub>1</sub> and M<sub>2</sub>+K<sub>2</sub> in Figures 10c,d and 11a,b. However, Xie et al. (2010) suggested that these harmonics were not associated with near-resonant wave-wave interactions. At a higher latitude (~ 46°N), seaward of the Bay of Biscay shelf slope, van Haren et al. (2002) observed higher harmonics of D<sub>2</sub> in spectra obtained from a current meter moored at 1000 m above the seafloor. van Haren & Maas (2022) attributed these harmonics to non-resonant nonlinear interactions. In both these areas, we simulate propagating higher harmonic wave modes (e.g., see the mode 1 supertidal flux in Figure 4i). Hence, we postulate that the observed harmonics in these studies are attributed to near-resonant wave-wave interactions as discussed in Section 4.2.1.

## 5 Conclusions

In this study, we diagnose the spatial variability in the energetics of tidally generated diurnal, semidiurnal, and supertidal hydrostatic modes (up to mode 6) in a 30-day forward global HYCOM simulation with a 4-km grid spacing and 41 layers. This simulation is forced with realistic tides and atmospheric fields. We discuss how vertical and horizontal grid spacing affects the resolution of the modes and how the generation of supertidal modes may be attributed to wave-wave interactions. Our key findings are as follows:

- While diurnal modes are mostly generated in the western Pacific, in Luzon Strait and Indonesian archipelago, semidiurnal modes are more ubiquitous in the global ocean. Supertidal modes are mostly generated at low latitudes.
- For all frequency bands, most of the energy is in mode 1. Diurnal modes are fully resolved beyond mode 6, semidiurnal modes are fully resolved up to mode 4, and supertidal modes are fully resolved up to mode 2.
- The meridional trends of  $\frac{KE}{APE}$  of the resolved modes agree with the theoretical internal wave consistency relation  $\frac{\omega^2 + f^2}{\omega^2 - f^2}$ .
- The supertidal band is dominated by the terdiurnal and quarterdiurnal mode-1 waves. Terdiurnal modes are mostly generated in the west Pacific, where diurnal internal tides are strong. Quarterdiurnal modes occur at all longitudes, but mostly

- 595 in the tropics, near strong semidiurnal generation sites. The ratio between super-  
 596 tidal and tidal energy ( $\Upsilon$ ) is elevated in the tropics.
- 597 • The consistency relation and frequency-wavenumber spectra show that the resolved  
 598 supertidal modes are dispersive internal waves and likely generated by near-resonant  
 599 interactions between modes of the same mode number. In agreement with sim-  
 600 ulated  $\Upsilon$ , the theoretical resonance parameter ( $1/\epsilon$ ) is also enhanced in the trop-  
 601 ics and stronger for  $M_2+M_2$ , than for  $M_2+K_1$  (or  $M_2+O_1$ ) near-resonant inter-  
 602 actions.
  - 603 • The horizontal resolution criterion, which mandates that 6-8 of grid cells per wave-  
 604 length are required to resolve a mode, reasonably predicts the number of resolved  
 605 modes for the diurnal, semidiurnal, and supertidal in the tropics. However, we can-  
 606 not establish a good correlation between the spatial variability in the horizontal  
 607 and vertical resolution criteria and the simulated resolved modes.

## 608 Appendix A Amplitude Error due to Finite Differences

609 To better understand how the horizontal grid spacing affects the number of resolved  
 610 wave modes, we consider how finite difference errors of a sinusoidal wave change with  
 611 respect to horizontal grid spacing. It is likely that errors are further increased when con-  
 612 sidering time integration and advective terms. Hence, the following analysis is an esti-  
 613 mate of the minimum error.

614 Given a grid of spacing  $\Delta x$ , noting the position at the  $j^{\text{th}}$  point  $x_j = j\Delta x$  and  
 615 the value of a function at the point  $\mathcal{F}(x_j) = \mathcal{F}_j$ , we define the second order centered  
 616 finite difference of a first derivative as

$$617 \left. \frac{d\mathcal{F}}{dx} \right|_{x_j} = \frac{\mathcal{F}_{j+1} - \mathcal{F}_{j-1}}{2\Delta x} + \epsilon_j, \quad (\text{A1})$$

618 where  $\epsilon_j$  is the error involved in the finite difference estimate. Assume the function is  
 619 only a sinusoid:  $\mathcal{F}(x_j) = e^{i(kx_j + \phi)}$ , where  $k$  is the wavenumber measured in units of  
 620 radians per unit of  $x$ , and  $\phi$  is a phase offset measured in radians. Then the derivative  
 621 is

$$622 \left. \frac{d\mathcal{F}}{dx} \right|_{x_j} = \frac{e^{i(k(j+1)\Delta x + \phi)} - e^{i(k(j-1)\Delta x + \phi)}}{2\Delta x} + \epsilon_j, \quad (\text{A2})$$

623 and the error is

$$624 \epsilon_j = \frac{e^{i(k(j+1)\Delta x + \phi)} - e^{i(k(j-1)\Delta x + \phi)}}{2\Delta x} - ik e^{i(kj\Delta x + \phi)}. \quad (\text{A3})$$

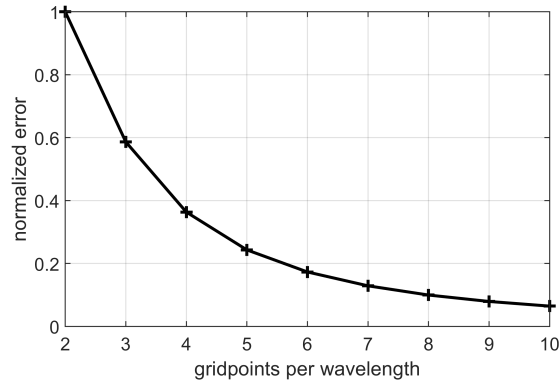
625 After some algebra, we find

$$626 \begin{aligned} \epsilon_j &= \frac{e^{ikj\Delta x} (e^{ik\Delta x} - e^{-ik\Delta x}) e^{i\phi}}{2\Delta x} - ik e^{ikj\Delta x} e^{i\phi} \\ &= e^{ikj\Delta x} e^{i\phi} \left( \frac{e^{ik\Delta x} - e^{-ik\Delta x}}{2\Delta x} - ik \right). \end{aligned} \quad (\text{A4})$$

627 The amplitude of the error  $A_{\epsilon_j}$  is a product of three terms, the first two of which are 1.  
 628 Therefore,

$$629 \begin{aligned} A_{\epsilon_j} &= \left| \frac{e^{ik\Delta x} - e^{-ik\Delta x}}{2\Delta x} - ik \right| \\ &= \left| \frac{\sin(k\Delta x)}{\Delta x} - k \right|. \end{aligned} \quad (\text{A5})$$

630 In Figure A1, the amplitude of the error, normalized by the amplitude of the slope  
 631 function  $d(\sin(kx + \phi))/dx$  is plotted vs. the number of grid points per wavelength  $\frac{2\pi}{k\Delta x}$ .  
 632 In the limit of  $\Delta x \rightarrow 0$ , the amplitude of the error  $A_{\epsilon_j} \rightarrow 0$ . The normalized ampli-  
 633 tude error  $A_{\epsilon_j}/k$  is about 10% for eight grid cells in a wavelength (Figure A1).



**Figure A1.** Amplitude error as a function of the number of gridcells per wavelength normalized by the amplitude of  $d(\sin(kx))/dx$ .

## 634 Open Research Section

635 The raw HYCOM simulation data of  $\sim 40$  terabytes (TB) and diagnosed fields are  
 636 available upon request for those that have access to the United States Department of De-  
 637 fense super computers. The seafloor depth, grid coordinates, eigenspeeds for modes 1-  
 638 5, and the time-mean kinetic energy and energy fluxes for modes 1-5 for several frequency  
 639 bands are accessible at Zenodo: <https://doi.org/10.5281/zenodo.7909290>.

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